

Non-jumping Numbers for 5-Uniform Hypergraphs*

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Abstract

Let ℓ and r be integers. A real number $\alpha \in [0, 1)$ is a jump for r if for any $\varepsilon > 0$ and any integer m , $m \geq r$, any r -uniform graph with $n > n_0(\varepsilon, m)$ vertices and at least $(\alpha + \varepsilon) \binom{n}{r}$ edges contains a subgraph with m vertices and at least $(\alpha + c) \binom{m}{r}$ edges, where $c = c(\alpha)$ does not depend on ε and m . It follows from a theorem of Erdős, Stone and Simonovits that every $\alpha \in [0, 1)$ is a jump for $r = 2$. Erdős asked whether the same is true for $r \geq 3$. However, Frankl and Rödl gave a negative answer by showing that $1 - \frac{1}{\ell^{r-1}}$ is not a jump for r if $r \geq 3$ and $\ell > 2r$. Peng gave more sequences of non-jumping numbers for $r = 4$ and $r \geq 3$. However, there are also a lot of unknowns on determining whether a number is a jump for $r \geq 3$. Following a similar approach as that of Frankl and Rödl, we give several sequences of non-jumping numbers for $r = 5$, and extend one of the results to every $r \geq 5$, which generalize the above results.

Keywords: extremal problems in hypergraphs; Erdős jumping constant conjecture; Lagrangians of uniform graphs; non-jumping numbers

1 Introduction

For a given finite set V and a positive integer r , denote by $\binom{V}{r}$ the family of all r -subsets of V . Let $G = (V(G), E(G))$ be a graph with *vertex set* $V(G)$ and *edge set* $E(G)$. We call G an *r -uniform graph* if $E(G) \subseteq \binom{V(G)}{r}$. An r -uniform graph H

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is called a *subgraph* of an r -uniform graph G if $V(H) \subseteq V(G)$ and $E(H) \subseteq E(G)$. Furthermore, H is called an *induced subgraph* of G if $E(H) = E(G) \cap \binom{V(H)}{r}$.

Let G be an r -uniform graph, we define the *density* of G as $\frac{|E(G)|}{\binom{|V(G)|}{r}}$, which is denoted by $d(G)$. Note that the density of a complete $(\ell + 1)$ -partite graph with partition classes of size m is greater than $1 - \frac{1}{\ell+1}$ (approaches $1 - \frac{1}{\ell+1}$ when $m \rightarrow \infty$). The density of a complete r -partite r -uniform graph with partition classes of size m is greater than $\frac{r!}{r^r}$ (approaches $\frac{r!}{r^r}$ when $m \rightarrow \infty$).

In [7], Katona, Nemetz and Simonovits showed that, for any r -uniform graph G , the average of densities of all induced subgraphs of G with $m \geq r$ vertices is $d(G)$. From this result we know that there exists a subgraph of G with m vertices, whose density is at least $d(G)$. A natural question is: for a constant $c > 0$, whether there exists a subgraph of G with m vertices and density at least $d(G) + c$? To be precise, the concept of “jump” was introduced.

Definition 1.1. A real number $\alpha \in [0, 1)$ is a jump for r if there exists a constant $c > 0$ such that for any $\varepsilon > 0$ and any integer m , $m \geq r$, there exists $n_0(\varepsilon, m)$ such that any r -uniform graph with $n > n_0(\varepsilon, m)$ vertices and density $\geq \alpha + \varepsilon$ contains a subgraph with m vertices and density $\geq \alpha + c$.

Erdős, Stone and Simonovits [2, 3] proved that every $\alpha \in [0, 1)$ is a jump for $r = 2$. This result can be easily obtained from the following theorem.

Theorem 1.1 ([3]). *Suppose ℓ is a positive integer. For any $\varepsilon > 0$ and any positive integer m , there exists $n_0(m, \varepsilon)$ such that any graph G on $n > n_0(m, \varepsilon)$ vertices with density $d(G) \geq 1 - \frac{1}{\ell} + \varepsilon$ contains a copy of the complete $(\ell + 1)$ -partite graph with partition classes of size m (i.e., there exists $\ell + 1$ pairwise disjoint sets $V_1, \dots, V_{\ell+1}$, each of them with size m such that $\{x, y\}$ is an edge whenever $x \in V_i$ and $y \in V_j$ for some $1 \leq i < j \leq \ell + 1$).*

Moreover, from the following theorem, Erdős showed that for $r \geq 3$, every $\alpha \in [0, \frac{r!}{r^r})$ is a jump.

Theorem 1.2 ([1]). *For any $\varepsilon > 0$ and any positive integer m , there exists $n_0(\varepsilon, m)$ such that any r -uniform graph G on $n > n_0(\varepsilon, m)$ vertices with density $d(G) \geq \varepsilon$ contains a copy of the complete r -partite r -uniform graph with partition classes of size m (i.e., there exist r pairwise disjoint subsets V_1, \dots, V_r , each of cardinality m such that $\{x_1, x_2, \dots, x_r\}$ is an edge whenever $x_i \in V_i, 1 \leq i \leq r$).*

Furthermore, Erdős proposed the following jumping constant conjecture.

Conjecture 1.1. Every $\alpha \in [0, 1)$ is a jump for every integer $r \geq 2$.

Unfortunately, Frankl and Rödl [6] disproved this conjecture by showing the following result.

Theorem 1.3 ([6]). *Suppose $r \geq 3$ and $\ell > 2r$, then $1 - \frac{1}{\ell^{r-1}}$ is not a jump for r .*

Using the approach developed by Frankl and Rödl in [6], some other non-jump numbers were given. However, for $r \geq 3$, there are still a lot of unknowns on determining whether a given number is a jump. A well-known open question of Erdős is

whether $\frac{r!}{r^r}$ is a jump for $r \geq 3$ and what is the smallest non-jump?

In [5], another question was raised:

whether there is an interval of non-jumps for some $r \geq 3$?

Both questions seem to be very challenging. Regarding the first question, in [5], it was shown that $\frac{5r!}{2r^r}$ is a non-jump for $r \geq 3$ and it is the smallest known non-jump until now. Some efforts were made in finding more non-jumps for some $r \geq 3$. For $r = 3$, one more infinite sequence of non-jumps (converging to 1) was given in [5]. And for $r = 4$, several infinite sequences of non-jumps (converging to 1) were found in [9, 10, 12, 13]. Every non-jump in the above papers was extended to many sequences of non-jumps (still converging to 1) in [11, 15, 16]. Besides, in [14], Peng found an infinite sequence of non-jumps for $r = 3$ converging to $\frac{7}{12}$.

If a number α is a jump, then there exists a constant $c > 0$ such that every number in $[\alpha, \alpha + c)$ is a jump. As a direct result, we have that if there is a set of non-jumping numbers whose limits form an interval (a number a is a limit of a set A if there is a sequence $\{a_n\}_{n=1}^{\infty}, a_n \in A$ such that $\lim_{n \rightarrow \infty} a_n = a$), then every number in this interval is not a jump. It is still an open problem whether such a “dense enough” set of non-jumping numbers exists or not.

In this paper, we intend to find more non-jumping numbers in addition to the known non-jumping numbers given in [5, 9, 10, 11, 12, 13, 15, 14, 16, 17]. Our approach is still based on the approach developed by Frankl and Rödl in [6]. We first consider the case $r = 5$ and find a sequence of non-jumping numbers. In Section 3, we prove the following result.

Theorem 1.4. *Let $\ell \geq 2$ be an integer. Then $1 - \frac{5}{\ell^3} + \frac{4}{\ell^4}$ is not a jump for $r = 5$.*

Then we extend Theorem 1.4 to Theorem 1.5 for the case $\ell = 5$ to every $r \geq 5$ in Section 4. When $r = 5$, Theorem 1.5 is exactly Theorem 1.4 for the case $\ell = 5$.

Theorem 1.5. *Let $r \geq 5$, $\frac{151r!}{6r^r}$ is not a jump for r .*

In [15], Peng gave the following result: for positive integers $p \geq r \geq 3$, if $\alpha \cdot \frac{r!}{r^r}$ is a non-jump for r , then $\alpha \cdot \frac{p!}{p^p}$ is a non-jump for p . Combining with the Theorem 1.5, we have the following corollary directly.

Corollary 1.1. *Let $p \geq r \geq 5$ be positive integers. Then $\frac{151p!}{6p^p}$ is not a jump for p .*

Since in [5], it was shown that $\frac{5r!}{2r^r}$ is a non-jumping number for $r \geq 3$. In [11], it was shown that for integers $r \geq 3$ and p , $3 \leq p \leq r$, $(1 - \frac{1}{p^{p-1}}) \frac{p^p r!}{p! r^r}$ is not a jump for r . In particular, $\frac{12}{125}$ (take $r = 5$ in $\frac{5r!}{2r^r}$), $\frac{96}{625}$ (take $p = 3$ and $r = 5$ in $(1 - \frac{1}{p^{p-1}}) \frac{p^p r!}{p! r^r}$) and $\frac{252}{625}$ (take $p = 4$ and $r = 5$ in $(1 - \frac{1}{p^{p-1}}) \frac{p^p r!}{p! r^r}$) are non-jumping numbers for $r = 5$. In Section 5, we will go back to the case of $r = 5$ and prove the following result.

Theorem 1.6. *Let $\ell \geq 2$ and $q \geq 1$ be integers. Then for $r = 5$, we have*

(a) *If $q = 1$ or $q \geq 2\ell^2 + 2\ell$, then $1 - \frac{10}{\ell q} + \frac{35}{\ell^2 q^2} - \frac{50}{\ell^3 q^3} + \frac{4}{\ell^4 q^4} + \frac{10}{\ell q^4} - \frac{35}{\ell^2 q^4} + \frac{45}{\ell^3 q^4}$ is not a jump.*

(b) *If $q = 1$ or $q \geq 10\ell^3$, then $1 - \frac{10}{\ell q} + \frac{35}{\ell^2 q^2} - \frac{50}{\ell^3 q^3} + \frac{10}{\ell q^4} - \frac{35}{\ell^2 q^4} + \frac{50}{\ell^3 q^4} - \frac{1}{\ell^4 q^4}$ is not a jump.*

(c) $1 - \frac{2}{q} + \frac{7}{5q^2} - \frac{2}{5q^3} + \frac{12}{125q^4}$ is not a jump.

(d) $1 - \frac{2}{q} + \frac{7}{5q^2} - \frac{2}{5q^3} + \frac{96}{625q^4}$ is not a jump.

(e) *If $q = 1$ or $q \geq 3$, then $1 - \frac{2}{q} + \frac{7}{5q^2} - \frac{2}{5q^3} + \frac{252}{625q^4}$ is not a jump.*

When $q = 1$, (a) reduces to Theorem 1.4 for $r = 5$, (b) reduces to Theorem 1.3 for $r = 5$, (c) shows that $\frac{12}{125}$ is not a jump for $r = 5$, (d) shows that $\frac{96}{625}$ is not a jump for $r = 5$, and (e) shows that $\frac{252}{625}$ is not a jump for $r = 5$.

2 Lagrangians and other tools

In this section, we introduce the definition of Lagrangian of an r -uniform graph and some other tools to be applied in the approach.

We first describe a definition of the Lagrangian of an r -uniform graph, which is a helpful tool in the approach. More studies of Lagrangians were given in [4, 6, 8, 18].

Definition 2.1. For an r -uniform graph G with vertex set $\{1, 2, \dots, m\}$, edge set $E(G)$ and a vector $\vec{x} = \{x_1, \dots, x_m\} \in R^m$, define

$$\lambda(G, \vec{x}) = \sum_{\{i_1, \dots, i_r\} \in E(G)} x_{i_1} x_{i_2} \cdots x_{i_r}.$$

x_i is called the weight of vertex i .

Definition 2.2. Let $S = \{\vec{x} = (x_1, x_2, \dots, x_m) : \sum_{i=1}^m x_i = 1, x_i \geq 0 \text{ for } i = 1, 2, \dots, m\}$. The Lagrangian of G , denoted by $\lambda(G)$, is defined as

$$\lambda(G) = \max\{\lambda(G, \vec{x}) : \vec{x} \in S\}.$$

A vector \vec{x} is called an optimal vector for $\lambda(G)$ if $\lambda(G, \vec{x}) = \lambda(G)$.

We note that if G is a subgraph of an r -uniform graph H , then for any vector \vec{x} in S , $\lambda(G, \vec{x}) \leq \lambda(H, \vec{x})$. The following fact is obtained directly.

Fact 2.1. Let G be a subgraph of an r -uniform graph H . Then

$$\lambda(G) \leq \lambda(H).$$

For an r -uniform graph G and $i \in V(G)$ we define G_i to be the $(r-1)$ -uniform graph on $V - \{i\}$ with edge set $E(G_i)$ given by $e \in E(G_i)$ if and only if $e \cup \{i\} \in E(G)$.

We call two vertices i, j of an r -uniform graph G *equivalent* if for all $f \in \binom{V(G) - \{i, j\}}{r-1}$, $f \in E(G_i)$ if and only if $f \in E(G_j)$.

The following lemma given in [6] will be useful when calculating Lagrangians of some certain hypergraphs.

Lemma 2.1 ([6]). *Suppose G is an r -uniform graph on vertices $\{1, 2, \dots, m\}$.*

1. *If vertices i_1, i_2, \dots, i_t are pairwise equivalent, then there exists an optimal vector $\vec{y} = (y_1, y_2, \dots, y_m)$ for $\lambda(G)$ such that $y_{i_1} = y_{i_2} = \dots = y_{i_t}$.*

2. *Let $\vec{y} = (y_1, y_2, \dots, y_m)$ be an optimal vector for $\lambda(G)$ and $y_i > 0$. Let \hat{y}_i be the restriction of \vec{y} on $\{1, 2, \dots, m\} \setminus \{i\}$. Then $\lambda(G_i, \hat{y}_i) = r\lambda(G)$.*

We also note that for an r -uniform graph G with m vertices, if we take $\vec{x} = (x_1, x_2, \dots, x_m)$, where each $x_i = \frac{1}{m}$, then

$$\lambda(G) \geq \lambda(G, \vec{x}) = \frac{|E(G)|}{m^r} \geq \frac{d(G)}{r!} - \varepsilon$$

for $m \geq m'(\varepsilon)$.

On the other hand, we introduce a blow-up of an r -uniform graph G which allow us to construct an r -uniform graph with a large number of vertices and density close to $r!\lambda(G)$.

Definition 2.3. Let G be an r -uniform graph with $V(G) = \{1, 2, \dots, m\}$ and $\vec{n} = (n_1, \dots, n_m)$ be a positive integer vector. Define the \vec{n} blow-up of G , $\vec{n} \otimes G$ to be the m -partite r -uniform graph with vertex set $V_1 \cup \dots \cup V_m$, $|V_i| = n_i$, $1 \leq i \leq m$, and edge set $E(\vec{n} \otimes G) = \{\{v_{i_1}, v_{i_2}, \dots, v_{i_r}\} : v_{i_k} \in V_{i_k} \text{ for } 1 \leq k \leq r, \{i_1, i_2, \dots, i_r\} \in E(G)\}$.

In addition, we make the following easy remark given in [9].

Remark 2.1 ([9]). Let G be an r -uniform graph with m vertices and $\vec{y} = (y_1, y_2, \dots, y_m)$ be an optimal vector for $\lambda(G)$. Then for any $\varepsilon > 0$, there exists an integer $n_1(\varepsilon)$, such that for any integer $n \geq n_1(\varepsilon)$,

$$d([\lfloor ny_1 \rfloor, \lfloor ny_2 \rfloor, \dots, \lfloor ny_m \rfloor] \otimes G) \geq r!\lambda(G) - \varepsilon. \quad (1)$$

Let us also state a fact relating the Lagrangian of an r -uniform graph to the Lagrangian of its blow-up used in [6] ([5, 9, 10, 12] as well).

Fact 2.2 ([6]). If $n \geq 1$ and $\vec{n} = (n, n, \dots, n)$, then $\lambda(\vec{n} \otimes G) = \lambda(G)$ holds for every r -uniform graph G .

First, we state a definition as follows.

Definition 2.4. For $\alpha \in [0, 1)$ and a family \mathcal{F} of r -uniform graphs, we say that α is a threshold for \mathcal{F} if for any $\varepsilon > 0$ there exists an $n_0 = n_0(\varepsilon)$ such that any r -uniform graph G with $d(G) \geq \alpha + \varepsilon$ and $|V(G)| > n_0$ contains some member of \mathcal{F} as a subgraph. We denote this fact by $\alpha \rightarrow \mathcal{F}$.

The following lemma proved in [6] gives a necessary and sufficient condition for a number α to be a jump.

Lemma 2.2 ([6]). *The following two properties are equivalent.*

1. α is a jump for r .
2. $\alpha \rightarrow \mathcal{F}$ for some finite family \mathcal{F} of r -uniform graphs satisfying $\lambda(F) > \frac{\alpha}{r!}$ for all $F \in \mathcal{F}$.

Lemma 2.3 ([6]). *For any $\sigma \geq 0$ and any integer $k \geq r$, there exists $t_0(k, \sigma)$ such that for every $t > t_0(k, \sigma)$, there exists an r -uniform graphs A satisfying:*

1. $|V(A)| = t$.
2. $|E(A)| \geq \sigma t^{r-1}$.
3. For all $V_0 \subset V(A)$, $r \leq |V_0| \leq k$ we have $|E(A) \cap \binom{V_0}{r}| \leq |V_0| - r + 1$.

We sketch the approach in proving Theorems 1.4, 1.5, 1.6 as follows (similar to the proof in [9, 10, 12]): Let α be the non-jumping numbers described in those theorems. Assuming that α is a jump, we will derive a contradiction by the following two steps.

Step 1: Construct an r -uniform graph (in Theorem 1.4, 1.6, $r = 5$) with the Lagrangian close to but slightly smaller than $\frac{\alpha}{r!}$, then use Lemma 2.3 to add an r -uniform graph with a large enough number of edges but spare enough (see properties

2 and 3 in Lemma 2.3) and obtain an r -uniform graph with the Lagrangian $\geq \frac{\alpha}{r!} + \varepsilon$ for some positive ε . Then we “blow up” this r -uniform graph to an new r -uniform graph, say H , with a large enough number of vertices and density $> \alpha + \frac{\varepsilon}{2}$ (see Remark 2.1). By Lemma 2.2, if α is a jump then α is a threshold for some finite family \mathcal{F} of r -uniform graphs with Lagrangian $> \frac{\alpha}{r!}$. So H must contain some member of \mathcal{F} as a subgraph.

Step 2: We show that any subgraph of H with the number of vertices no more than $\max\{|V(F)|, F \in \mathcal{F}\}$ has Lagrangian $\leq \frac{\alpha}{r!}$ and derive a contradiction.

3 Proof of Theorem 1.4

In this section, we focus on $r = 5$ and give a proof of Theorem 1.4.

Let $\ell \geq 2$ and $\alpha = 1 - \frac{5}{\ell^3} + \frac{4}{\ell^4}$. Let t be a large enough integer given later. We first define a 5-uniform hypergraph $G(\ell, t)$ on ℓ pairwise disjoint sets V_1, V_2, \dots, V_ℓ , each of cardinality t whose density is close to α when t is large enough. The edge set of $G(\ell, t)$ consists of all 5-subsets taking exactly one vertex from each of V_i, V_j, V_k, V_h, V_s ($1 \leq i < j < k < h < s \leq \ell$), all 5-subsets taking two vertices from V_i and one vertex from each of V_j, V_k, V_h ($1 \leq i \leq \ell, 1 \leq j < k < h \leq \ell, j, k, h \neq i$), all 5-subsets taking two vertices from each of V_i, V_j and one vertex from V_k ($1 \leq i < j \leq \ell, 1 \leq k \leq \ell, k \neq i, j$), all 5-subsets taking three vertices from V_i , and one vertex from each of V_j, V_k ($1 \leq i \leq \ell, 1 \leq j < k \leq \ell, j, k \neq i$), all 5-subsets taking three vertices from V_i and two vertices from V_j ($1 \leq i \leq \ell, 1 \leq j \leq \ell, j \neq i$). When $\ell = 2, 3, 4$, some of them are vacant.

Note that

$$\begin{aligned} |E(G(\ell, t))| &= \binom{\ell}{5} t^5 + \ell \binom{\ell-1}{3} \binom{t}{2} t^3 + \binom{\ell}{2} (\ell-2) \binom{t}{2} \binom{t}{2} t + \ell \binom{\ell-1}{2} \binom{t}{3} t^2 \\ &\quad + \ell(\ell-1) \binom{t}{3} \binom{t}{2} = \frac{\alpha}{120} \ell^5 t^5 - c_0(\ell) t^4 + o(t^4), \end{aligned}$$

where $c_0(\ell)$ is positive (we omit giving the precise calculation here). It is easy to verify that the density of $G(\ell, t)$ is close to α if t is large enough. Corresponding to the ℓt vertices of $G(\ell, t)$, we take the vector $\vec{x} = (x_1, \dots, x_{\ell t})$, where $x_i = \frac{1}{\ell t}$ for each $i, 1 \leq i \leq \ell t$, then

$$\lambda(G(\ell, t)) \geq \lambda(G(\ell, t), \vec{x}) = \frac{|E(G(\ell, t))|}{(\ell t)^5} = \frac{\alpha}{120} - \frac{c_0(\ell)}{\ell^5 t} + o\left(\frac{1}{t}\right),$$

which is close to $\frac{\alpha}{120}$ when t is large enough. We will use Lemma 2.3 to add a 5-uniform graph to $G(\ell, t)$ so that the Lagrangian of the resulting graph is $> \frac{\alpha}{120} + \varepsilon(t)$

for some $\varepsilon(t) > 0$. Suppose that α is a jump for $r = 5$. According to Lemma 2.2, there exists a finite collection \mathcal{F} of 5-uniform graphs satisfying:

- i) $\lambda(F) > \frac{\alpha}{120}$ for all $F \in \mathcal{F}$, and
- ii) α is a threshold for \mathcal{F} .

Set $k_0 = \max_{F \in \mathcal{F}} |V(F)|$ and $\sigma_0 = 2c_0(\ell)$. Let $r = 5$ and $t_0(k_0, \sigma_0)$ be given as in Lemma 2.3. Take an integer $t > t_0$ and a 5-uniform hypergraph $A(k_0, \sigma_0, t)$ satisfying the three conditions in Lemma 2.3 with $V(A(k_0, \sigma_0, t)) = V_1$. The 5-uniform hypergraph $H(\ell, t)$ is obtained by adding $A(k_0, \sigma_0, t)$ to the 5-uniform hypergraph $G(\ell, t)$. For sufficiently large t , we have

$$\lambda(H(\ell, t)) \geq \frac{|E(H(\ell, t))|}{(\ell t)^5} \geq \frac{|E(G(\ell, t))| + \sigma_0 t^4}{(\ell t)^5} \geq \frac{\alpha}{120} + \frac{c_0(\ell)}{2\ell^5 t}.$$

Now suppose $\vec{y} = (y_1, y_2, \dots, y_{\ell t})$ is an optimal vector of $\lambda(H(\ell, t))$. Let $\varepsilon = \frac{30c_0(\ell)}{\ell^5 t}$ and $n > n_1(\varepsilon)$ as in Remark 2.1. Then the 5-uniform graph $S_n = ([ny_1], \dots, [ny_{\ell t}]) \otimes H(\ell, t)$ has density not less than $\alpha + \varepsilon$. Since α is a threshold for \mathcal{F} , some member F of \mathcal{F} is a subgraph of S_n for $n \geq \max\{n_0(\varepsilon), n_1(\varepsilon)\}$. For such $F \in \mathcal{F}$, there exists a subgraph M of $H(\ell, t)$ with $|V(M)| \leq |V(F)| \leq k_0$, such that $F \subset \vec{n} \otimes M$. By Fact 2.2 we have

$$\lambda(F) \leq \lambda(\vec{n} \otimes M) = \lambda(M). \quad (2)$$

Lemma 3.1. *Let M be any subgraph of $H(\ell, t)$ with $|V(M)| \leq k_0$. Then*

$$\lambda(M) \leq \frac{\alpha}{120}$$

holds.

Applying Lemma 3.1 to (2), we have $\lambda(F) \leq \frac{\alpha}{120}$, which contradicts our choice of F , i.e., contradicts the fact that $\lambda(F) > \frac{\alpha}{120}$ for all $F \in \mathcal{F}$.

Proof of Lemma 3.1. By Fact 2.1, we may assume that M is an induced subgraph of $H(\ell, t)$. Let $U_i = V(M) \cap V_i$. Define $M_1 = (U_1, E(M) \cap \binom{U_1}{5})$, i.e., the subgraph of M induced on U_1 . In view of Fact 2.1, it is enough to show Lemma 3.1 for the case $E(M_1) \neq \emptyset$. We assume $|V(M_1)| = 4 + d$ with d a positive integer. By Lemma 2.3, M_1 has at most d edges. Let $V(M_1) = \{v_1, v_2, \dots, v_{4+d}\}$ and $\vec{\xi} = (x_1, x_2, \dots, x_{4+d})$ be an optimal vector for $\lambda(M)$ where x_i is the weight of vertex v_i . We may assume $x_1 \geq x_2 \geq \dots \geq x_{4+d}$. The following claim was proved (see Claim 4.4 in [6] there).

Claim 3.1. $\sum_{\{v_i, v_j, v_k, v_h, v_s\} \in E(M_1)} x_{v_i} x_{v_j} x_{v_k} x_{v_h} x_{v_s} \leq \sum_{5 \leq i \leq 4+d} x_1 x_2 x_3 x_4 x_i.$

By Claim 3.1, we may assume that $E(M_1) = \{\{v_1, v_2, v_3, v_4, v_i\} : 5 \leq i \leq 4 + d\}$. Since v_1, v_2, v_3, v_4 are equivalent, in view of Lemma 2.1, we may assume that $x_1 =$

$x_2 = x_3 = x_4 \stackrel{\text{def}}{=} \rho$. For each i , let a_i be the sum of the weights of vertices of U_i . Notice that

$$\begin{cases} \sum_{i=1}^{\ell} a_i = 1, \\ a_i \geq 0, 1 \leq i \leq \ell \\ 0 \leq \rho \leq \frac{a_1}{4}. \end{cases}$$

Considering different types of edges in M and according to the definition of the Lagrangian, we have

$$\begin{aligned} \lambda(M) &\leq \sum_{1 \leq i < j < k < h < s \leq \ell} a_i a_j a_k a_h a_s + \frac{1}{2} \sum_{\substack{2 \leq i \leq \ell; 1 \leq j < k < h \leq \ell; \\ j, k, h \neq i}} a_i^2 a_j a_k a_h \\ &+ \left(\sum_{2 \leq j < k < h \leq \ell} a_j a_k a_h \right) \left[\frac{1}{2} (a_1 - 4\rho)^2 + 4\rho(a_1 - 4\rho) + 6\rho^2 \right] \\ &+ \frac{1}{2} \left(\sum_{\substack{2 \leq j \leq \ell; 2 \leq k \leq \ell; \\ k \neq j}} a_j^2 a_k \right) \left[\frac{1}{2} (a_1 - 4\rho)^2 + 4\rho(a_1 - 4\rho) + 6\rho^2 \right] \\ &+ \frac{1}{4} \sum_{\substack{2 \leq i < j \leq \ell; 1 \leq k \leq \ell; \\ k \neq i, j}} a_i^2 a_j^2 a_k + \frac{1}{6} \sum_{\substack{2 \leq i \leq \ell; 1 \leq j < k \leq \ell; \\ j, k \neq i}} a_i^3 a_j a_k + \rho^4 (a_1 - 4\rho) \\ &+ \left(\sum_{2 \leq j < k \leq \ell} a_j a_k \right) \left[\frac{1}{6} (a_1 - 4\rho)^3 + 2\rho(a_1 - 4\rho)^2 + 6\rho^2(a_1 - 4\rho) + 4\rho^3 \right] \\ &+ \frac{1}{12} \sum_{\substack{2 \leq i \leq \ell; 2 \leq j \leq \ell; \\ j \neq i}} a_i^3 a_j^2 + \frac{1}{6} \left(\sum_{2 \leq i \leq \ell} a_i^3 \right) \left[\frac{1}{2} (a_1 - 4\rho)^2 + 4\rho(a_1 - 4\rho) + 6\rho^2 \right] \\ &+ \frac{1}{2} \left(\sum_{2 \leq j \leq \ell} a_j^2 \right) \left[\frac{1}{6} (a_1 - 4\rho)^3 + 2\rho(a_1 - 4\rho)^2 + 6\rho^2(a_1 - 4\rho) + 4\rho^3 \right] \\ &= \sum_{1 \leq i < j < k < h < s \leq \ell} a_i a_j a_k a_h a_s + \frac{1}{2} \sum_{\substack{1 \leq i \leq \ell; 1 \leq j < k < h \leq \ell; \\ j, k, h \neq i}} a_i^2 a_j a_k a_h \\ &+ \frac{1}{4} \sum_{\substack{1 \leq i < j \leq \ell; 1 \leq k \leq \ell; \\ k \neq i, j}} a_i^2 a_j^2 a_k + \frac{1}{6} \sum_{\substack{1 \leq i \leq \ell; 1 \leq j < k \leq \ell; \\ j, k \neq i}} a_i^3 a_j a_k + \frac{1}{12} \sum_{\substack{1 \leq i \leq \ell; 1 \leq j \leq \ell; \\ j \neq i}} a_i^3 a_j^2 \\ &- 2\rho^2 \left(\sum_{2 \leq j < k < h \leq \ell} a_j a_k a_h \right) - \rho^2 \left(\sum_{\substack{2 \leq j \leq \ell; 2 \leq k \leq \ell; \\ k \neq j}} a_j^2 a_k \right) - \frac{1}{3} \rho^2 \left(\sum_{2 \leq i \leq \ell} a_i^3 \right) \end{aligned}$$

$$\begin{aligned}
& -a_1\rho^2\left(\sum_{2\leq j\leq\ell}a_j^2\right)-2a_1\rho^2\left(\sum_{2\leq j<k\leq\ell}a_ja_k\right)+\frac{4}{3}\rho^3\left(\sum_{2\leq j<k\leq\ell}a_ja_k\right) \\
& +\frac{2}{3}\rho^3\left(\sum_{2\leq j\leq\ell}a_j^2\right)+\rho^4(a_1-4\rho) \\
= & \sum_{1\leq i<j<k<h<s\leq\ell}a_ia_ja_ka_ha_s+\frac{1}{2}\sum_{\substack{1\leq i\leq\ell;1\leq j<k<h\leq\ell; \\ j,k,h\neq i}}a_i^2a_ja_ka_h \\
& +\frac{1}{4}\sum_{\substack{1\leq i<j\leq\ell;1\leq k\leq\ell; \\ k\neq i,j}}a_i^2a_j^2a_k+\frac{1}{6}\sum_{\substack{1\leq i\leq\ell;1\leq j<k\leq\ell; \\ j,k\neq i}}a_i^3a_ja_k+\frac{1}{12}\sum_{\substack{1\leq i\leq\ell;1\leq j\leq\ell; \\ j\neq i}}a_i^3a_j^2 \\
& -\frac{1}{3}\rho^2\left(\sum_{2\leq i\leq\ell}a_i\right)^3-a_1\rho^2\left(\sum_{2\leq i\leq\ell}a_i\right)^2+\frac{2}{3}\rho^3\left(\sum_{2\leq i\leq\ell}a_i\right)^2+\rho^4(a_1-4\rho) \\
= & \sum_{1\leq i<j<k<h<s\leq\ell}a_ia_ja_ka_ha_s+\frac{1}{2}\sum_{\substack{1\leq i\leq\ell;1\leq j<k<h\leq\ell; \\ j,k,h\neq i}}a_i^2a_ja_ka_h \\
& +\frac{1}{4}\sum_{\substack{1\leq i<j\leq\ell;1\leq k\leq\ell; \\ k\neq i,j}}a_i^2a_j^2a_k+\frac{1}{6}\sum_{\substack{1\leq i\leq\ell;1\leq j<k\leq\ell; \\ j,k\neq i}}a_i^3a_ja_k+\frac{1}{12}\sum_{\substack{1\leq i\leq\ell;1\leq j\leq\ell; \\ j\neq i}}a_i^3a_j^2 \\
& +\rho^2\left[a_1\rho^2-4\rho^3+\left(\frac{2}{3}\rho-a_1\right)(1-a_1)^2-\frac{1}{3}(1-a_1)^3\right] \\
\stackrel{\text{def}}{=} & f(a_1,a_2,\dots,a_\ell,\rho). \tag{3}
\end{aligned}$$

Note that

$$f\left(\frac{1}{\ell},\frac{1}{\ell},\dots,\frac{1}{\ell},0\right)=\frac{\alpha}{120}.$$

Therefore, to show Lemma 3.1, we just need to show the following claim:

Claim 3.2.

$$f(a_1,a_2,\dots,a_\ell,\rho)\leq f\left(\frac{1}{\ell},\frac{1}{\ell},\dots,\frac{1}{\ell},0\right)=\frac{\alpha}{120}$$

holds under the constraints

$$\begin{cases} \sum_{i=1}^{\ell}a_i=1, \\ a_i\geq 0, 1\leq i\leq\ell \\ 0\leq\rho\leq\frac{a_1}{4}. \end{cases}$$

Claim 3.3. Let c be a positive number and $L\geq 2$ be an integer. Suppose that $\sum_{i=1}^L c_i=c$ and each $c_i\geq 0$. Then the function

$$\begin{aligned}
g(c_1, c_2, \dots, c_L) \stackrel{\text{def}}{=} & \sum_{1 \leq i < j < k < h < s \leq L} c_i c_j c_k c_h c_s + \frac{1}{2} \sum_{\substack{1 \leq i \leq L; 1 \leq j < k < h \leq L; \\ j, k, h \neq i}} c_i^2 c_j c_k c_h \\
& + \frac{1}{4} \sum_{\substack{1 \leq i < j \leq L; 1 \leq k \leq L; \\ k \neq i, j}} c_i^2 c_j^2 c_k + \frac{1}{6} \sum_{\substack{1 \leq i \leq L; 1 \leq j < k \leq L; \\ j, k \neq i}} c_i^3 c_j c_k + \frac{1}{12} \sum_{\substack{1 \leq i \leq L; 1 \leq j \leq L; \\ j \neq i}} c_i^3 c_j^2,
\end{aligned}$$

reaches the maximum $\frac{1}{120}(1 - \frac{5}{L^3} + \frac{4}{L^4})c^5$ when $c_1 = c_2 = \dots = c_L = \frac{c}{L}$.

Proof. Since each term in function g has degree 5, we can assume that $c = 1$. Suppose that g reaches the maximum at (c_1, c_2, \dots, c_L) , we show that $c_1 = c_2 = \dots = c_L = \frac{c}{L}$ must hold. If not, without loss of generality, assume that $c_2 > c_1$, we will show that $g(c_1 + \varepsilon, c_2 - \varepsilon, c_3, \dots, c_L) - g(c_1, c_2, c_3, \dots, c_L) > 0$ for small enough $\varepsilon > 0$ and derive a contradiction. Notice that the summation of the terms in $g(c_1, c_2, \dots, c_L)$ containing c_1, c_2 is

$$\begin{aligned}
& (c_1 + c_2) \sum_{3 \leq i < j < k < h \leq L} c_i c_j c_k c_h + c_1 c_2 \sum_{3 \leq i < j < k \leq L} c_i c_j c_k \\
& + \frac{1}{2}(c_1^2 + c_2^2) \sum_{3 \leq i < j < k \leq L} c_i c_j c_k + \frac{1}{2}(c_1 + c_2) \sum_{3 \leq i \leq L; 3 \leq j < k \leq L; j, k \neq i} c_i^2 c_j c_k \\
& + \frac{1}{2}(c_1^2 c_2 + c_2^2 c_1) \sum_{3 \leq i < j \leq L} c_i c_j + \frac{1}{2} c_1 c_2 \sum_{3 \leq i \leq L; 3 \leq j \leq L; j \neq i} c_i^2 c_j + \frac{1}{4}(c_1^2 c_2^2) \sum_{3 \leq i \leq L} c_i \\
& + \frac{1}{4}(c_1^2 c_2 + c_2^2 c_1) \sum_{3 \leq i \leq L} c_i^2 + \frac{1}{4}(c_1^2 + c_2^2) \sum_{3 \leq i \leq L; 3 \leq j \leq L; j \neq i} c_i^2 c_j + \frac{1}{4}(c_1 + c_2) \sum_{3 \leq i < j \leq L} c_i^2 c_j^2 \\
& + \frac{1}{6}(c_1^3 + c_2^3) \sum_{3 \leq i < j \leq L} c_i c_j + \frac{1}{6}(c_1 + c_2) \sum_{3 \leq i \leq L; 3 \leq j \leq L; i \neq j} c_i^3 c_j + \frac{1}{6}(c_1^3 c_2 + c_1 c_2^3) \sum_{3 \leq i \leq L} c_i \\
& + \frac{1}{6} c_1 c_2 \sum_{3 \leq i \leq L} c_i^3 + \frac{1}{12}(c_1^3 + c_2^3) \sum_{3 \leq i \leq L} c_i^2 + \frac{1}{12}(c_1^2 + c_2^2) \sum_{3 \leq i \leq L} c_i^3 + \frac{1}{12}(c_1^3 c_2^2 + c_1^2 c_2^3) \\
= & \frac{1}{24}(c_1 + c_2) \left[\left(\sum_{3 \leq i \leq L} c_i \right)^4 - \sum_{3 \leq i \leq L} c_i^4 \right] + \frac{1}{12}(c_1 + c_2)^2 \left(\sum_{3 \leq i \leq L} c_i \right)^3 \\
& + \frac{1}{12}(c_1 + c_2)^3 \left(\sum_{3 \leq i \leq L} c_i \right)^2 + \frac{1}{12} c_1 c_2 (2c_1^2 + 2c_2^2 + 3c_1 c_2) \sum_{3 \leq i \leq L} c_i + \frac{1}{12}(c_1^3 c_2^2 + c_1^2 c_2^3) \\
= & \frac{1}{24}(c_1 + c_2)(1 - c_1 - c_2)^4 - \frac{1}{24}(c_1 + c_2) \sum_{3 \leq i \leq L} c_i^4 \\
& + \frac{1}{12}(c_1 + c_2)^2 (1 - c_1 - c_2)^3 + \frac{1}{12}(c_1 + c_2)^3 (1 - c_1 - c_2)^2 \\
& + \frac{1}{12} c_1 c_2 (2c_1^2 + 2c_2^2 + 3c_1 c_2) (1 - c_1 - c_2) + \frac{1}{12}(c_1^3 c_2^2 + c_1^2 c_2^3).
\end{aligned}$$

Therefore,

$$\begin{aligned}
& g(c_1 + \varepsilon, c_2 - \varepsilon, c_3, \dots, c_L) - g(c_1, c_2, c_3, \dots, c_L) \\
&= \frac{1}{12}(c_1 + \varepsilon)(c_2 - \varepsilon)[2(c_1 + \varepsilon)^2 + 2(c_2 - \varepsilon)^2 + 3(c_1 + \varepsilon)(c_2 - \varepsilon)](1 - c_1 - c_2) \\
&\quad + \frac{1}{12}(c_1 + \varepsilon)^2(c_2 - \varepsilon)^2(c_1 + c_2) - \frac{1}{12}c_1c_2(2c_1^2 + 2c_2^2 + 3c_1c_2)(1 - c_1 - c_2) \\
&\quad - \frac{1}{12}c_1^2c_2^2(c_1 + c_2) \\
&= \frac{1}{6}(c_2 - c_1)(c_1^2 + c_2^2 + c_1c_2)(1 - c_1 - c_2)\varepsilon + \frac{1}{6}c_1c_2(c_2 - c_1)(c_1 + c_2)\varepsilon + o(\varepsilon) > 0.
\end{aligned}$$

Since $c_2 > c_1$ and c_1c_2 , $1 - c_1 - c_2$ cannot be equal to zero simultaneously due to the assumption that g reaches the maximum at (c_1, c_2, \dots, c_L) . Therefore,

$$g(c_1 + \varepsilon, c_2 - \varepsilon, c_3, \dots, c_L) - g(c_1, c_2, c_3, \dots, c_L) > 0$$

for small enough $\varepsilon > 0$. This contradicts the assumption that g reaches the maximum at (c_1, c_2, \dots, c_L) . \blacksquare

Since $0 \leq \rho \leq \frac{a_1}{4}$, $a_1 - 4\rho \geq 0$, $(1 - a_1)^2 \geq 0$, then we have,

$$\begin{aligned}
& \rho^2 \left[a_1\rho^2 - 4\rho^3 + \left(\frac{2}{3}\rho - a_1 \right) (1 - a_1)^2 - \frac{1}{3}(1 - a_1)^3 \right] \\
& \leq \rho^2 \left[\frac{a_1^3}{16} - \frac{a_1^2}{4}\rho + \left(\frac{2}{3} \times \frac{a_1}{4} - a_1 \right) (1 - a_1)^2 - \frac{1}{3}(1 - a_1)^3 \right] \\
& = \rho^2 \left[\frac{a_1^3}{16} - \frac{a_1^2}{4}\rho - \left(\frac{a_1}{2} + \frac{1}{3} \right) (1 - a_1)^2 \right] \\
& = \rho^2 \left[\frac{1}{48}(-21a_1^3 + 32a_1^2 + 8a_1 - 16) - \frac{1}{4}a_1^2\rho \right].
\end{aligned}$$

Let $h(a_1) = -21a_1^3 + 32a_1^2 + 8a_1 - 16$, then, $h'(a_1) = -63a_1^2 + 64a_1 + 8$, $h''(a_1) = -126a_1 + 64$. So $h'(a_1)$ increases when $0 \leq a_1 \leq \frac{32}{63}$, $h'(a_1)$ decreases when $\frac{32}{63} \leq a_1 \leq 1$. Hence, $h'(a_1) \geq \min\{h'(0), h'(1)\} > 0$, thus, $h(a_1)$ increases when $0 \leq a_1 \leq 1$. Note that $h(0) < 0$, $h(\frac{11}{15}) < 0$, $h(1) > 0$, when $0 \leq a_1 \leq \frac{11}{15}$, we have $\rho^2[a_1\rho^2 - 4\rho^3 + (\frac{2}{3}\rho - a_1)(1 - a_1)^2 - \frac{1}{3}(1 - a_1)^3] \leq 0$, by Claim 3.3 and (3), we have $f(a_1, a_2, \dots, a_\ell, \rho) \leq g(a_1, a_2, \dots, a_\ell) \leq \frac{\alpha}{120}$. So Claim 3.2 holds for $0 \leq a_1 \leq \frac{11}{15}$. Therefore, we can assume that $\frac{11}{15} \leq a_1 \leq 1$. Since the geometric mean is not greater than the arithmetic mean, we have,

$$\rho^2 \left[\frac{a_1^3}{16} - \frac{a_1^2}{4}\rho - \left(\frac{a_1}{2} + \frac{1}{3} \right) (1 - a_1)^2 \right] = \frac{64}{a_1^4} \left(\frac{a_1^2\rho}{8} \right)^2 \left[\frac{a_1^3}{16} - \frac{a_1^2}{4}\rho - \left(\frac{a_1}{2} + \frac{1}{3} \right) (1 - a_1)^2 \right]$$

$$\begin{aligned} &\leq \frac{64}{a_1^4} \left[\frac{\frac{a_1^3}{16} - \left(\frac{a_1}{2} + \frac{1}{3}\right)(1-a_1)^2}{3} \right]^3 \\ &< \frac{64}{a_1^4} \left(\frac{a_1^3}{16 \times 3} \right)^3 \leq \frac{1}{1728}. \end{aligned}$$

Combining with (3) we have

$$\begin{aligned} f(a_1, a_2, \dots, a_\ell, \rho) &\leq f(a_1, a_2, \dots, a_\ell) \\ &\stackrel{\text{def}}{=} \sum_{1 \leq i < j < k < h < s \leq \ell} a_i a_j a_k a_h a_s + \frac{1}{2} \sum_{\substack{1 \leq i \leq \ell; 1 \leq j < k < h \leq \ell; \\ j, k, h \neq i}} a_i^2 a_j a_k a_h \\ &\quad + \frac{1}{4} \sum_{\substack{1 \leq i < j \leq \ell; 1 \leq k \leq \ell; \\ k \neq i, j}} a_i^2 a_j^2 a_k + \frac{1}{6} \sum_{\substack{1 \leq i \leq \ell; 1 \leq j < k \leq \ell; \\ j, k \neq i}} a_i^3 a_j a_k + \frac{1}{12} \sum_{\substack{1 \leq i \leq \ell; 1 \leq j \leq \ell; \\ j \neq i}} a_i^3 a_j^2 + \frac{1}{1728}. \end{aligned}$$

Therefore, to show Claim 3.2, it is sufficient to show the following claim:

Claim 3.4.

$$f(a_1, a_2, \dots, a_\ell) \leq \frac{\alpha}{120}$$

holds under the constraints $\sum_{i=1}^{\ell} a_i = 1$, $a_1 \geq \frac{11}{15}$, and each $a_i \geq 0$.

In order to prove Claim 3.4, we need to prove the following claim first:

Claim 3.5.

$$\begin{aligned} h(a_2, a_3, \dots, a_\ell) &\stackrel{\text{def}}{=} \sum_{2 \leq j < k < h < s \leq \ell} a_j a_k a_h a_s + \frac{1}{2} \sum_{\substack{2 \leq j \leq \ell; 2 \leq k < h \leq \ell; \\ k, h \neq j}} a_j^2 a_k a_h + \frac{1}{4} \sum_{2 \leq j < k \leq \ell} a_j^2 a_k^2 \\ &\quad + \frac{1}{6} \sum_{\substack{2 \leq j \leq \ell; 2 \leq k \leq \ell; \\ k \neq j}} a_j^3 a_k, \end{aligned}$$

reaches maximum $\frac{1}{24} \left(1 - \frac{1}{(\ell-1)^3}\right) c^4$ at $a_2 = a_3 = \dots = a_\ell = \frac{c}{\ell-1}$ under the constraints $\sum_{i=1}^{\ell} a_i = c$, and each $a_i \geq 0$.

Proof of Claim 3.5. Since $h(a_2, a_3, \dots, a_\ell)$ is a polynomial with degree 4 for each term, we just need to prove the claim for the case $c = 1$. Suppose that h reaches the maximum at $(c_2, c_3, \dots, c_\ell)$, we show that $c_2 = c_3 = \dots = c_\ell = \frac{1}{\ell-1}$. Otherwise, assume that $c_3 > c_2$, we will show that $h(c_2 + \varepsilon, c_3 - \varepsilon, c_4, \dots, c_\ell) - h(c_2, c_3, \dots, c_\ell) > 0$ for small enough $\varepsilon > 0$ and derive a contradiction. Notice that

$$\begin{aligned}
& h(c_2 + \varepsilon, c_3 - \varepsilon, c_4, \dots, c_\ell) - h(c_2, c_3, c_4, \dots, c_\ell) \\
= & [(c_2 + \varepsilon)(c_3 - \varepsilon) - c_2 c_3] \sum_{4 \leq j < k \leq \ell} c_j c_k \\
& + \frac{1}{2} [(c_2 + \varepsilon)^2 + (c_3 - \varepsilon)^2 - c_2^2 - c_3^2] \sum_{4 \leq j < k \leq \ell} c_j c_k + \frac{1}{2} [(c_2 + \varepsilon)(c_3 - \varepsilon) - c_2 c_3] \sum_{4 \leq j \leq \ell} c_j^2 \\
& + \frac{1}{2} [(c_2 + \varepsilon)^2 (c_3 - \varepsilon) + (c_3 - \varepsilon)^2 (c_2 + \varepsilon) - c_2^2 c_3 - c_2 c_3^2] \sum_{4 \leq j \leq \ell} c_j \\
& + \frac{1}{4} [(c_2 + \varepsilon)^2 + (c_3 - \varepsilon)^2 - c_2^2 - c_3^2] \sum_{4 \leq j \leq \ell} c_j^2 + \frac{1}{4} [(c_2 + \varepsilon)^2 (c_3 - \varepsilon)^2 - c_2^2 c_3^2] \\
& + \frac{1}{6} [(c_2 + \varepsilon)^3 + (c_3 - \varepsilon)^3 - c_2^3 - c_3^3] \sum_{4 \leq j \leq \ell} c_j \\
& + \frac{1}{6} [(c_2 + \varepsilon)^3 (c_3 - \varepsilon) + (c_3 - \varepsilon)^3 (c_2 + \varepsilon) - c_2^3 c_3 - c_3^3 c_2] \\
= & \frac{1}{6} (c_3^3 - c_2^3) \varepsilon + o(\varepsilon) > 0,
\end{aligned}$$

for small enough $\varepsilon > 0$ and get a contradiction. \blacksquare

Proof of Claim 3.4. We will apply Claims 3.3 and 3.5. Separating the terms containing a_1 from the terms not containing a_1 , we write function $f(a_1, a_2, \dots, a_\ell)$ as follows:

$$\begin{aligned}
& f(a_1, a_2, \dots, a_\ell) \\
= & \sum_{2 \leq i < j < k < h < s \leq \ell} a_i a_j a_k a_h a_s + \frac{1}{2} \sum_{\substack{2 \leq i \leq \ell; 2 \leq j < k < h \leq \ell; \\ j, k, h \neq i}} a_i^2 a_j a_k a_h \\
& + \frac{1}{4} \sum_{\substack{2 \leq i < j \leq \ell; 2 \leq k \leq \ell; \\ k \neq i, j}} a_i^2 a_j^2 a_k + \frac{1}{6} \sum_{\substack{2 \leq i \leq \ell; 2 \leq j < k \leq \ell; \\ j, k \neq i}} a_i^3 a_j a_k + \frac{1}{12} \sum_{\substack{2 \leq i \leq \ell; 2 \leq j \leq \ell; \\ j \neq i}} a_i^3 a_j^2 \\
& + a_1 \left(\sum_{2 \leq j < k < h < s \leq \ell} a_j a_k a_h a_s + \frac{1}{2} \sum_{\substack{2 \leq j \leq \ell; 2 \leq k < h \leq \ell; \\ k, h \neq j}} a_j^2 a_k a_h + \frac{1}{4} \sum_{2 \leq j < k \leq \ell} a_j^2 a_k^2 \right. \\
& + \frac{1}{6} \sum_{\substack{2 \leq j \leq \ell; 2 \leq k \leq \ell; \\ k \neq j}} a_j^3 a_k + \frac{1}{2} a_1^2 \left(\sum_{2 \leq j < k < h \leq \ell} a_j a_k a_h \right) + \frac{1}{4} a_1^2 \left(\sum_{\substack{2 \leq j \leq \ell; 2 \leq k \leq \ell; \\ k \neq j}} a_j^2 a_k \right) \\
& \left. + \frac{1}{6} a_1^3 \left(\sum_{2 \leq j < k \leq \ell} a_j a_k \right) + \frac{1}{12} a_1^3 \left(\sum_{2 \leq j \leq \ell} a_j^2 \right) + \frac{1}{12} a_1^2 \left(\sum_{2 \leq j \leq \ell} a_j^3 \right) + \frac{1}{1728} \right) \\
= & \sum_{2 \leq i < j < k < h < s \leq \ell} a_i a_j a_k a_h a_s + \frac{1}{2} \sum_{\substack{2 \leq i \leq \ell; 2 \leq j < k < h \leq \ell; \\ j, k, h \neq i}} a_i^2 a_j a_k a_h
\end{aligned}$$

$$\begin{aligned}
& + \frac{1}{4} \sum_{\substack{2 \leq i < j \leq \ell; 2 \leq k \leq \ell; \\ k \neq i, j}} a_i^2 a_j^2 a_k + \frac{1}{6} \sum_{\substack{2 \leq i \leq \ell; 2 \leq j < k \leq \ell; \\ j, k \neq i}} a_i^3 a_j a_k + \frac{1}{12} \sum_{\substack{2 \leq i \leq \ell; 2 \leq j \leq \ell; \\ j \neq i}} a_i^3 a_j^2 \\
& + a_1 \left(\sum_{2 \leq j < k < h < s \leq \ell} a_j a_k a_h a_s + \frac{1}{2} \sum_{\substack{2 \leq j \leq \ell; 2 \leq k < h \leq \ell; \\ k, h \neq j}} a_j^2 a_k a_h + \frac{1}{4} \sum_{2 \leq j < k \leq \ell} a_j^2 a_k^2 \right) \\
& + \frac{1}{6} \sum_{\substack{2 \leq j \leq \ell; 2 \leq k \leq \ell; \\ k \neq j}} a_j^3 a_k + \frac{1}{12} a_1^3 \left(\sum_{2 \leq j \leq \ell} a_j \right)^2 + \frac{1}{12} a_1^2 \left(\sum_{2 \leq j \leq \ell} a_j \right)^3 + \frac{1}{1728}.
\end{aligned}$$

Applying Claim 3.3 by taking $L = \ell - 1$ variables a_2, a_3, \dots, a_ℓ and $c = 1 - a_1$, Claim 3.5 and $\frac{1}{12} a_1^2 \left(\sum_{2 \leq j \leq \ell} a_j \right)^3 + \frac{1}{12} a_1^3 \left(\sum_{2 \leq j \leq \ell} a_j \right)^2 = \frac{1}{12} a_1^2 (1 - a_1)^3 + \frac{1}{12} a_1^3 (1 - a_1)^2 = \frac{1}{12} a_1^2 (1 - a_1)^2$, we have

$$\begin{aligned}
f(a_1, a_2, \dots, a_\ell) & \leq f(a_1) \stackrel{\text{def}}{=} \frac{1}{120} \left[1 - \frac{5}{(\ell - 1)^3} + \frac{4}{(\ell - 1)^4} \right] (1 - a_1)^5 \\
& \quad + \frac{1}{24} \left[1 - \frac{1}{(\ell - 1)^3} \right] (1 - a_1)^4 a_1 + \frac{1}{12} a_1^2 (1 - a_1)^2 + \frac{1}{1728}.
\end{aligned}$$

Therefore, to show Claim 3.4, we need to show the following claim:

Claim 3.6.

$$f(a_1) \leq \frac{\alpha}{120}$$

holds when $\frac{11}{15} \leq a_1 \leq 1$.

Proof. By a direct calculation,

$$f'(a_1) = \frac{1}{6} \left[\frac{1}{(\ell - 1)^3} - \frac{1}{(\ell - 1)^4} \right] (1 - a_1)^4 + \frac{1}{6(\ell - 1)^3} (1 - a_1)^3 a_1 - \frac{1}{6} a_1^3 (1 - a_1),$$

$$f''(a_1) = \left[\frac{2}{3(\ell - 1)^4} - \frac{1}{2(\ell - 1)^3} \right] (1 - a_1)^3 - \frac{1}{2(\ell - 1)^3} (1 - a_1)^2 a_1 + \frac{2}{3} a_1^3 - \frac{1}{2} a_1^2,$$

$$f^{(3)}(a_1) = \left[\frac{1}{(\ell - 1)^3} - \frac{2}{(\ell - 1)^4} \right] (1 - a_1)^2 + \frac{1}{(\ell - 1)^3} (1 - a_1) a_1 + 2a_1^2 - a_1,$$

$$f^{(4)}(a_1) = \left[4 - \frac{4}{(\ell - 1)^4} \right] a_1 - 1 - \frac{1}{(\ell - 1)^3} + \frac{4}{(\ell - 1)^4},$$

Note that $f^{(4)}(a_1) > 0$, when $\frac{11}{15} \leq a_1 \leq 1$, so $f^{(3)}(a_1)$ increases when $\frac{11}{15} \leq a_1 \leq 1$. By a direct calculation, $f^{(3)}(\frac{11}{15}) > 0$, so $f''(a_1)$ increases when $\frac{11}{15} \leq a_1 \leq 1$. Since we have $f''(\frac{11}{15}) < 0$, $f''(1) > 0$, thus, $f'(a_1) \leq \max\{f'(\frac{11}{15}), f'(1)\}$. By a direct calculation, $f'(\frac{11}{15}) < 0$, $f'(1) = 0$, so $f(a_1)$ is a decreasing function when $\frac{11}{15} \leq a_1 \leq 1$. When $\ell = 2$, $f(\frac{11}{15}) = \frac{1}{12} \times \frac{11^2 \times 4^2}{15^4} + \frac{1}{1728} < \frac{1}{120} \times \frac{5}{8} = \frac{\alpha}{120}$. If $\ell \geq 3$, since $1 - \frac{5}{(\ell - 1)^3} + \frac{4}{(\ell - 1)^4} \geq 1 - \frac{5}{2^3} + \frac{4}{2^4}$, then we have $f(\frac{11}{15}) = \frac{1}{120} \left[1 - \frac{5}{(\ell - 1)^3} + \frac{4}{(\ell - 1)^4} \right] - (1 -$

$\frac{4^5}{15^5}) \times \frac{1}{120} (1 - \frac{5}{(\ell-1)^3} + \frac{4}{(\ell-1)^4}) + \frac{1}{24} [1 - \frac{1}{(\ell-1)^3}] \times \frac{11 \times 4^4}{15^5} + \frac{1}{12} \times \frac{11^2 \times 4^2}{15^4} + \frac{1}{1728} \leq \frac{1}{120} [1 - \frac{5}{(\ell-1)^3} + \frac{4}{(\ell-1)^4}] - (1 - \frac{4^5}{15^5}) \times \frac{1}{120} (1 - \frac{5}{2^3} + \frac{4}{2^4}) + \frac{1}{24} \times \frac{11 \times 4^4}{15^5} + \frac{1}{12} \times \frac{11^2 \times 4^2}{15^4} + \frac{1}{1728} \leq \frac{1}{120} [1 - \frac{5}{(\ell-1)^3} + \frac{4}{(\ell-1)^4}]$.
 So, $f(a_1) \leq f(\frac{11}{15}) \leq \frac{1}{120} [1 - \frac{5}{(\ell-1)^3} + \frac{4}{(\ell-1)^4}] < \frac{1}{120} [1 - \frac{5}{\ell^3} + \frac{4}{\ell^4}] = \frac{\alpha}{120}$. This completes the proof of Claim 3.6. \blacksquare

Applying Claim 3.2 to (3), we have

$$\lambda(M) \leq \frac{\alpha}{120}.$$

This completes the proof of Lemma 3.1. \blacksquare

4 Proof of Theorem 1.5

Theorem 1.5 extends Theorem 1.4 for the case $\ell = 5$ to every integer $r \geq 5$. The proof is based on an extension of the 5-uniform graph $H(\ell, t)$ in Section 3 for the case $\ell = 5$.

Suppose that $\frac{151r!}{6r^r}$ is a jump for $r \geq 5$. In view of Lemma 2.2, there exists a finite collection \mathcal{F} of r -uniform graphs satisfying the following:

- i) $\lambda(F) > \frac{151}{6r^r}$ for all $F \in \mathcal{F}$, and
- ii) $\frac{151r!}{6r^r}$ is a threshold for \mathcal{F} .

Set $k_0 = \max_{F \in \mathcal{F}} |V(F)|$ and $\sigma_0 = 2c_0(\ell)$ be the number defined as in the above. Let $r = 5$ and $t_0(k_0, \sigma_0)$ be given as in Lemma 2.3. Take an integer $t > t_0$ and a 5-uniform hypergraph $H(5, t)$ (i.e. $\ell = 5$) the same way as in the above with the new k_0 . For simplicity, we write $H(5, t)$ as $H(t)$.

Since Theorem 1.4 holds, we may assume that $r \geq 6$.

Based on the 5-uniform graph $H(t)$, we construct an r -uniform graph $H^{(r)}(t)$ on r pairwise disjoint sets $V_1, V_2, V_3, V_4, V_5, \dots, V_r$, each with order t by taking the edge set $\{u_1, u_2, u_3, u_4, u_5, \dots, u_r\}$, where $\{\{u_1, u_2, u_3, u_4, u_5\}$ is an edge in $H(t)$ and for each j , $6 \leq j \leq r$, $u_j \in V_j\}$. Notice that

$$|E(H^{(r)}(t))| = t^{r-5} |E(H(t))|.$$

Take $\ell = 5$, we get

$$|E(H(t))| \geq \frac{151}{6} t^5 + \frac{c_0(\ell) t^4}{2}.$$

Hence, we have

$$\lambda(H^{(r)}(t)) \geq \frac{|E(H^{(r)}(t))|}{(rt)^r} \geq \frac{151}{6r^r} + \frac{c_0(\ell)}{2r^r t}.$$

Similar as the case that Theorem 1.4 follows from Lemma 3.1, we have that Theorem 1.5 follows from the following lemma.

Lemma 4.1. *Let $M^{(r)}$ be a subgraph of $H^{(r)}(t)$ with $|V(M^{(r)})| \leq k_0$. Then*

$$\lambda(M^{(r)}) \leq \frac{151}{6r^r}$$

holds.

Proof. In view of Fact 2.1, we may assume that $M^{(r)}$ is a non-empty induced subgraph of $H^{(r)}(t)$. Define $U_i = V(M) \cap V_i$ for $1 \leq i \leq r$. Let $M^{(5)}$ be the 5-uniform graph defined on $\bigcup_{i=1}^5 U_i$. The edge set of $M^{(5)}$ consists of all 5-sets of the form of $e \cap (\bigcup_{i=1}^5 U_i)$, where e is an edge of $M^{(r)}$. Let $\vec{\xi}$ be an optimal vector for $\lambda(M^{(r)})$. Let $\vec{\xi}^{(5)}$ be the restriction of $\vec{\xi}$ to $U_1 \cup U_2 \cup U_3 \cup U_4 \cup U_5$. Let a_i be the sum of the weights of vertices of U_i , $1 \leq i \leq r$, respectively.

According to the relationship between $M^{(r)}$ and $M^{(5)}$, we have

$$\lambda(M^{(r)}) = \lambda(M^{(5)}, \vec{\xi}^{(5)}) \times \prod_{i=6}^r a_i.$$

Applying Lemma 3.1 with $\ell = 5$ and observing that $\sum_{i=1}^5 a_i = 1 - \sum_{i=6}^r a_i$, we obtain that,

$$\begin{aligned} \lambda(M^{(r)}) &\leq \frac{1}{120} \times \frac{604}{5^4} (1 - \sum_{i=6}^r a_i)^5 \prod_{i=6}^r a_i \leq \frac{1}{120} \times \frac{604}{5^4} \times 5^5 \times \left(\frac{1 - \sum_{i=6}^r a_i}{5} \right)^5 \prod_{i=6}^r a_i \\ &= \frac{1}{120} \times \frac{604}{5^4} \times 5^5 \times \left(\frac{1}{r} \right)^r = \frac{151}{6r^r}. \end{aligned}$$

This completes the proof of Lemma 4.1. ■

5 Proof of Theorem 1.6

In this section, we focus on $r = 5$ and prove the following Theorem, which implies Theorem 1.6.

Theorem 5.1. *Let $\ell \geq 2$, $q \geq 1$ be integers. Let $N(\ell)$ be any of the five numbers given below.*

$$N(\ell) = \begin{cases} 1 - \frac{5}{\ell^3} + \frac{4}{\ell^4}, & \text{or} \\ 1 - \frac{1}{\ell^4}, & \text{or} \\ \frac{12}{125} & (\text{in this case, view } \ell = 5), \text{ or} \\ \frac{96}{625} & (\text{in this case, view } \ell = 5), \text{ or} \\ \frac{252}{625} & (\text{in this case, view } \ell = 5). \end{cases} \quad (4)$$

Then

$$N(\ell, q) = 1 - \frac{10}{\ell q} + \frac{35}{\ell^2 q^2} - \frac{50}{\ell^3 q^3} + \frac{10}{\ell q^4} - \frac{35}{\ell^2 q^4} + \frac{50}{\ell^3 q^4} - \frac{1}{q^4} + \frac{N(\ell)}{q^4} \quad (5)$$

is not a jump for 5 provided

$$q = 1 \text{ or } \ell^3(1 - N(\ell))(q^3 + q^2 + q + 1) - 10\ell^2(q^2 + q + 1) + 35\ell(q + 1) - 50 \geq 0 \quad (6)$$

holds.

Now let us explain why Theorem 5.1 implies Theorem 1.6.

If $N(\ell) = \alpha$, then

$$\begin{aligned} & \ell^3(1 - N(\ell))(q^3 + q^2 + q + 1) - 10\ell^2(q^2 + q + 1) + 35\ell(q + 1) - 50 \\ &= \ell^3\left(\frac{5}{\ell^3} - \frac{4}{\ell^4}\right)(q^3 + q^2 + q + 1) - 10\ell^2(q^2 + q + 1) + 35\ell(q + 1) - 50 \\ &= \frac{1}{\ell}[(5\ell - 4)q^3 + (5\ell - 10\ell^3 - 4)q^2 + (5\ell - 10\ell^3 + 35\ell^2 - 4)q \\ &\quad + (-45\ell - 10\ell^3 + 35\ell^2 - 4)] \\ &\stackrel{\text{def}}{=} f_1(q) \end{aligned}$$

is an increasing function of q when $q \geq 2\ell^2 + 2\ell$ and $f_1(2\ell^2 + 2\ell) > 0$. Therefore, when $q \geq 2\ell^2 + 2\ell$, (6) is satisfied. Applying Theorem 5.1, we get Part (a) of Theorem 1.6.

If $N(\ell) = 1 - \frac{1}{\ell^4}$, then

$$\begin{aligned} & \ell^3(1 - N(\ell))(q^3 + q^2 + q + 1) - 10\ell^2(q^2 + q + 1) + 35\ell(q + 1) - 50 \\ &= \ell^3\left(\frac{1}{\ell^4}\right)(q^3 + q^2 + q + 1) - 10\ell^2(q^2 + q + 1) + 35\ell(q + 1) - 50 \\ &= \frac{1}{\ell}[q^3 - (10\ell^3 - 1)q^2 - (10\ell^3 - 35\ell^2 - 1)q + (1 - 10\ell^3 + 35\ell^2 - 50\ell)] \\ &\stackrel{\text{def}}{=} f_2(q) \end{aligned}$$

is an increasing function of q when $q \geq 7\ell^3$ and $f_2(10\ell^3) > 0$. Therefore, when $q \geq 10\ell^3$, (6) is satisfied. Applying Theorem 5.1, we get Part (b) of Theorem 1.6.

If $\ell = 5$ and $N(\ell) = \frac{12}{125}$, then

$$\begin{aligned} & \ell^3(1 - N(\ell))(q^3 + q^2 + q + 1) - 10\ell^2(q^2 + q + 1) + 35\ell(q + 1) - 50 \\ &= 113q^3 - 137q^2 + 38q - 12 \\ &\stackrel{\text{def}}{=} f_3(q) \end{aligned}$$

is an increasing function of q when $q \geq 1$ and $f_3(2) > 0$. Therefore, (6) is satisfied. Applying Theorem 5.1, we get Part (c) of Theorem 1.6.

If $\ell = 5$ and $N(\ell) = \frac{96}{625}$, then

$$\begin{aligned} & \ell^3(1 - N(\ell))(q^3 + q^2 + q + 1) - 10\ell^2(q^2 + q + 1) + 35\ell(q + 1) - 50 \\ &= \frac{1}{5}(529q^3 - 721q^2 + 154q - 96) \\ &\stackrel{\text{def}}{=} f_4(q) \end{aligned}$$

is an increasing function of q when $q \geq 1$ and $f_4(2) > 0$. Therefore, (6) is satisfied. Applying Theorem 5.1, we get Part (d) of Theorem 1.6.

If $\ell = 5$ and $N(\ell) = \frac{252}{625}$, then

$$\begin{aligned} & \ell^3(1 - N(\ell))(q^3 + q^2 + q + 1) - 10\ell^2(q^2 + q + 1) + 35\ell(q + 1) - 50 \\ &= \frac{1}{5}(373q^3 - 877q^2 - 2q - 252) \\ &\stackrel{\text{def}}{=} f_5(q) \end{aligned}$$

is an increasing function of q when $q \geq 2$ and $f_5(3) > 0$. Therefore, when $q \geq 3$, (6) is satisfied. Applying Theorem 5.1, we get Part (e) of Theorem 1.6.

Now we give the proof of Theorem 5.1.

Proof of Theorem 5.1. Let integers ℓ, q and numbers $N(\ell)$ and $N(\ell, q)$ be given as in Theorem 5.1. We will show that $N(\ell, q)$ is not a jump for 5. Let t be a fixed large enough integer determined later. We first define a 5-uniform hypergraph $G(\ell, t)$ on ℓ pairwise disjoint sets V_1, \dots, V_ℓ , each of them with size t and the density of $G(\ell, t)$ is close to $N(\ell)$ when t is large enough. Each of five choices of $N(\ell)$ corresponds to a construction.

1. If $N(\ell) = \alpha$, then $G(\ell, t)$ is defined in section 3. Notice that

$$d(G(\ell, t)) = \frac{\binom{\ell}{5}t^5 + \ell\binom{\ell-1}{3}\binom{t}{2}t^3 + \binom{\ell}{2}(\ell-2)\binom{t}{2}\binom{t}{2}t + \ell\binom{\ell-1}{2}\binom{t}{3}t^2 + \ell(\ell-1)\binom{t}{3}\binom{t}{2}}{\binom{\ell t}{5}}$$

which is close to α if t is large enough.

2. If $N(\ell) = 1 - \frac{1}{\ell^4}$, then $G(\ell, t)$ is defined on ℓ pairwise disjoint sets V_1, V_2, \dots, V_ℓ , where $|V_i| = t$, and the edge set of $G(\ell, t)$ is $\left(\cup_{i=1}^{\ell} V_i\right) - \cup_{i=1}^{\ell} \binom{V_i}{5}$. Notice that

$$d(G(\ell, t)) = \frac{\binom{\ell t}{5} - \ell \binom{t}{5}}{\binom{\ell t}{5}}$$

which is close to $1 - \frac{1}{\ell^4}$ if t is large enough.

3. If $N(5) = \frac{12}{125}$ (in this case, view $\ell = 5$), then $G(5, t)$ is defined on 5 pairwise disjoint sets V_1, V_2, V_3, V_4, V_5 , where $|V_i| = t$, and the edge set of $G(5, t)$ consists of all 5-sets in the form of $\{\{a, b, c, v_4, v_5\}, \text{ where } a \in V_1, b \in V_2, c \in V_3 \text{ and } v_4 \in V_4, v_5 \in V_5\}$, or $\{\{a, b, c, v_4, v_5\}, \text{ where } \{a, b\} \in \binom{V_1}{2}, c \in V_2 \text{ and } v_4 \in V_4, v_5 \in V_5\}$, or $\{\{a, b, c, v_4, v_5\}, \text{ where } \{a, b\} \in \binom{V_2}{2}, c \in V_3 \text{ and } v_4 \in V_4, v_5 \in V_5\}$, or $\{\{a, b, c, v_4, v_5\}, \text{ where } \{a, b\} \in \binom{V_3}{2}, c \in V_1 \text{ and } v_4 \in V_4, v_5 \in V_5\}$. Notice that

$$d(G(5, t)) = \frac{t^5 + 3 \binom{t}{2} t^3}{\binom{5t}{5}}$$

which is close to $\frac{12}{125}$ if t is large enough.

4. If $N(5) = \frac{96}{625}$ (in this case, view $\ell = 5$), then $G(5, t)$ is defined on 5 pairwise disjoint sets V_1, V_2, V_3, V_4, V_5 , where $|V_i| = t$, and the edge set of $G(5, t)$ consists of all 5-sets in the form of $\{\{v_1, v_2, v_3, v_4, v_5\}, \text{ where } \{v_1, v_2, v_3\} \in \left(\cup_{i=1}^3 V_i\right) - \cup_{i=1}^3 \binom{V_i}{3}, \text{ and } v_4 \in V_4, v_5 \in V_5\}$. Notice that

$$d(G(5, t)) = \frac{\left(\binom{3t}{3} - 3 \binom{t}{3}\right) t^2}{\binom{5t}{5}}$$

which is close to $\frac{96}{625}$ if t is large enough.

5. If $N(5) = \frac{252}{625}$ (in this case, view $\ell = 5$), then $G(5, t)$ is defined on 5 pairwise disjoint sets V_1, V_2, V_3, V_4, V_5 , where $|V_i| = t$, and the edge set of $G(5, t)$ consists of all 5-sets in the form of $\{\{v_1, v_2, v_3, v_4, v_5\}, \text{ where } \{v_1, v_2, v_3, v_4\} \in \left(\cup_{i=1}^4 V_i\right) - \cup_{i=1}^4 \binom{V_i}{4}, \text{ and } v_5 \in V_5\}$. Notice that

$$d(G(5, t)) = \frac{\left(\binom{4t}{4} - 4 \binom{t}{4}\right) t}{\binom{5t}{5}}$$

which is close to $\frac{252}{625}$ if t is large enough.

We also note that

$$\frac{|E(G(\ell, t))| + \frac{1}{12} \ell^4 t^4}{(\ell t)^5} \geq \frac{1}{120} \left(N(\ell) + \frac{1}{\ell^5 t}\right) \quad (7)$$

holds for $t \geq t_1$.

The 5-uniform graph $G(\ell, q, t)$ on ℓq pairwise disjoint sets V_i , $1 \leq i \leq \ell q$, each of them with size t is obtained as follows: for each p , $0 \leq p \leq q-1$, take a copy of $G(\ell, t)$ on the vertex set $\cup_{p\ell+1 \leq j \leq (p+1)\ell} V_j$, then add all other edges (not entirely in any copy of $G(\ell, t)$) in the form of $\{\{v_{j_1}, v_{j_2}, v_{j_3}, v_{j_4}, v_{j_5}\}$, where $1 \leq j_1 < j_2 < j_3 < j_4 < j_5 \leq \ell q$ and $v_{j_k} \in V_{j_k}$ for $1 \leq k \leq 5\}$. We will use Lemma 2.3 to add a 5-uniform graph to $G(\ell, q, t)$ so that the Lagrangian of the resulting graph is $> \frac{N(\ell, q)}{120} + \varepsilon(t)$ for some $\varepsilon(t) > 0$. The precise argument is given below.

Suppose that $N(\ell, q)$ is a jump for $r = 5$. By Lemma 2.2, there exists a finite collection \mathcal{F} of 5-uniform graphs satisfying the following:

- i) $\lambda(F) > \frac{N(\ell, q)}{120}$ for all $F \in \mathcal{F}$, and
- ii) $N(\ell, q)$ is a threshold for \mathcal{F} .

Assume that $r = 5$ and set $k_1 = \max_{F \in \mathcal{F}} |V(F)|$ and $\sigma_1 = \frac{1}{12} \ell^4 q$. Let $t_0(k_1, \sigma_1)$ be given as in Lemma 2.3. Fix an integer $t > \max(t_0, t_1)$, where t_1 is the number from (7).

Take a 5-uniform graph $A_{k_1, \sigma_1}(t)$ satisfying the conditions in Lemma 2.3 with $V(A_{k_1, \sigma_1}(t)) = V_1$. The 5-uniform hypergraph $H(\ell, q, t)$ is obtained by adding $A_{k_1, \sigma_1}(t)$ to the 5-uniform hypergraph $G(\ell, q, t)$. Now we give a lower bound of $\lambda(H(\ell, q, t))$. Notice that,

$$\lambda(H(\ell, q, t)) \geq \frac{|E(H(\ell, q, t))|}{(\ell q t)^5}.$$

In view of the construction of $H(\ell, q, t)$, we have

$$\begin{aligned} & \frac{|E(H(\ell, q, t))|}{(\ell q t)^5} = \frac{|E(G(\ell, q, t))| + \sigma_1 t^4}{(\ell q t)^5} \\ &= \frac{q|E(G(\ell, t))| + \frac{1}{12} \ell^4 q t^4 + ((\binom{\ell q}{5} - q \binom{\ell}{5}) t^5)}{(\ell q t)^5} \\ &= \frac{q|E(G(\ell, t))| + \frac{1}{12} \ell^4 q t^4}{(\ell q t)^5} + \frac{1}{120} \left(1 - \frac{10}{\ell q} + \frac{35}{\ell^2 q^2} - \frac{50}{\ell^3 q^3} - \frac{1}{q^4} + \frac{10}{\ell q^4} - \frac{35}{\ell^2 q^4} + \frac{50}{\ell^3 q^4}\right) \\ &\stackrel{(7)}{\geq} \frac{1}{120} \left(\frac{N(\ell)}{q^4} + \frac{1}{(\ell q)^5 t}\right) + \frac{1}{120} \left(1 - \frac{10}{\ell q} + \frac{35}{\ell^2 q^2} - \frac{50}{\ell^3 q^3} - \frac{1}{q^4} + \frac{10}{\ell q^4} - \frac{35}{\ell^2 q^4} + \frac{50}{\ell^3 q^4}\right) \\ &\stackrel{(5)}{=} \frac{1}{120} \left(N(\ell, q) + \frac{1}{(\ell q)^5 t}\right). \end{aligned}$$

Hence, we have

$$\lambda(H(\ell, q, t)) \geq \frac{1}{120} \left(N(\ell, q) + \frac{1}{(\ell q)^5 t}\right).$$

Now suppose $\vec{y} = \{y_1, y_2, \dots, y_{\ell q t}\}$ is an optimal vector of $\lambda(H(\ell, q, t))$. Let $\varepsilon = \frac{1}{2(\ell q)^5 t}$ and $n > n_1(\varepsilon)$ as in Remark 2.1. Then 5-uniform graph $S_n = ([ny_1], \dots, [ny_{\ell q t}]) \otimes H(\ell, q, t)$ has density larger than $N(\ell, q) + \varepsilon$. Since $N(\ell, q)$ is a threshold for

\mathcal{F} , some member F of \mathcal{F} is a subgraph of S_n for $n \geq \max\{n_0(\varepsilon), n_1(\varepsilon)\}$. For such $F \in \mathcal{F}$, there exists a subgraph M' of $H(\ell, q, t)$ with $|V(M')| \leq k_1$ so that $F \subset \vec{\mathbf{n}} \otimes M' \subset \vec{\mathbf{n}} \otimes H(\ell, q, t)$.

Theorem 5.1 will follow from the following lemma.

Lemma 5.1. *Let M' be any graph of $H(\ell, q, t)$ with $|V(M')| \leq k_1$. Then*

$$\lambda(M') \leq \frac{1}{120}N(\ell, q) \quad (8)$$

holds.

The proof of Lemma 5.1 will be given as follows. We continue the proof of Theorem 5.1 by applying this Lemma. By Fact 2.2 we have

$$\lambda(F) \leq \lambda(\vec{\mathbf{n}} \otimes M') = \lambda(M') \leq \frac{1}{120}N(\ell, q)$$

which contradicts our choice of F , i.e., contradicts the fact that $\lambda(F) > \frac{1}{120}N(\ell, q)$ for all $F \in \mathcal{F}$. This completes the proof of Theorem 5.1. \blacksquare

Proof of Lemma 5.1. Let M' be any subgraph of $H(\ell, q, t)$ with $|V(M')| \leq k_1$ and $\vec{\xi}$ be an optimal vector for $\lambda(M')$. Define $U_i = V(M') \cap V_i$ for $1 \leq i \leq \ell q$. Let a_i be the sum of the weights in U_i , $1 \leq i \leq \ell q$, respectively. Note that $\sum_{i=1}^{\ell q} a_i = 1$ and $a_i \geq 0$ for each i , $1 \leq i \leq \ell q$.

The proof of Lemma 5.1 is based on Lemma 3.1, Claim 3.2, 3.3 and an estimation given in [5] and [11] on the summation of the terms in $\lambda(M')$ corresponding to edges in $E(M') \cap \binom{\cup_{i=1}^{\ell} V_i}{5}$, denoted by $\lambda(M' \cap \cup_{i=1}^{\ell} V_i)$. For our purpose, we formulate Claim 3.2 in Section 3, Lemma 4.2 in [5] and Lemma 3.2 in [11] as follows.

Lemma 5.2. *There exists a function f such that*

$$\lambda(M' \cap \cup_{i=1}^{\ell} V_i) \leq f(a_1, a_2, \dots, a_{\ell}, \rho), \quad (9)$$

where the function f satisfies the following property:

$$f(a_1, a_2, \dots, a_{\ell}, \rho) \leq f\left(\frac{1}{\ell}, \frac{1}{\ell}, \dots, \frac{1}{\ell}, 0\right) = \frac{1}{120}N(\ell) \quad (10)$$

holds under the constraints $\sum_{j=1}^{\ell} a_j = 1$ and each $a_j \geq 0$, $1 \leq j \leq \ell$ and $0 \leq \rho \leq \frac{\alpha_1}{4}$.

In view of the construction of $H(\ell, q, t)$, for each p , $1 \leq p \leq q-1$, the structure of M' restricted on the vertex set $\cup_{i=p\ell+1}^{(p+1)\ell} V_i$ is similar to the structure of M' restricted on the vertex set $\cup_{i=1}^{\ell} V_i$, but there might be some other extra edges in $\binom{V_1}{5}$ for M'

restricted on the vertex set $\cup_{i=1}^{\ell} V_i$. Therefore, for each p , $1 \leq p \leq q-1$ the summation of the terms in $\lambda(M')$ corresponding to edges in $E(M') \cap \left(\cup_{i=p\ell+1}^{(p+1)\ell} V_i\right)$ denoted by $\lambda(M' \cap \cup_{i=p\ell+1}^{(p+1)\ell} V_i)$. For our purpose, we formulate Claim 3.3 in section 3, Lemma 4.2 in [5] and Lemma 3.2 in [11] as follows.

Lemma 5.3. *There exists a function g such that*

$$\lambda(M' \cap \cup_{i=p\ell+1}^{(p+1)\ell} V_i) \leq g(a_{p\ell+1}, a_{p\ell+2}, \dots, a_{(p+1)\ell}), \quad (11)$$

where the function g satisfies the following property:

$$g(d_{p\ell+1}, d_{p\ell+2}, \dots, d_{(p+1)\ell}) \leq g\left(\frac{c}{\ell}, \frac{c}{\ell}, \dots, \frac{c}{\ell}\right) = \frac{1}{120} N(\ell) c^5 \quad (12)$$

holds under the constraints $\sum_{j=p\ell+1}^{(p+1)\ell} d_j = c$ and each $d_j \geq 0$, $p\ell + 1 \leq j \leq (p+1)\ell$ for any positive constant c .

Consequently,

$$\begin{aligned} \lambda(M') &\leq f(a_1, a_2, \dots, a_{\ell}, \rho) + \sum_{p=1}^{q-1} g(a_{p\ell+1}, a_{p\ell+2}, \dots, a_{(p+1)\ell}) \\ &\quad + \left(\sum_{1 \leq i_1 < i_2 < i_3 < i_4 < i_5 \leq \ell q} a_{i_1} a_{i_2} a_{i_3} a_{i_4} a_{i_5} - \sum_{p=0}^{q-1} \sum_{p\ell+1 \leq i_1 < i_2 < i_3 < i_4 < i_5 \leq (p+1)\ell} a_{i_1} a_{i_2} a_{i_3} a_{i_4} a_{i_5} \right) \\ &\stackrel{\text{def}}{=} F(a_1, a_2, \dots, a_{\ell q}, \rho). \end{aligned}$$

Note that

$$F\left(\frac{1}{\ell q}, \frac{1}{\ell q}, \dots, \frac{1}{\ell q}, 0\right) = \frac{N(\ell)}{120q^4} + \frac{\binom{\ell q}{5} - q\binom{\ell}{5}}{(\ell q)^5} = \frac{N(\ell, q)}{120}. \quad (13)$$

Therefore, to show Lemma 5.1, we only need to show the following claim:

Claim 5.1.

$$F(a_1, a_2, \dots, a_{\ell q}, \rho) \leq F\left(\frac{1}{\ell q}, \frac{1}{\ell q}, \dots, \frac{1}{\ell q}, 0\right) \quad (14)$$

holds under the constraints $\sum_{j=1}^{\ell q} a_j = 1$ and each $a_j \geq 0$, $1 \leq j \leq \ell q$ and $0 \leq \rho \leq \frac{a_1}{4}$.

Proof. Suppose the function F reaches the maximum at $(a_1, a_2, \dots, a_{\ell}, \rho)$. By applying Lemma 5.2, we claim that we can assume that $a_1 = a_2 = \dots = a_{\ell}$ and $\rho = 0$. Otherwise, let $c_1 = c_2 = \dots = c_{\ell} = \frac{\sum_{j=1}^{\ell} a_j}{\ell}$. Then

$$F(c_1, c_2, \dots, c_{\ell}, a_{\ell+1}, \dots, a_{\ell q}, 0) - F(a_1, a_2, \dots, a_{\ell}, a_{\ell+1}, \dots, a_{\ell q}, \rho)$$

$$\begin{aligned}
&= f(c_1, c_2, \dots, c_\ell, 0) - f(a_1, a_2, \dots, a_\ell, \rho) \\
&+ \left(\sum_{1 \leq i < j < k < h \leq \ell} c_i c_j c_k c_h - \sum_{1 \leq i < j < k < h \leq \ell} a_i a_j a_k a_h \right) \left(\sum_{s=\ell+1}^{\ell q} a_s \right) \\
&+ \left(\sum_{1 \leq i < j < k \leq \ell} c_i c_j c_k - \sum_{1 \leq i < j < k \leq \ell} a_i a_j a_k \right) \left(\sum_{\ell+1 \leq h < s \leq \ell q} a_h a_s \right) \\
&+ \left(\sum_{1 \leq i < j \leq \ell} c_i c_j - \sum_{1 \leq i < j \leq \ell} a_i a_j \right) \left(\sum_{\ell+1 \leq k < h < s \leq \ell q} a_k a_h a_s \right) \geq 0
\end{aligned}$$

holds by combining (10), $\sum_{1 \leq i < j < k < h \leq \ell} c_i c_j c_k c_h - \sum_{1 \leq i < j < k < h \leq \ell} a_i a_j a_k a_h \geq 0$, $\sum_{1 \leq i < j < k \leq \ell} c_i c_j c_k - \sum_{1 \leq i < j < k \leq \ell} a_i a_j a_k \geq 0$ and $\sum_{1 \leq i < j \leq \ell} c_i c_j - \sum_{1 \leq i < j \leq \ell} a_i a_j \geq 0$. This implies that $a_1 = a_2 = \dots = a_\ell$ and $\rho = 0$ can be assumed. Similarly, by applying Lemma 5.3, for each p , $1 \leq p \leq q-1$, we can assume that $a_{p\ell+1} = a_{p\ell+2} = \dots = a_{(p+1)\ell}$. Set $b_{p+1} = a_{p\ell+1} = a_{p\ell+2} = \dots = a_{(p+1)\ell}$ for each $0 \leq p \leq q-1$. In view of Lemma 5.2 and Lemma 5.3, we have

$$\begin{aligned}
&F(a_1, a_2, \dots, a_{\ell q}, \rho) \leq H(b_1, b_2, \dots, b_q) \\
\stackrel{\text{def}}{=} &\frac{N(\ell)}{120} \sum_{p=1}^q \ell^5 b_p^5 + \sum_{p=1}^q \binom{\ell}{4} b_p^4 (1 - \ell b_p) + \sum_{1 \leq p_1 \leq q; 1 \leq p_2 \leq q; p_2 \neq p_1} \binom{\ell}{3} \binom{\ell}{2} b_{p_1}^3 b_{p_2}^2 \\
&+ \sum_{1 \leq p_1 \leq q; 1 \leq p_2 < p_3 \leq q; p_2, p_3 \neq p_1} \binom{\ell}{3} \ell^2 b_{p_1}^3 b_{p_2} b_{p_3} + \sum_{1 \leq p_1 < p_2 \leq q; 1 \leq p_3 \leq q; p_3 \neq p_1, p_2} \binom{\ell}{2} \ell b_{p_1}^2 b_{p_2}^2 b_{p_3} \\
&+ \sum_{1 \leq p_1 \leq q; 1 \leq p_2 < p_3 < p_4 \leq q; p_2, p_3, p_4 \neq p_1} \binom{\ell}{2} \ell^3 b_{p_1}^2 b_{p_2} b_{p_3} b_{p_4} + \sum_{1 \leq p_1 < p_2 < p_3 < p_4 < p_5 \leq q} \ell^5 b_{p_1} b_{p_2} b_{p_3} b_{p_4} b_{p_5}.
\end{aligned}$$

Note that

$$H\left(\frac{1}{\ell q}, \frac{1}{\ell q}, \dots, \frac{1}{\ell q}\right) = F\left(\frac{1}{\ell q}, \frac{1}{\ell q}, \dots, \frac{1}{\ell q}, 0\right) \stackrel{(11)}{=} \frac{N(\ell, q)}{120}. \quad (15)$$

Therefore, to show Claim 5.1, it is sufficient to show the following claim

Claim 5.2.

$$H(b_1, b_2, \dots, b_q) \leq H\left(\frac{1}{\ell q}, \frac{1}{\ell q}, \dots, \frac{1}{\ell q}\right)$$

holds under the constraints

$$\begin{cases} \sum_{i=1}^q b_i = \frac{1}{\ell}, \\ b_i \geq 0, \quad 1 \leq i \leq q. \end{cases} \quad (16)$$

Suppose that function H reaches the maximum at (b_1, b_2, \dots, b_q) . We will apply Claim 5.3 and 5.4 stated below.

Claim 5.3. Let $i, j, 1 \leq i < j \leq q$ be a pair of integers and ε be a real number. Let $c_i = b_i + \varepsilon$, $c_j = b_j - \varepsilon$, and $c_k = b_k$ for $k \neq i, j$. Let $(b_j - b_i)A(b_1, b_2, \dots, b_q)$ and $B(b_1, b_2, \dots, b_q)$ be the coefficients of ε and ε^2 in $H(c_1, c_2, \dots, c_q) - H(b_1, b_2, \dots, b_q)$, respectively, i.e.,

$$\begin{aligned} & H(c_1, c_2, \dots, c_q) - H(b_1, b_2, \dots, b_q) \\ &= (b_j - b_i)A(b_1, b_2, \dots, b_q)\varepsilon + B(b_1, b_2, \dots, b_q)\varepsilon^2 + o(\varepsilon^2). \end{aligned}$$

If $b_i \neq b_j$, then

$$A(b_1, b_2, \dots, b_q) + B(b_1, b_2, \dots, b_q) \geq 0.$$

Proof. Without loss of generality, we take $i = 1$ and $j = 2$. By the definition of the function $H(b_1, b_2, \dots, b_q)$, we have

$$\begin{aligned} & H(b_1 + \varepsilon, b_2 - \varepsilon, \dots, b_q) - H(b_1, b_2, \dots, b_q) \\ &= \frac{N(\ell)}{120} \ell^5 [(b_1 + \varepsilon)^5 + (b_2 - \varepsilon)^5 - b_1^5 - b_2^5] \\ &+ \binom{\ell}{4} [(b_1 + \varepsilon)^4(1 - \ell b_1 - \ell \varepsilon) + (b_2 - \varepsilon)^4(1 - \ell b_2 + \ell \varepsilon) - b_1^4(1 - \ell b_1) - b_2^4(1 - \ell b_2)] \\ &+ \binom{\ell}{3} \binom{\ell}{2} [(b_1 + \varepsilon)^3 + (b_2 - \varepsilon)^3 - b_1^3 - b_2^3] \left(\sum_{3 \leq p_1 \leq q} b_{p_1}^2 \right) \\ &+ \binom{\ell}{3} \binom{\ell}{2} [(b_1 + \varepsilon)^2 + (b_2 - \varepsilon)^2 - b_1^2 - b_2^2] \left(\sum_{3 \leq p_1 \leq q} b_{p_1}^3 \right) \\ &+ \binom{\ell}{3} \binom{\ell}{2} [(b_1 + \varepsilon)^3(b_2 - \varepsilon)^2 + (b_2 - \varepsilon)^3(b_1 + \varepsilon)^2 - b_1^3 b_2^2 - b_2^3 b_1^2] \\ &+ \binom{\ell}{3} \ell^2 [(b_1 + \varepsilon)^3 + (b_2 - \varepsilon)^3 - b_1^3 - b_2^3] \left(\sum_{3 \leq p_1 < p_2 \leq q} b_{p_1} b_{p_2} \right) \\ &+ \binom{\ell}{3} \ell^2 [(b_1 + \varepsilon)^3(b_2 - \varepsilon) + (b_2 - \varepsilon)^3(b_1 + \varepsilon) - b_1^3 b_2 - b_2^3 b_1] \left(\sum_{3 \leq p_1 \leq q} b_{p_1} \right) \\ &+ \binom{\ell}{3} \ell^2 [(b_1 + \varepsilon)(b_2 - \varepsilon) - b_1 b_2] \left(\sum_{3 \leq p_1 \leq q} b_{p_1}^3 \right) \\ &+ \binom{\ell}{2}^2 \ell [(b_1 + \varepsilon)^2 + (b_2 - \varepsilon)^2 - b_1^2 - b_2^2] \left(\sum_{3 \leq p_1 \leq q; 3 \leq p_2 \leq q; p_2 \neq p_1} b_{p_1}^2 b_{p_2} \right) \\ &+ \binom{\ell}{2}^2 \ell [(b_1 + \varepsilon)^2(b_2 - \varepsilon)^2 - b_1^2 b_2^2] \left(\sum_{3 \leq p_1 \leq q} b_{p_1} \right) \\ &+ \binom{\ell}{2}^2 \ell [(b_1 + \varepsilon)^2(b_2 - \varepsilon) + (b_2 - \varepsilon)^2(b_1 + \varepsilon) - b_1^2 b_2 - b_2^2 b_1] \left(\sum_{3 \leq p_1 \leq q} b_{p_1}^2 \right) \end{aligned}$$

$$\begin{aligned}
& + \binom{\ell}{2} \ell^3 [(b_1 + \varepsilon)^2 + (b_2 - \varepsilon)^2 - b_1^2 - b_2^2] \left(\sum_{3 \leq p_1 < p_2 < p_3 \leq q} b_{p_1} b_{p_2} b_{p_3} \right) \\
& + \binom{\ell}{2} \ell^3 [(b_1 + \varepsilon)^2 (b_2 - \varepsilon) + (b_2 - \varepsilon)^2 (b_1 + \varepsilon) - b_1^2 b_2 - b_2^2 b_1] \left(\sum_{3 \leq p_1 < p_2 \leq q} b_{p_1} b_{p_2} \right) \\
& + \binom{\ell}{2} \ell^3 [(b_1 + \varepsilon)(b_2 - \varepsilon) - b_1 b_2] \left(\sum_{3 \leq p_1 \leq q; 3 \leq p_2 \leq q; p_2 \neq p_1} b_{p_1}^2 b_{p_2} \right) \\
& + \ell^5 [(b_1 + \varepsilon)(b_2 - \varepsilon) - b_1 b_2] \left(\sum_{3 \leq p_1 < p_2 < p_3 \leq q} b_{p_1} b_{p_2} b_{p_3} \right).
\end{aligned}$$

By a direct calculation, we obtain that

$$\begin{aligned}
& A(b_1, b_2, \dots, b_q) + B(b_1, b_2, \dots, b_q) \\
= & -\frac{N(\ell)}{24} \ell^5 (b_1 + b_2)(b_1^2 + b_2^2) + 5\ell \binom{\ell}{4} (b_1 + b_2)(b_1^2 + b_2^2) - 4 \binom{\ell}{4} (b_1^2 + b_2^2 + b_1 b_2) \\
& + 2 \binom{\ell}{3} \binom{\ell}{2} b_1 b_2 (b_1 + b_2) + \binom{\ell}{3} \ell^2 (b_1 - b_2)^2 \left(\sum_{3 \leq p_1 \leq q} b_{p_1} \right) + 2 \binom{\ell}{2}^2 \ell b_1 b_2 \left(\sum_{3 \leq p_1 \leq q} b_{p_1} \right) \\
& + \frac{N(\ell)}{12} \ell^5 (b_1^3 + b_2^3) + \binom{\ell}{4} (6b_1^2 + 6b_2^2 - 10\ell b_1^3 - 10\ell b_2^3) + \binom{\ell}{3} \binom{\ell}{2} (b_1^3 + b_2^3 - 3b_1 b_2^2 - 3b_1^2 b_2) \\
& - 3 \binom{\ell}{3} \ell^2 (b_1 - b_2)^2 \left(\sum_{3 \leq p_1 \leq q} b_{p_1} \right) + \binom{\ell}{2}^2 \ell (b_1^2 + b_2^2 - 4b_1 b_2) \left(\sum_{3 \leq p_1 \leq q} b_{p_1} \right) \\
= & [2\ell \binom{\ell}{4} - 2 \binom{\ell}{3} \ell^2 + \binom{\ell}{2}^2 \ell] \frac{1}{\ell} (b_1 - b_2) \\
& + \left[\frac{N(\ell)}{24} \ell^5 - 5\ell \binom{\ell}{4} + \binom{\ell}{3} \binom{\ell}{2} + 2 \binom{\ell}{3} \ell^2 - \binom{\ell}{2}^2 \ell \right] (b_1 + b_2)(b_1 - b_2)^2 \\
\geq & [2\ell \binom{\ell}{4} - 2 \binom{\ell}{3} \ell^2 + \binom{\ell}{2}^2 \ell] (b_1 + b_2)(b_1 - b_2)^2 \\
& + \left[\frac{N(\ell)}{24} \ell^5 - 5\ell \binom{\ell}{4} + \binom{\ell}{3} \binom{\ell}{2} + 2 \binom{\ell}{3} \ell^2 - \binom{\ell}{2}^2 \ell \right] (b_1 + b_2)(b_1 - b_2)^2 \\
= & \left[\frac{N(\ell)}{24} \ell^5 - 3\ell \binom{\ell}{4} + \binom{\ell}{3} \binom{\ell}{2} \right] (b_1 + b_2)(b_1 - b_2)^2 \\
= & \begin{cases} \left(\frac{5}{12} \ell^4 - \frac{23}{24} \ell^3 + \frac{3}{8} \ell^2 + \frac{1}{6} \ell \right) (b_1 + b_2)(b_1 - b_2)^2 & \text{when } N(\ell) = \alpha \\ \left(\frac{5}{12} \ell^4 - \frac{23}{24} \ell^3 + \frac{7}{12} \ell^2 - \frac{1}{24} \ell \right) (b_1 + b_2)(b_1 - b_2)^2 & \text{when } N(\ell) = 1 - \frac{1}{\ell^4} \\ \frac{75}{2} (b_1 + b_2)(b_1 - b_2)^2 & \text{when } \ell = 5 \text{ and } N(5) = \frac{12}{125} \\ 45 (b_1 + b_2)(b_1 - b_2)^2 & \text{when } \ell = 5 \text{ and } N(5) = \frac{96}{625} \\ \frac{155}{2} (b_1 + b_2)(b_1 - b_2)^2 & \text{when } \ell = 5 \text{ and } N(5) = \frac{252}{625} \end{cases} \\
> & 0
\end{aligned}$$

if $b_1 \neq b_2$ and since $2\ell \binom{\ell}{4} - 2 \binom{\ell}{3} \ell^2 + \binom{\ell}{2}^2 \ell = \frac{\ell^2(\ell-1)}{2} > 0$ and $\frac{1}{\ell} \geq (b_1 + b_2)$. This

completes the proof of Claim 5.3. ■

We will apply Claim 5.3 to prove the following claim.

Claim 5.4. Let $i, j, 1 \leq i < j \leq q$ be a pair of integers. Let $A(b_1, b_2, \dots, b_q)$ and $B(b_1, b_2, \dots, b_q)$ be given as in Claim 5.3.

Case 1. If $A(b_1, b_2, \dots, b_q) > 0$ then $b_i = b_j$;

Case 2. If $A(b_1, b_2, \dots, b_q) \leq 0$, then either $b_i = b_j$, or $\min\{b_i, b_j\} = 0$.

The proof of Claim 5.4 (based on Claim 5.3) can be given by exactly the same lines as in the proof of Claim 4.5 in [9] and is omitted here. ■

Proof of Claim 5.2. By Claim 5.4, either $b_1 = b_2 = \dots = b_q = \frac{1}{\ell q}$ or for some integer $p < q$, $b_{i_1} = b_{i_2} = \dots = b_{i_p} = \frac{1}{\ell p}$ and other $b_i = 0$.

Now we compare $H(\frac{1}{\ell q}, \frac{1}{\ell q}, \dots, \frac{1}{\ell q}) = \frac{N(\ell, q)}{120}$ and $H(\frac{1}{\ell p}, \frac{1}{\ell p}, \dots, \frac{1}{\ell p}, 0, \dots, 0) = \frac{N(\ell, p)}{120}$. It sufficient to show that $N(\ell, p) \leq N(\ell, q)$ when $1 \leq p \leq q$. Note that condition (6) implies that $N(\ell, 1) \leq N(\ell, q)$. Hence it is sufficient to show that $N(\ell, p) \leq N(\ell, q)$ when $2 \leq p \leq q$ for each of the five choices of $N(\ell)$. In each case, we view $N(\ell, q)$ as a function with one variable q .

Case a. $N(\ell) = \alpha$ and $q \geq 2\ell^2 + 2\ell$.

In this case, the derivative of $N(\ell, q)$ with respect to q is

$$\begin{aligned} \frac{d(N(\ell, q))}{dq} &= \frac{10}{\ell q^2} - \frac{70}{\ell^2 q^3} + \frac{150}{\ell^3 q^4} - \frac{16}{\ell^4 q^5} - \frac{40}{\ell q^5} + \frac{140}{\ell^2 q^5} - \frac{180}{\ell^3 q^5} \\ &= \frac{1}{\ell^4 q^5} (10\ell^3 q^3 - 70\ell^2 q^2 + 150\ell q - 16 - 40\ell^3 + 140\ell^2 - 180\ell). \end{aligned}$$

Let $h_1(q) = 10\ell^3 q^3 - 70\ell^2 q^2 + 150\ell q - 16 - 40\ell^3 + 140\ell^2 - 180\ell$, then $h_1'(q) = 30\ell^3 q^2 - 140\ell^2 q + 150\ell$, $h_1''(q) = 60\ell^3 q - 140\ell^2$. Note that $h_1''(q) > 0$ when $q \geq 2$, $\ell \geq 2$, so $h_1'(q)$ increases when $q \geq 2$, $\ell \geq 2$. By a direct calculation, $h_1'(2) > 0$ when $\ell \geq 2$, thus, $h_1(q)$ increases when $q \geq 2$, $\ell \geq 2$. Since, $h_1(2) = 40\ell^3 - 140\ell^2 + 120\ell - 16 > 0$ when $q \geq 2$, $\ell \geq 3$, we know that $N(\ell, q)$ increases when $q \geq 2$, $\ell \geq 3$. When $\ell = 2$, by a direct calculation, $h_1(3) > 0$, so $N(2, q)$ increases when $q \geq 3$. Also we calculate that $N(2, 2) \leq N(2, q)$ since $q \geq 2\ell^2 + 2\ell$. So $N(\ell, p) \leq N(\ell, q)$ for $2 \leq p \leq q$.

Case b. $N(\ell) = 1 - \frac{1}{\ell^4}$ and $q \geq 10\ell^3$.

In this case, the derivative of $N(\ell, q)$ with respect to q is

$$\begin{aligned} \frac{d(N(\ell, q))}{dq} &= \frac{10}{\ell q^2} - \frac{70}{\ell^2 q^3} + \frac{150}{\ell^3 q^4} + \frac{4}{\ell^4 q^5} - \frac{40}{\ell q^5} + \frac{140}{\ell^2 q^5} - \frac{200}{\ell^3 q^5} \\ &= \frac{1}{\ell^4 q^5} (10\ell^3 q^3 - 70\ell^2 q^2 + 150\ell q + 4 - 40\ell^3 + 140\ell^2 - 200\ell). \end{aligned}$$

Let $h_2(q) = 10\ell^3q^3 - 70\ell^2q^2 + 150\ell q + 4 - 40\ell^3 + 140\ell^2 - 200\ell$, then $h_2'(q) = 30\ell^3q^2 - 140\ell^2q + 150\ell$, $h_2''(q) = 60\ell^3q - 140\ell^2$. Note that $h_2''(q) > 0$ when $q \geq 2$, $\ell \geq 2$, so $h_2'(q)$ increases when $q \geq 2$, $\ell \geq 2$. By a direct calculation, $h_2'(2) > 0$ when $\ell \geq 2$, thus, $h_2(q)$ increases when $q \geq 2$, $\ell \geq 2$. Since, $h_2(2) = 40\ell^3 - 140\ell^2 + 100\ell + 4 > 0$ when $q \geq 2$, $\ell \geq 3$, we know that $N(\ell, q)$ increases when $q \geq 2$, $\ell \geq 3$. When $\ell = 2$, by a direct calculation, $h_2(3) > 0$, so $N(2, q)$ increases when $q \geq 3$. Also we calculate that $N(2, 2) \leq N(2, q)$ since $q \geq 10\ell^3$. So $N(\ell, p) \leq N(\ell, q)$ for $2 \leq p \leq q$.

Case c. $N(\ell) = \frac{12}{125}$ and $\ell = 5$.

In this case, the derivative of $N(5, q)$ with respect to q is

$$\frac{d(N(\ell, q))}{dq} = \frac{2}{q^2} - \frac{14}{5q^3} + \frac{6}{5q^4} - \frac{48}{125q^5} = \frac{1}{125q^5}(250q^3 - 350q^2 + 150q - 48) \geq 0$$

when $q \geq 2$. This proves that $N(5, q)$ increases as $q \geq 2$ increases. So $N(5, p) \leq N(5, q)$ for $2 \leq p \leq q$.

Case d. $N(\ell) = \frac{96}{625}$ and $\ell = 5$.

In this case, the derivative of $N(5, q)$ with respect to q is

$$\frac{d(N(\ell, q))}{dq} = \frac{2}{q^2} - \frac{14}{5q^3} + \frac{6}{5q^4} - \frac{384}{625q^5} = \frac{1}{625q^5}(1250q^3 - 1750q^2 + 750q - 384) \geq 0$$

when $q \geq 2$. This proves that $N(5, q)$ increases as $q \geq 2$ increases. So $N(5, p) \leq N(5, q)$ for $2 \leq p \leq q$.

Case e. $N(\ell) = \frac{252}{625}$ and $\ell = 5$.

In this case, the derivative of $N(5, q)$ with respect to q is

$$\frac{d(N(\ell, q))}{dq} = \frac{2}{q^2} - \frac{14}{5q^3} + \frac{6}{5q^4} - \frac{1008}{625q^5} = \frac{1}{625q^5}(1250q^3 - 1750q^2 + 750q - 1008) \geq 0$$

when $q \geq 2$. This proves that $N(5, q)$ increases as $q \geq 2$ increases. So $N(5, p) \leq N(5, q)$ for $2 \leq p \leq q$.

The proof is thus complete. ■

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