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The hamiltonian index of a graph and its branch-bonds[☆]

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Abstract

Let G be an undirected and loopless finite graph that is not a path. The smallest integer m such that the iterated line graph $L^m(G)$ is hamiltonian is called the hamiltonian index of G, denoted by h(G). A reduction method to determine the hamiltonian index of a graph G with $h(G) \ge 2$ is given here. We use it to establish a sharp lower bound and a sharp upper bound on h(G), respectively, thereby improving some known results of Catlin et al. [J. Graph Theory 14 (1990) 347] and Hong-Jian Lai [Discrete Math. 69 (1988) 43]. Examples show that h(G) may reach all integers between the lower bound and the upper bound. We also propose some questions on the topic. © 2004 Elsevier B.V. All rights reserved.

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1. Introduction

We use [2] for terminology and notation not defined here and consider only loopless finite graphs. Let G be a graph. For each integer $i \ge 0$, define $V_i(G) = \{v \in V(G): d_G(v) = i\}$ and $W(G) = V(G) \setminus V_2(G)$. As in [4], a branch in G is a nontrivial path whose end vertices are in W(G) and whose internal vertices, if any, have degree 2 in G (and thus are not in W(G)). If a branch has length 1, then it has no internal vertices. We denote by B(G) the set of branches of G and by $B_1(G)$ the subset of B(G) in which every branch has an end vertex in $V_1(G)$. For any subgraph H of G, we denote by $B_H(G)$ the set of branches of G whose edges are all in H. For any two subgraphs H_1 and H_2 of G, define the distance $d_G(H_1, H_2)$ between H_1 and H_2 to be the minimum of the distances $d_G(v_1, v_2)$ over all pairs with $v_1 \in V(H_1)$ and $v_2 \in V(H_2)$.

The line graph of G = (V(G), E(G)) has E(G) as its vertex set, and two vertices are adjacent in L(G) if and only if the corresponding edges are adjacent (share an end vertex) in G. The m-iterated line graph $L^m(G)$ is defined recursively by $L^0(G) = G$, $L^m(G) = L(L^{m-1}(G))$, where $L^1(G)$ denotes L(G). The hamiltonian index of a graph G, denoted by h(G), is the smallest integer m such that $L^m(G)$ is hamiltonian.

Chartrand [5] showed that if a connected graph G is not a path, then the hamiltonian index of G exists. In [6], a formula for the hamiltonian index of a tree other than a path was established.

There have already appeared many upper bounds on h(G) in literature (see [4,6,8,11]). The following are the existing bounds that are rather easy to describe; the others involve more technical definitions and are omitted here.

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Theorem 1 (Lai [8]). Let G be a connected simple graph that is not a path, and let l be the length of a longest branch of G which is not contained in a 3-cycle. Then $h(G) \le l + 1$.

Theorem 2 (Saražin [11]). Let G be a connected simple graph on n vertices other than a path. Then $h(G) \leq n - \Delta(G)$.

Note that the graph in Theorem 2 must be simple, which is not mentioned in [11].

These known bounds are based on the following characterization of graphs with hamiltonian line graphs obtained in [7].

Theorem 3 (Harary and Nash-Williams [7]). Let G be a graph with at least three edges. Then $h(G) \le 1$ if and only if G has an eulerian subgraph H such that $d_G(e,H) = 0$ for any edge $e \in E(G)$.

Xiong and Liu [16] characterized the graphs for which the *n*-iterated line graph is hamiltonian, for any integer $n \ge 2$.

Theorem 4 (Xiong and Liu [16]). Let G be a connected graph that is not a 2-cycle and let $n \ge 2$ be an integer. Then $h(G) \le n$ if and only if $EU_n(G) \ne \emptyset$ where $EU_n(G)$ denotes the set of those subgraphs H of G which satisfy the following conditions:

- (i) any vertex of H has even degree in H;
- (ii) $V_0(H) \subseteq \bigcup_{i=3}^{d(G)} V_i(G) \subseteq V(H);$
- (iii) $d_G(H_1, H H_1) \leq n 1$ for any subgraph H_1 of H;
- (iv) $|E(b)| \leq n+1$ for any branch b in $B(G) \setminus B_H(G)$;
- (v) $|E(b)| \le n$ for any branch in $B_1(G)$.

Using Theorem 4, Xiong improved Theorem 2 as follows.

Theorem 5 (Xiong [15]). Let G be a connected graph other than a path. Then $h(G) \le dia(G) - 1$, where dia(G) denotes the diameter of G.

From the vast and still growing pile of existing journal papers on this topic we deduce the importance of investigating whether the line graph of a graph is hamiltonian, i.e., whether $h(G) \le 1$. Since from the above results it is clear that the line graph of a hamiltonian graph is again hamiltonian, the study on graphs with $h(G) \ge 2$ is equivalent to that on graphs with $h(G) \le 1$. Motivated by these observations, and in an attempt to improve existing results including Theorem 1, we will give a reduction method to determine the hamiltonian index of a graph with $h(G) \ge 2$ in Section 3. Using this method we will give a sharp lower bound and a sharp upper bound on h(G) such that the difference between the two bounds is exactly 2, in Section 4. Our results generalize results known earlier in [4,8,9,11,12].

In the Section 2, we will introduce the useful concept of a branch-bond, which will be applied throughout the paper, and is the basic idea behind the bounds.

2. Branch-bonds

For any subset S of B(G), we denote by G-S the subgraph obtained from $G[E(G)\setminus E(S)]$ by deleting all internal vertices of degree 2 in any branch of S. A subset S of B(G) is called a *branch cut* if G-S has more components than G. A minimal branch cut is called a *branch-bond*. If G is connected, then a branch cut S of G is a minimal subset of B(G) such that G-S is disconnected. It is easily shown that, for a connected graph G, a subset S of G is a branch-bond if and only if G-S has exactly two components. We denote by G in the set of branch-bonds of G. Given G is an edge set of the form G is a nonempty proper subset of G is an edge cut is an edge cut of G is called a *bond*. Obviously, if every branch in a branch-bond of G is an edge then the branch-bond is also a bond of G. The following characterization of eulerian graphs is well known [10].

Theorem 6 (McKee [10]). A connected graph is eulerian if and only if each bond contains an even number of edges.

The following characterization of eulerian graphs involving branch-bonds follows easily from Theorem 6.

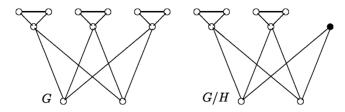


Fig. 1. A graph G with h(G) = 2 and h(G/H) = 1.

Theorem 7. A connected graph is eulerian if and only if each branch-bond contains an even number of branches.

3. A reduction method for determining the hamiltonian index of a graph

Before presenting our main results, we first introduce some additional notation.

Catlin [3] developed a reduction method for determining whether a graph G has a spanning closed trail. This method needs a tool, the so-called graph contraction. Let G be a graph and let H be a subgraph of G. We will give and use a refinement of Catlin's reduction method. The *contraction* of H in G, denoted by G/H, is the graph obtained from G by contracting all edges of H, i.e., replacing G by a new vertex G, which is called the *contracted vertex* in G/H, such that the number of edges in G/H joining any G by G/H to G/H equals the number of edges joining G to G/H is an induced subgraph of G (induced by a vertex subset). The following lemma follows from Theorem 7 and is needed for our proofs of the main results.

Lemma 8. If G is an eulerian graph and H is a subgraph of G, then G/H is also an eulerian graph.

Catlin's reduction method and Theorem 3 are useful in the study of the hamiltonian index, as seen in [4,8,11,12]. However, if we want to study the hamiltonicity of $L^m(G)$ for some $m \ge 1$ we must consider the lower iterated line graph $L^{m-1}(G)$ when we want to apply these results. If we use Theorem 4, then we might be able to avoid this (see [14,15,17]). The graph G/H may have a smaller hamiltonian index than G. For example consider the graph G obtained from a $K_{2,3}$ by replacing each vertex of degree 2 in the $K_{2,3}$ by a triangle K_3 , the graph G is depicted in Fig. 1. Let G/H be one of these newly added G/H has an eulerian subgraph containing all vertices except the vertex representing G/H.

In order to use Theorem 4 we have to assure that the property $h(G) \ge 2$ is preserved after the graph has been contracted. We do this by means of the attachment of new branches at the contracted vertex. Precisely, the *attachment*–contraction G//H is the graph obtained from G/H by attaching two new edge-disjoint branches b'_H , b''_H of length two at the contracted vertex v_H (i.e., v_H is one end vertex of both b'_H and b''_H) such that b'_H , b''_H belong to $B_1(G//H)$. If H_1, H_2, \ldots, H_k are vertex-disjoint nontrivial connected subgraphs of G, then $G//\{H_1, H_2, \ldots, H_k\}$ is obtained from G by attachment–contracting every H_i ($i = 1, 2, \ldots, k$). For b_1 in B(G) and b_2 in $B(G//\{H_1, H_2, \ldots, H_k\})$, we say $b_1 = b_2$ if b_1 contains all the internal vertices of b_2 , and if the end vertices of b_1 are either the same as those of b_2 or they belong to $H_1 \cup H_2 \cup \cdots \cup H_k$.

Now we can state the main result of this section.

Theorem 9. Let G be a connected graph other than a path, and let $G_1, ..., G_k$ be all nontrivial components of $G[\{v: d_G(v) \ge 3\}] - \{e: e \text{ is a nontrivial cut edge of } G\}$. If $h(G) \ge 2$, then

$$h(G) = h(G/\{G_1, G_2, \ldots, G_k\}).$$

Proof. Let $G' = G/\{G_1, G_2, \dots, G_k\}$. The following claim is straightforward.

Therefore, G/H has hamiltonian index 1 but clearly G has hamiltonian index 2.

Claim 1. G and G' have the same branch set of length at least 2 and the same nontrivial cut edges set, except that $\{b'_{G_1}, b''_{G_1}, b''_{G_2}, b''_{G_2}, \dots, b'_{G_k}, b''_{G_k}\} \subseteq B_1(G') \setminus B(G)$.

First, we will prove that $h(G') \leq h(G)$. Take $H \in EU_{h(G)}(G)$. By (ii) in the definition of $EU_{h(G)}(G)$, H contains all vertices of $\bigcup_{i=1}^k V(G_i)$. We set $H_i = H[V(G_i)]$ for $i \in \{1, 2, ..., k\}$ and let $H' = H/\{H_1, H_2, ..., H_k\}$. Obviously H' is a

subgraph of G' and H' contains all vertices of $\{v_{G_1}, v_{G_2}, \dots, v_{G_k}\}$. We will prove that $H' \in EU_{h(G)}(G')$, which implies that $h(G') \leq h(G)$. Since H satisfies (i), H is a union of eulerian subgraphs in G. Hence it follows from Lemma 8 that H' is also a union of eulerian subgraphs of G', which implies that H' satisfies (i). That (ii) holds for H implies that (ii) holds for H' as well. In order to prove that H' satisfies (iii), it suffices to consider a subgraph $K' \subseteq H'$ with $d_{G'}(K', H' - K') \geq 2$. Let $K = H[V'_K \cup V''_K]$, where $V'_K = V(K') \cap V(G)$ and $V''_K = V(K') \cap \{v_{G_1}, v_{G_2}, \dots, v_{G_k}\}$ is a set of contracted vertices. One can easily see that K is a subgraph of H and any shortest path P in G from K to H - K has end vertices of degree at least 3 in G. So $P' = G'[E(P) \cap E(G')]$ is a path from K' to H' - K' in G'. Hence, since H satisfies (iii), $d_{G'}(K', H' - K') \leq |E(P')| \leq |E(P)| = d_G(K, H - K) \leq h(G) - 1$. So H' satisfies (iii). By Claim 1, H' satisfies both (iv) and (v). Hence $H' \in EU_{h(G)}(G')$ which implies that $h(G') \leq h(G)$.

It remains to prove that $h(G) \le h(G')$. Obviously $h(G') \ge 2$. By Theorem 4, there is a subgraph $H' \in EU_{h(G')}(G')$. We will construct a subgraph in $EU_{h(G')}(G)$ from H'. Since H' satisfies (ii), and by the definition of $G_1, G_2, \ldots, G_k, H'$ contains all vertices of $\{v_{G_1}, v_{G_2}, \ldots, v_{G_k}\}$.

Set

$$V_{bi}(H') = \{x \in V(G_i) : x \text{ is an end vertex of a branch of } B_{H'}(G')\}$$

for $i \in \{1, 2, ..., k\}$ and

$$V_b = \bigcup_{i=1}^k V_{bi}(H).$$

We denote by R(x) the number of branches of $B_{H'}(G')$ that have x as an end vertex. Set

$$V_{bi}^{j} = \{x \in V_{bi}(H') : R(x) \equiv j \pmod{2}\}$$

and

$$V_b^j = \bigcup_{i=1}^k V_{bi}^j$$
 for $j \in \{0, 1\}$.

Since H' satisfies (i),

$$\sum_{x \in V_{bi}^0} R(x) + \sum_{x \in V_{bi}^1} R(x) = \sum_{x \in V_{bi}} R(x) = d_{H'}(v_{G_i})$$

is even. Since $\sum_{x \in V_{bi}^0} R(x)$ is even, it follows that $\sum_{x \in V_{bi}^1} R(x)$ is also even. Thus $|V_{bi}^1|$ is even. Without loss of generality, assume

$$V_{bi}^1 = \{u_1^i, v_1^i, u_2^i, v_2^i, \dots, u_{s}^i, v_{s}^i\}.$$

Since G_i is connected, we can select a shortest path, denoted by $P(u_j^i, v_j^i)$, between u_j^i and v_j^i in G_i for $j \in \{1, 2, ..., s_i\}$. Set

$$P(V_b^1) = \bigcup_{i=1}^k \bigcup_{j=1}^{s_i} \{P(u_j^i, v_j^i)\}.$$

Let H be the subgraph of G with the following vertex set:

$$V(H) = \left(\bigcup_{i=1}^{k} V(G_i)\right) \bigcup \left(V(H') \setminus \left\{v_{G_1}, v_{G_2}, \dots, v_{G_k}\right\}\right)$$

and edge set

$$E(H) = E(H') \bigcup \{e \in \bigcup_{i=1}^{k} E(G_i) : |\{P \in P(V_b^1) : e \in E(P)\}| \equiv 1 \pmod{2}\}.$$

We will prove that $H \in EU_{h(G')}(G)$. First we prove that H satisfies (i).

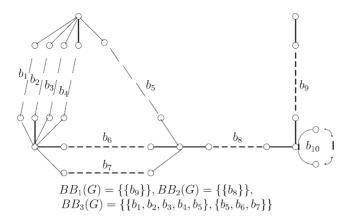


Fig. 2. The definition of $BB_i(G)$ illustrated

Defining $E_x(G) = \{e \in E(G) : e \text{ is an edge that is incident with } x\}$, we have

$$d_{k\atop (\bigcup G_{i})\bigcap H}(x) = \begin{cases} 2|\{P \in P(V_{b}^{1}): x \in V(P)\}| - 1 - \sum_{e \in E_{x}(G)} 2\lfloor \frac{1}{2}|\{P \in P(V_{b}^{1}): e \in E(P)\}|\rfloor & \text{if } x \in V_{b}^{1} \\ 2|\{P \in P(V_{b}^{1}): x \in V(P)\}| - \sum_{e \in E_{x}(G)} 2\lfloor \frac{1}{2}|\{P \in P(V_{b}^{1}): e \in E(P)\}|\rfloor & \text{if } x \in V(G) \setminus V(V_{b}^{1}). \end{cases}$$

Hence, for any vertex $x \in V(H) \cap (\bigcup_{i=1}^k V(G_i))$, we have that

$$d_H(x) = d_{(\bigcup_{i=1}^k G_i) \cap H}(x) + R(x)$$

is even. For any $x \in V(H) \setminus (\bigcup_{i=1}^k V(G_i))$, we have $d_H(x) = d_G(x) = 2$. So H satisfies (i). Since H' satisfies (ii), H satisfies (ii). By Claim 1, H satisfies both (iv) and (v).

In order to prove that H satisfies (iii), we only need to consider a subgraph K of H such that $d_G(K, H - K) \ge 2$, since $h(G) \ge 2$. Hence, since

$$V(G_i) \subseteq \bigcup_{i=2}^{\Delta(G)} V_i(G) \subseteq V(H) \text{ for } i \in \{1, 2, \dots, k\},$$

 $V(K) \cap V(G_i)$ is either empty or $V(G_i)$ for $i \in \{1, 2, ..., k\}$. Let $K_1, K_2, ..., K_c$ be all nontrivial components of $K[\{v : d_K(v) \ge 3\}] - \{e : e$ is a cut edge of $G\}$.

We obtain that $K' = K/\{K_1, K_2, ..., K_c\}$ is a subgraph of H'. Let $P' = x'u_1u_2...u_ty'$ be a shortest path from K' to H' - K' in G'. Since $\{v_{G_1}, v_{G_2}, ..., v_{G_k}\} \subseteq V(H')$,

$$\{u_1, u_2, \ldots, u_t\} \cap \{v_{G_1}, v_{G_2}, \ldots, v_{G_k}\} = \emptyset.$$

Hence $\{u_1, u_2, \dots, u_t\} \subseteq V(G)$. By the selection of K' and H, there exist two vertices $x \in V(K)$ and $y \in V(H - K)$ such that $xu_1, u_t y \in E(G)$. Hence $P = xu_1u_2 \dots u_t y$ is a path from K to H - K, which implies that

$$d_G(K, H - K) \le |E(P)| = |E(P')| = d_{G'}(K', H' - K') \le h(G') - 1$$

and (iii) holds. By Theorem 4, $h(G) \leq h(G')$ which completes the proof of Theorem 9.

4. Sharp upper and lower bounds for h(G)

A branch-bond is called *odd* if it consists of an odd number of branches. The *length* of a branch-bond $S \in BB(G)$, denoted by l(S), is the length of a shortest branch in it. Define $BB_2(G) = \{S \in BB(G) : |S| = 1 \text{ and both endvertices of } b \in S \text{ have degree } \geqslant 3 \text{ in } G\}$ and $BB_3(G) = \{S \in BB(G) : |S| \geqslant 3 \text{ and } |S| \text{ is odd}\}$. For convenience, we denote $BB_1(G) = B_1(G)$. See Fig. 2 for an example.

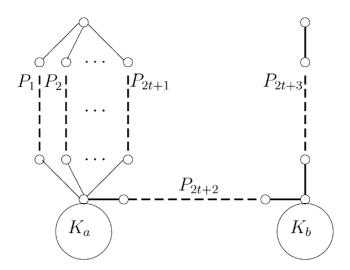


Fig. 3. An extremal graph with equality in (1).

Define

$$h_i(G) = \begin{cases} \max\{l(S) : S \in BB_i(G)\} & \text{for } i \in \{1, 2, 3\} & \text{if } BB_i(G) \text{ is not empty,} \\ 0 & \text{otherwise.} \end{cases}$$

If F_1 and F_2 are two subsets of E(G), then $H + F_1 - F_2$ denotes the subgraph of G obtained from $G[(E(H) \bigcup F_1) \setminus F_2]$ by adding the remaining vertices of $\bigcup_{i=3}^{A(G)} V_i(G)$ as isolated vertices in $H + F_1 - F_2$.

The following lower bound for h(G) involving odd branch-bonds can now be given.

Theorem 10. Let G be a connected graph with $h(G) \ge 1$. Then

$$h(G) \geqslant \max\{h_1(G), h_2(G) + 1, h_3(G) - 1\}.$$
 (1)

Proof. If h(G) = 1, then, by Theorem 3, $h_1(G) \le 1$, $h_2(G) \le 0$ and $h_3(G) \le 2$, i.e., (1) is true. So we can assume that G is a connected graph with $h(G) \ge 2$. We can take an $S_i \in BB_i(G)$ such that $h_i(G) = l(S_i)$ for every $i \in \{1, 2, 3\}$. For any subgraph $H \in EU_{h(G)}(G)$, $E(h) \cap E(H) = \emptyset$ for any $h \in S_1 \cup S_2$ and there exists at least a branch $h \in S_3$ such that $E(h) \cap E(H) = \emptyset$. Hence by Theorem 4, we obtain $h(G) \ge h_1(G)$ by $h_2(G) + 1$ by (iii) and $h(G) \ge h_3(G) - 1$ by (iv). So $h(G) \ge \max\{h_1(G), h_2(G) + 1, h_3(G) - 1\}$, i.e., (1) holds. \square

We can construct an extremal graph for the equality (1). For an integer $t \ge 1$, we let $P_1, P_2, \ldots, P_{2t+3}$ be 2t+3 vertex-disjoint paths and let K_a, K_b be two vertex-disjoint complete graphs of order at least 3. Taking two fixed vertices in $V(K_a)$ and $V(K_b)$, respectively, we construct a graph G_0 by identifying exactly one end vertex of each of $P_1, P_2, \ldots, P_{2t+1}$ respectively, and identifying the other end vertex of $P_1, P_2, \ldots, P_{2t+1}$ with the fixed vertex from $V(K_a)$ and exactly one end vertex of P_{2t+2} ; moreover we identify the fixed vertex of $V(K_b)$ with the other end vertex of P_{2t+2} , and exactly one end vertex of P_{2t+3} , such that $P_1, P_2, \ldots, P_{2t+3}, K_a, K_b$ are edge-disjoint subgraphs of G_0 , as shown in Fig. 3.

Set

$$k_1(G_0) = \max\{h_1(G_0), h_2(G_0) + 1, h_3(G_0) - 1\}.$$

Obviously $h_1(G_0) = |E(P_{2t+3})|, h_2(G_0) = |E(P_{2t+2})|$ and

$$h_3(G_0) = \min\{|E(P_1)|, |E(P_2)| \dots |E(P_{2t+1})|\}.$$

Without loss of generality, we assume that $|E(P_1)| = h_3(G)$. One can easily see that $K_a \cup K_b \cup (\bigcup_{i=2}^{2n+1} P_i) \in EU_{k_1(G_0)}(G_0)$. By Theorem 4, $h(G_0) \leq k_1(G_0)$. By (1), $h(G_0) \geq k_1(G_0)$. So $h(G_0) = \max\{h_1(G_0), h_2(G_0) + 1, h_3(G_0) - 1\}$. Now we state our upper bound for h(G).

Theorem 11. Let G be a connected graph that is not a path. Then

$$h(G) \le \max\{h_1(G), h_2(G) + 1, h_3(G) + 1\}.$$
 (2)

Proof. Let $k(G) = \max\{h_1(G), h_2(G) + 1, h_3(G) + 1\}$. Obviously $k(G) \ge 1$.

If k(G) = 1, i.e., $h_1(G) \le 1$ and $h_2(G) = h_3(G) = 0$, then, by Theorem 7, $G[V(G) \setminus V_1(G)]$ is eulerian. Hence, using Theorem 3, we obtain $h(G) \le 1$, i.e., (2) is true.

So we assume that $h(G) \ge 2$ and $k(G) \ge 2$. By Theorem 9, it suffices to consider the graph G such that $G[\{v : d_G(v) \ge 3\}] - \{e : e \text{ is a nontrivial cut edge of } G\}$ has no nontrivial component. Let $H \in EU_{h(G)}(G)$ be the subgraph with the maximal number of branches $b \in B_H(G)$ not contained in $BB_1(G) \cup BB_2(G)$ that have the property $|E(b)| \ge k(G)$. Then we can prove the following:

Claim 1. If S is a branch-bond in BB(G) such that it contains at least three branches, then there exists no branch $b \in S \setminus B_H(G)$ such that $|E(b)| \ge k(G)$.

Proof of Claim 1. Otherwise there exists a branch $b_0 \in B(G) \setminus B_H(G)$ and a branch-bond S with $|S| \ge 3$ such that $|E(b_0)| \ge k(G)$ and $b_0 \in S \setminus B_H(G)$. Obviously b_0 has two end vertices u and v (say). Now we can select a branch-bond, denoted by $S(u, b_0)$, such that it contains b_0 and any branch of $S(u, b_0)$ has the end u. Obviously $|S(u, b_0)| \ge 2$.

In order to obtain a contradiction, we will first find a cycle of G that contains b_0 by the following algorithm.

Algorithm b_0 .

- 1. If $|S(u,b_0)| \equiv 0 \pmod{2}$, then (by Theorem 7, we can) select a branch $b_1 \in S(u,b_0) \setminus (B_H(G) \cup \{b_0\})$. Otherwise (since $|E(b_0)| \geqslant k(G)$, we can) select a branch $b_1 \neq b_0 \in S(u,b_0)$ with $|E(b_1)| = l(S(u,b_0)) \leqslant h_3(G) \leqslant k(G) 1$ and let $u_1 \neq u$ be the other end vertex of b_1 . If $u_1 = v$, then set t := 1 and stop. Otherwise i := 1.
- 2. Select a branch-bond $S(u, u_i, b_0)$ in G which contains b_0 but not b_1, b_2, \ldots, b_i such that any branch in $S(u, u_i, b_0)$ has exactly one end vertex in $\{u, u_1, u_2, \ldots, u_i\}$. If $|S(u, u_i, b_0)| \equiv 0 \pmod{2}$, then (by Theorem 7, we can) select a branch

$$b_{i+1} \in S(u, u_i, b_0) \setminus (B_H(G) \cup \{b_0\}).$$

Otherwise (since $|E(b_0)| \ge k(G)$, we can) select a branch $b_{i+1} \in S(u, u_i, b_0)$ such that $b_{i+1} \ne b_0$ and $|E(b_{i+1})| = l(S(u, u_i, b_0)) \le h_3(G) \le k(G) - 1$, and let u_{i+1} be the end vertex of b_{i+1} that is not in $\{u, u_1, u_2, \dots, u_i\}$.

3. If $u_{i+1} = v$, then set t := i + 1 and stop. Otherwise replace i by i + 1 and return to step 2.

Since |B(G)| is finite and $d_G(v) \ge 2$, Algorithm b_0 will stop after a finite number of steps. Obviously, $G[\bigcup_{i=0}^t E(b_i)]$ is connected. Furthermore, since $u_t = v$ and $|S(u, u_i, b_0)| \ge 2$, b_0 is in a cycle of $G[\bigcup_{i=0}^t E(b_i)]$. Hence we obtain the following:

Claim 2. $G[\bigcup_{i=0}^t E(b_i)]$ has a cycle of G, denoted by C_0 , which contains b_0 .

Now we construct a subgraph $H' \subseteq G$ as follows:

$$H' = H + E(C_0) \setminus E(H) - (E(H) \cap E(C_0)).$$

By the selection of $\{b_1, b_2, \dots, b_t\}$,

$$|E(b)| \le h_3(G) \le k(G) - 1$$
 for $b \in B_H(G) \cap \{b_1, b_2, \dots, b_t\}$.

Hence, by Claim 2, H' satisfies (iii) and (iv). Obviously H' satisfies (i), (ii) and (v), and this implies that H' is in $EU_{h(G)}(G)$. But H' contains b_0 which contradicts the maximality of H, which completes the proof of Claim 1.

For any branch b of G, if G[E(b)] is not a cycle of G, then there exists a branch-bond $S \in BB(G)$ with $b \in S$. Hence, by Claim 1 and the selection of $k(G), H \in EU_{k(G)}(G)$ which implies that $h(G) \leq k(G)$. The proof of Theorem 11 is completed.

We can construct a family of extremal graphs for Theorem 11. In fact, the following construction shows that $h(G_0)$ can take all integer values from $h_3(G_0) - 1$ to $h_3(G_0) + 1$. Let $k \ge 1$ be an integer and let $H = K_{2,2k+1}$ be a complete bipartite graph with $V^1(H) = \{x, y\}$ and $V^2(H) = \{u_1, u_2, \dots, u_{2k+1}\}$. Let $1 \le l_1 \le l_2 \le l_3 \le l_4$ be four integers. The graph G_0 is obtained from H by subdividing $xu_1, xu_2, \dots, xu_{2k}$ into 2k branches of length l_1, xu_{2k+1} into a branch b of length l_2, yu_{2k+1} into a branch b' of length l_3 , respectively, and by replacing each vertex of $V^2(H)$ by a K_4 . See Fig. 4.

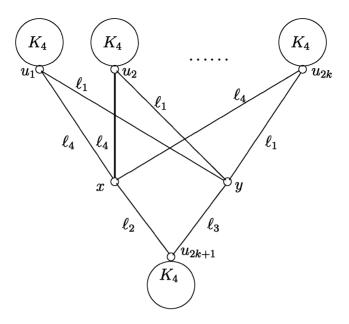


Fig. 4. An extremal graph with equality in (2).

One can easily see that $h_3(G_0) = l_3$ and that $G_0[E(G_0) \setminus (E(b) \cup E(b'))]$ has a subgraph in $EU_{\max\{l_3-1,l_2+1\}}(G_0)$. By Theorem 4, $h(G_0) \le \max\{l_3-1,l_2+1\}$. By an argument similar to the one in the proof of (1), $h(G_0) \ge \max\{l_3-1,l_2+1\}$. Hence $h(G_0) = \max\{l_3-1,l_2+1\}$. Clearly

$$h(G_0) = \max\{l_3 - 1, l_2 + 1\} = \begin{cases} l_3 - 1 & \text{if } l_2 \leqslant l_3 - 2, \\ l_3 & \text{if } l_2 = l_3 - 1, \\ l_3 + 1 & \text{if } l_2 = l_3. \end{cases}$$

Hence $h(G_0)$ can take all integer values from $h_3(G_0) - 1$ to $h_3(G_0) + 1$ according to different integers l_2 and l_3 .

Remark 12. It is easy to determine $h_1(G)$ and $h_2(G)$ of a graph G. Moreover, Woeginger [13] shows that there is a polynomial time algorithm to determine the parameter $h_3(G)$ of a graph G. Hence there are some polynomial time algorithms to determine these two bounds in above two theorems.

Although the graph G_0 above can reach all integer values from $h_3(G_0) - 1$ to $h_3(G_0) + 1$, it would be still interesting to give characterizations of graphs G whose hamiltonian indices are $h_3(G) - 1$, $h_3(G)$, $h_3(G) + 1$, respectively. Hence we pose the following:

Question 13. How to characterize those graphs G with $h(G) = h_3(G) - 1, h_3(G), h_3(G) + 1$, respectively?

5. Analysis of known results

Theorems 5 and 11 show two upper bounds for the hamiltonian index of a graph. Clearly, $h_i(G) \le \text{dia}(G)$ for $i \in \{1,2,3\}$ and there exists a graph with large diameter and small $h_3(G)$, for example, the graph obtained by replacing each edge of a path by an odd branch-bond which contains at least three branches. Hence, the upper bound in Theorem 5 is not better than the one in Theorem 11. However, even the reverse does not necessarily hold true. Consider the graph F_t obtained from $K_{2,2t+1}$ by replacing each branch of $K_{2,2t+1}$ by a branch of lengths ≥ 2 . Clearly $h_3(F_t) = s = \text{dia}(F_t)$ but $h(F_t) = s - 1 = h_3(G) - 1 = \text{dia}(F_t) - 1$. The following relation between the two bounds in Theorems 5 and 11 is obtained.

Theorem 14. Let G be a connected graph other than a path with $h(G) \ge 1$. If $h_3(G) = dia(G)$, then h(G) = dia(G) - 1.

Proof. This follows easily from Theorems 5 and 10. \Box

It would be interesting to give the characterization of graphs G with h(G) = dia(G) - 1. Theorem 14 shows that it would relate to the parameter $h_3(G)$. Hence we pose the following:

Question 15. How to use $h_3(G)$ to characterize those simple graphs G with h(G) = dia(G) - 1?

Obviously Theorem 1 is a consequence of Theorem 11. Although Theorem 2 is not a consequence of Theorem 11, one easily checks that $h_i(G) \le n - \Delta(G)$ for any $i \in \{1,2,3\}$ and for a simple graph G, where n = |V(G)|. Hence, from Theorem 11, we have that $h(G) \le n - \Delta(G) + 1$. Moreover, if $h_3(G) < n - \Delta(G)$, obviously, $\Delta(G) \le n - (h_2(G) + 2)$, then Theorem 11 is better than Theorem 2. We pose the following:

Question 16. How to use $h_1(G)$, $h_3(G)$ to characterize those simple graphs G with $h(G) = n - \Delta(G)$?

Obviously $h_3(G) - 1 \le h(G) \le h_3(G) + 1$ for any 2-connected graph G. Hence we pose the following

Question 17. How to use $h_3(G)$ to characterize those simple 2-connected graphs G with $h(G) = n - \Delta(G)$?

The following result is an attempt to answer Question 17.

Theorem 18. If G is a simple 2-connected graph with $h(G) = n - \Delta(G)$, then $h(G) \le h_3(G) + 1 \le 3$.

Proof. If $h_3(G) \ge 3$, then $\Delta(G) \le n - (3(h_3(G) - 2) + 2)$. Hence $h_3(G) \le (n - \Delta(G) + 4)/3$ and $\Delta(G) \le n - 5$. This implies that $h_3(G) \le (n - \Delta(G) + 4)/3 < n - \Delta(G) - 1$. By Theorem 11, $h(G) \le h_3(G) + 1 < n - \Delta(G)$, a contradiction. So $h_3(G) \le 2$. Hence $h(G) \le h_3(G) + 1 \le 3$. \square

The following consequences of Theorem 11 are easily obtained.

Corollary 19 (Catlin et al. [4]). Let G be a connected graph that is neither a path nor a 2-cycle. Then

$$h(G) \leqslant \max_{\{u,v\} \subseteq W(G)} \min_{P} X(P) + 1,$$

where X(P) denotes the length |E(b)| of the longest branch b in $B_P(G)$ and P is a subgraph induced by all branches in G whose end vertices are u and v.

Proof. Let S be a branch-bond in BB(G) with $l(S) = \max\{h_1(G), h_2(G) + 1, h_3(G) + 1\}$. Then any path of G between two vertices u and v in two components of G - S, respectively, must have a branch in S. Hence

$$\max\{h_1(G), h_2(G) + 1, h_3(G) + 1\} \leqslant \max_{\{u,v\} \subseteq W(G)} \min_P X(P) + 1.$$

This relation and Theorem 11 give Corollary 19.

Corollary 20 (Chartrand and Wall [6]). If T is a tree which is not a path, then

$$h(T) = \max\{h_1(T), h_2(T) + 1\}.$$

Proof. If T is a tree, then $h_3(T) = 0$. Hence by Theorems 10 and 11, we obtain Corollary 20. \square

Corollary 21 (Balakrishnan and Paulraja [1]). Let G be a connected graph with at least four edges. If the only 2-degree cut sets of G are the singleton subsets which are neighbors of end vertices of G, then $h(G) \leq 2$.

Proof. One can easily check that $h_1(G) \le 2$, $h_2(G) \le 1$ and $h_3(G) \le 1$. Hence this corollary follows from Theorem

Corollary 22 (Lesniak-Foster and Williamson [9]). Let G be a connected graph with at least four edges. If every vertex of degree two is adjacent to an end vertex, then $h(G) \leq 2$.

Proof. From the condition of this corollary, we know $h_1(G) \le 2$, $h_2(G) \le 1$ and $h_3(G) \le 1$. Hence this corollary follows from Theorem 11. \square
Corollary 23 (Chartrand and Wall [6]). Let G be a connected graph other than a path. If $\delta(G) \geqslant 3$, then $h(G) \leqslant 2$.
Proof. This is obvious.

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