

# The Statistic “number of $udu$ ’s” in Dyck Paths

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**ABSTRACT:** In the present paper we consider the statistic “number of  $udu$ ’s” in Dyck paths. The enumeration of Dyck paths according to semilength and various other parameters has been studied in several papers. However, the statistic “number of  $udu$ ’s” has been considered only recently. Let  $\mathcal{D}_n$  denote the set of Dyck paths of semilength  $n$  and let  $T_{n, k}$ ,  $L_{n, k}$ ,  $H_{n, k}$  and  $W_{n, k}^{(r)}$  denote the number of Dyck paths in  $\mathcal{D}_n$  with  $k$   $udu$ ’s, with  $k$   $udu$ ’s at low level, at high level, and at level  $r \geq 2$ , respectively. We derive their generating functions, their recurrence relations and their explicit formulas. A new setting counted by Motzkin numbers is also obtained. Several combinatorial identities are given and other identities are conjectured.

**KEYWORDS:** Dyck paths, Motzkin numbers, Identities

## 1. Introduction

A *Dyck path* is a lattice path in the first quadrant, which begins at the origin  $(0, 0)$ , ends at  $(2n, 0)$  and consists of steps  $(1, 1)$  (called rises),  $(1, -1)$  (called falls). We can encode each rise by the letter  $u$  (for up), each fall by the letter  $d$  (for down), obtaining the encoding of Dyck path by a so-called *Dyck word*. We will refer to  $n$  as the *semilength* of the path. Let  $\mathcal{D}_n$  denote the set of Dyck paths of semilength  $n$  and let  $\varepsilon$  denote the empty path. It is well known that the cardinality of  $\mathcal{D}_n$  is the  $n$ -th Catalan number  $C_n = \frac{1}{n+1} \binom{2n}{n}$ , having generating function  $C(x) = \sum_{n \geq 0} C_n x^n = \frac{1 - \sqrt{1-4x}}{2x}$ , which satisfies the relation

$$xC(x)^2 - C(x) + 1 = 0. \quad (1.1)$$

A *peak* in a Dyck path is an occurrence of  $ud$ , a *valley* is an occurrence of  $du$ . By the level of a peak or of a valley we mean the level of the intersection point of its two steps. By a *return step* we mean a  $d$  step ending at level zero. Dyck paths that have exactly one return step are said to be *primitive* [14]. If  $\alpha$  and  $\beta$  are Dyck path, then we define  $\hat{\alpha} = u\alpha d$  as the elevation of  $\alpha$  and  $\alpha\beta$  as the concatenation of  $\alpha$  and  $\beta$ .

A *Fine path* is a Dyck path with no peaks at level one. They are counted by the Fine numbers  $F_n$  [3], having generating function  $F(x) = \sum_{n \geq 0} F_n x^n = \frac{1 - \sqrt{1-4x}}{x(3 - \sqrt{1-4x})}$ , which satisfies the relation

$$F(x) = \frac{C(x)}{1 + xC(x)} = \frac{1}{1 + x - xC(x)}. \quad (1.2)$$

As is well known, the Motzkin numbers  $M_n$  [1, 5, 7, 8] and the Catalan numbers are intimately related [5]; namely,

$$M_n = \sum_{k \geq 0}^{\lfloor n/2 \rfloor} \binom{n}{2k} C_k, \quad C_{n+1} = \sum_{k \geq 0}^n \binom{n}{k} M_{n-k}, \quad (1.3)$$

which imply

$$C(x) = 1 + \frac{x}{1-x}M\left(\frac{x}{1-x}\right), \quad (1.4)$$

where  $M(x) = \sum_{n \geq 0} M_n x^n = \frac{1-x-\sqrt{1-2x-3x^2}}{2x^2}$ , which satisfies the relation

$$x^2 M(x)^2 + (x-1)M(x) + 1 = 0. \quad (1.5)$$

Note that (1.4) can be derived also by a straightforward verification from (1.1) and (1.5).

The enumeration of Dyck paths according to semilength and various other parameters has been studied in several papers [2, 9, 10, 11, 22]. However, the statistic “number of  $udu$ ’s” has been considered only recently [13] (it is also mentioned briefly in [4]).

The organization of this paper is as follows. In the next Section we find an explicit formula for the number of Dyck paths in  $\mathcal{D}_n$  with  $k$   $udu$ ’s, and obtain a new combinatorial interpretation of the second expression of (1.3). In Section 3 we study Dyck paths in  $\mathcal{D}_n$  with  $k$   $udu$ ’s at low and high level. We obtain the explicit expressions for these two cases and give a new setting counted by Motzkin numbers. In Section 4 we study Dyck paths in  $\mathcal{D}_n$  with  $k$   $udu$ ’s at level  $r \geq 2$ . We derive the corresponding recurrence relations and generating functions. It turns out that the Fibonacci-like polynomials [21] occur in the latter. We also conjecture two families of interesting identities.

## 2. Enumeration of Dyck paths according to number of $udu$ ’s

Let  $\mathcal{T}_{n,k}$  denote the set of all Dyck paths in  $\mathcal{D}_n$  with  $k$   $udu$ ’s and let  $T_{n,k}$  be the cardinality of the set  $\mathcal{T}_{n,k}$ . In this section we find an explicit formula for  $T_{n,k}$  and obtain a new combinatorial interpretation of the second identity in (1.3). Namely,

**Theorem 2.1** *For any integer  $n, k \geq 0$ ,*

$$T_{n+1,k} = \binom{n}{k} M_{n-k}.$$

*Proof.* Consider any Dyck path  $D$  in  $\mathcal{T}_{n+1,0}$  ( $n \geq 2$ ), i.e., a Dyck path with no  $udu$  (or  $udu$ -avoiding). Let  $D = uPdQ$  be the first return decomposition of  $D$ . Then, both  $P$  and  $Q$  are  $udu$ -avoiding and, moreover, if  $P = \epsilon$ , then  $Q = \epsilon$ . Consequently, for  $n \geq 2$ , we cannot have  $P = \epsilon$ . Then

$$T_{n+1,0} = T_{n,0} + \sum_{r=1}^{n-1} T_{r,0} T_{n-r,0},$$

and  $T_{0,0} = T_{1,0} = T_{2,0} = 1$ . Since  $T_{n+1,0}$  and the Motzkin numbers  $M_n$  satisfy the same recurrence relation with the same initial condition, we obtain

$$T_{n+1,0} = M_n. \quad (2.1)$$

Now, consider a Dyck path  $D \in \mathcal{T}_{n-k+1,0}$  and consider the  $n-k+1$  endpoints of its  $n-k+1$  rise steps. From these we select  $k$  points, repetitions allowed, and at each of the selected points we insert a valley  $du$ . Clearly, we obtain a member of  $\mathcal{T}_{n+1,k}$ . Conversely, every Dyck path  $D \in \mathcal{T}_{n+1,k}$  can be obtained in this manner from a member of  $\mathcal{T}_{n-k+1,0}$  (delete the  $k$  valleys  $du$  that follow immediately a  $u$  step). Since the number of  $r$ -subsets of  $[n]$  with repetitions allowed is  $\binom{n+r-1}{r}$ , we have  $T_{n+1,k} = \binom{n}{k} T_{n-k+1,0}$  or, taking into account (2.1),

$$T_{n+1,k} = \binom{n}{k} M_{n-k}.$$

This is the desired result. □

The first few values of  $T_{n, k}$  are given in Table 1.

Table 1: The first few values of  $T_{n, k}$

$n \backslash k$	0	1	2	3	4	5	6
1	1						
2	1	1					
3	2	2	1				
4	4	6	3	1			
5	9	16	12	4	1		
6	21	45	40	20	5	1	
7	51	126	135	80	30	6	1

**Theorem 2.2** *The bivariate generating function  $T(x, z)$  for Dyck paths according to the number of  $udu$ 's (marked by  $x$ ), and semilength (marked by  $z$ ) is given by*

$$T(x, z) = \frac{1 + z - xz - \sqrt{1 - 2(x+1)z + (x^2 + 2x - 3)z^2}}{2z} \quad (2.2)$$

$$= 1 + \frac{z}{1 - xz} M\left(\frac{z}{1 - xz}\right) = C\left(\frac{z}{1 + z - xz}\right). \quad (2.3)$$

*Proof.* An equation for  $T(x, z)$  is obtained from the “first return decomposition” of a Dyck path  $D$ :  $D = uPdQ$ , where  $P, Q$  are Dyck paths. The  $udu$ 's of  $P$  and  $Q$  are  $udu$ 's also in  $D$ ; in addition,  $D$  has a  $udu$  whenever  $P = \epsilon$  and  $Q \neq \epsilon$ . Making use of the so-called symbolic method (for details see [16]), one obtain

$$T(x, z) = 1 + z[(T(x, z) - 1)^2 + (T(x, z) - 1) + x(T(x, z) - 1) + 1]. \quad (2.4)$$

Solving this for  $T(x, z)$ , we obtain (2.2). Rewriting the above equation as

$$T(x, z) = 1 + \frac{z}{1 + z - xz} T(x, z)^2,$$

and taking into account (1.4), we obtain (2.3) at once. □

*Remark 1* Applying the Lagrange inversion theorem to (2.4), we reobtain  $[x^k z^{n+1}]T(x, z) = \binom{n}{k} M_{n-k}$ .

Setting  $x = -1$  in (2.2) we have

$$T(-1, z) = 1 + \sum_{n \geq 0} \sum_{k=0}^n (-1)^k T_{n+1, k} z^{n+1} \quad (2.5)$$

$$= 1 + \frac{1 - \sqrt{1 - 4z^2}}{2z} = 1 + \sum_{n \geq 0} C_n z^{2n+1}. \quad (2.6)$$

Comparing the coefficients of  $z^n$  in (2.5) and (2.6), we obtain

**Theorem 2.3** *For any positive integer  $n$ ,*

$$\begin{aligned} \sum_{k \text{ even}} T_{2n, k} &= \sum_{k \text{ odd}} T_{2n, k}, \\ \sum_{k \text{ even}} T_{2n-1, k} &= \sum_{k \text{ odd}} T_{2n-1, k} + C_{n-1}. \end{aligned}$$

### 3. Enumeration of Dyck paths according to number of $udu$ 's at low and high levels

We say that a  $udu$  is at low (high) level if its peak is at level (greater than) one. In this section we consider the following statistics on Dyck paths: number of low(high)  $udu$ 's. Let  $L_{n, k}$  ( $H_{n, k}$ ) denote the number of Dyck paths in  $\mathcal{D}_n$  with  $k$   $udu$ 's at low (high) level. Define  $L_{0, 0} = H_{0, 0} = 1$ ; clearly,  $L_{n, k} = H_{n, k} = 0$  for  $k \geq n > 0$ .

Consider any Dyck path  $D = uPdQ$ , where  $P, Q$  are Dyck paths. The  $udu$ 's of  $P$  and  $Q$  are  $udu$ 's also in  $D$ ; in addition,  $D$  has a  $udu$  whenever  $P$  is the empty path and  $Q$  is any nonempty path. Let  $G(x, y, z)$  be the trivariate generating function, where  $x$  and  $y$  mark the number of  $udu$  at low and high level, respectively, and  $z$  marks the semilength of the Dyck path. Then  $G(x, x, z) = T(x, z)$ , the generating function of  $T_{n, k}$ . Making use of the so-called symbolic method,  $G(x, y, z)$  should satisfy the equation

$$G(x, y, z) = 1 + z[(T(y, z) - 1)(G(x, y, z) - 1) + (T(y, z) - 1) + x(G(x, y, z) - 1) + 1],$$

from where, we obtain

$$G(x, y, z) = \frac{1 + z - xz}{1 + z - xz - zT(y, z)}. \quad (3.1)$$

Setting  $y = x$  in (3.1) and solving this for  $T(x, z)$ , we obtain (2.2) again.

Define the generating function  $L(x, z)$  of the  $L_{n, k}$ 's by

$$L_k(z) = \sum_{n \geq k} L_{n, k} z^n, \quad L(x, z) = \sum_{k \geq 0} L_k(z) x^k.$$

Setting  $y = 1$  in  $G(x, y, z)$  (i.e. we consider only the number of  $udu$  at low level), we obtain

$$L(x, z) = G(x, 1, z) = \frac{1 + z - xz}{1 + z - xz - zT(1, z)}.$$

Taking into account  $T(1, z) = C(z)$  and (1.2), we obtain

$$L(x, z) = 1 + \frac{zC(z)}{1 + z - zC(z) - xz} = 1 + \frac{zC(z)F(z)}{1 - xzF(z)}.$$

Thus

$$L_k(z) = [x^k]L(x, z) = \delta_{0k} + z^{k+1}F^{k+1}(z)C(z), \quad (3.2)$$

where  $\delta_{0k}$  is the Kronecker symbol.

Making use of the known series expansion of  $C^p(z)F^q(z)$  [2, Eq.(B.7)], we find an explicit expression for  $L_{n, k}$ ; namely,

**Theorem 3.1** *For any integers  $n > k \geq 0$ , we have*

$$L_{n, k} = [z^n]L_k(z) = \sum_{i \geq 0} \frac{2i+1}{n-k} \binom{k+i}{k} \binom{2n-2k-2i-2}{n-k-1}.$$

The  $L_{n, k}$ 's form a Riordan array, namely  $(zF(z)C(z), F(z))$  [12, 18]. The first few values of  $L_{n, k}$  are given in Table 2.

Table 2: The first few values of  $L_{n, k}$

$n \setminus k$	0	1	2	3	4	5	6
1	1						
2	1	1					
3	3	1	1				
4	8	4	1	1			
5	24	11	5	1	1		
6	75	35	14	6	1	1	
7	243	113	47	17	7	1	1

In the special case  $k = 0$ , from (3.2) and the easily verifiable identity  $zF(z)C(z) = C(z) - F(z)$ , we have

$$L_0(z) = 1 + C(z) - F(z) = (1 + z)F(z),$$

from where

$$L_{n, 0} = F_n + F_{n-1} = C_n - F_n, \quad (n \geq 1).$$

Now considering the diagonal difference in the Riordan array, we have the following identity.

**Theorem 3.2** For any integers  $n, k \geq 0$ ,

$$\sum_{i=0}^n (-1)^{n-i} \binom{n}{i} L_{m+k+1+i, k+i} = \begin{cases} 1 & \text{if } m = 2n, \\ 2n + 1 & \text{if } m = 2n + 1. \end{cases}$$

*Proof.* By the Cauchy Residue Theorem (for details see [6]), we have

$$\begin{aligned} & \sum_{i=0}^n (-1)^{n-i} \binom{n}{i} L_{m+k+1+i, k+i} \\ &= \sum_{i=0}^n \sum_{j=0}^m (-1)^{n-i} \binom{n}{i} \binom{k+i+j}{j} \frac{2j+1}{m+1} \binom{2m-2j}{m} \\ &= \sum_{i=0}^n \sum_{j=0}^{\infty} \operatorname{res}_u \frac{(u-1)^n}{u^{i+1}} \operatorname{res}_v \frac{(1-v)^{-k-i-1}}{v^{j+1}} \operatorname{res}_w \frac{(1-2w)(1-w)^{-m-2}}{w^{m-2j+1}} \\ &= \sum_{i=0}^{\infty} \operatorname{res}_w \frac{(1-2w)(1-w)^{-m-2}}{w^{m+1}} (1-w^2)^{-k-i-1} \operatorname{res}_u \frac{(u-1)^n}{u^{i+1}} \\ &= \operatorname{res}_w \frac{(1-2w)(1-w)^{-m-2}}{w^{m-2n+1}} (1-w^2)^{-n-k-1} \\ &= \begin{cases} 1 & \text{if } m = 2n, \\ -\binom{-2n-3}{1} - 2 & \text{if } m = 2n + 1. \end{cases} \\ &= \begin{cases} 1 & \text{if } m = 2n, \\ 2n + 1 & \text{if } m = 2n + 1. \end{cases} \end{aligned}$$

Hence this result holds.  $\square$

Several interesting identities involving some numbers related to Dyck paths have been found by the same method [20].

Define the generating function  $H(y, z)$  of the  $H_{n, k}$ 's by

$$H_k(z) = \sum_{n \geq k} H_{n, k} z^n, \quad H(y, z) = \sum_{k \geq 0} H_k(z) y^k.$$

Setting  $x = 1$  in  $G(x, y, z)$  (i.e. we consider only the number of  $udu$ 's at high level), we obtain

$$H(y, z) = G(1, y, z) = \frac{1}{1 - zT(y, z)},$$

and now from  $H(y, z) = 1 + zT(y, z)H(y, z)$  we can derive the recurrence of  $H_{n, k}$  and  $H_k(z)$ ,

$$H_{n+1, k} = \sum_{j=0}^k \sum_{i=j}^{n-2k+2j} T_{i, j} H_{n-i, k-j}, \quad (3.3)$$

and

$$H_k(z) = \begin{cases} M(z) & \text{if } k = 0, \\ zM(z) \left( \sum_{i=0}^{k-1} H_i(z) T_{k-i}^*(z) \right) & \text{if } k \geq 1, \end{cases} \quad (3.4)$$

where  $T_k^*(z)$  is the column generating function of the  $T_{n, k}$ 's, namely

$$T_k^*(z) = \sum_{n \geq 0} \binom{n+k}{k} M_n z^{n+k+1} = \frac{z^{k+1}}{k!} (z^k M(z))^{(k)}, \quad (3.5)$$

and  $f(z)^{(k)}$  denotes the  $k$ -th order derivative of  $f(z)$ .

In the special case  $k = 0$  in (3.4), we obtain the explicit formula

$$H_{n, 0} = M_n. \quad (3.6)$$

*Remark 2* In [5], R. Donaghey and L. W. Shapiro gave 14 representative settings for Motzkin numbers. Other settings can be found in [19]. In [17] J. M. Sen also gave another one implicitly, namely (2.1). However, we notice that (3.6) is an entirely new setting.

In the special case  $k = 1$ , since from (3.4) and (3.5) one can express both  $T_1^*(z)$  and  $H_1(z)$  in terms of  $M(z)$ , it is straightforward to show that

$$zH_1(z) = T_1^*(z) + (z-1)M(z) + 1.$$

Now taking into account that  $[z^{n+1}]T_1^*(z) = nM_{n-1}$ , we obtain the explicit formula

$$H_{n, 1} = nM_{n-1} + M_n - M_{n+1}, \quad (n \geq 1). \quad (3.7)$$

More generally, we obtain

**Theorem 3.3** *For any integers  $n, k \geq 0$ ,*

$$H_{n, k} = \delta_{0k} + \sum_{r=k}^{n-2} \sum_{j=0}^{n-r-2} (-1)^{r+k} \binom{r}{k} \binom{j+r}{r} B_{n-r-1, j+1}, \quad (3.8)$$

where  $B_{n, i} = \frac{n-i+1}{n+1} \binom{n+i}{i}$  stands for the well-known sequence of ballot numbers.

*Proof.*

$$\begin{aligned}
H_{n, k} &= [z^n y^k] \frac{1}{1 - zT(y, z)} = [z^n y^k] \left\{ 1 + \sum_{i \geq 0} z^{i+1} T(y, z)^{i+1} \right\} \\
&= [z^n y^k] \left\{ 1 + \sum_{i \geq 0} z^{i+1} C\left(\frac{z}{1+z-yz}\right)^{i+1} \right\} && \text{by (2.3)} \\
&= [z^n y^k] \left\{ 1 + \sum_{i \geq 0} z^{i+1} \sum_{j \geq 0} \frac{i+1}{i+j+1} \binom{2j+i}{j} \frac{z^j}{(1+z-yz)^j} \right\} && \text{by [2, Eq.(B.7)]} \\
&= [z^n y^k] \frac{1}{1-z} + [z^n y^k] \sum_{i \geq 0} z^{i+1} \sum_{j \geq 0} \frac{i+1}{i+j+2} \binom{2j+i+2}{j+1} \frac{z^{j+1}}{(1+z-yz)^{j+1}} \\
&= \delta_{0k} + [z^n y^k] \sum_{i \geq 0} \sum_{j \geq 0} \frac{i+1}{i+j+2} \binom{2j+i+2}{j+1} \sum_{r \geq 0} \binom{-j-1}{r} \sum_{m=0}^r (-1)^m \binom{r}{m} y^m z^{i+j+r+2} \\
&= \delta_{0k} + [z^n y^k] \sum_{i, j, m \geq 0} \sum_{r \geq m} (-1)^{m+r} \binom{r}{m} \binom{j+r}{r} \frac{i+1}{i+j+2} \binom{2j+i+2}{j+1} y^m z^{i+j+r+2} \\
&= \delta_{0k} + \sum_{r=k}^{n-2} \sum_{i+j=n-r-2} (-1)^{k+r} \binom{r}{k} \binom{j+r}{r} \frac{i+1}{i+j+2} \binom{2j+i+2}{j+1} \\
&= \delta_{0k} + \sum_{r=k}^{n-2} \sum_{j=0}^{n-r-2} (-1)^{k+r} \binom{r}{k} \binom{j+r}{r} \frac{n-r-j-1}{n-r} \binom{n-r+j}{j+1} \\
&= \delta_{0k} + \sum_{r=k}^{n-2} \sum_{j=0}^{n-r-2} (-1)^{k+r} \binom{r}{k} \binom{j+r}{r} B_{n-r-1, j+1}.
\end{aligned}$$

This is the desired result. □

The first few values of  $H_{n, k}$  are given in Table 3.

Table 3: The first few values of  $H_{n, k}$

$n \backslash k$	0	1	2	3	4	5
1	1					
2	2					
3	4	1				
4	9	4	1			
5	21	15	5	1		
6	51	50	24	6	1	
7	127	161	98	35	7	1

Taking into account (3.6), (3.7) and (3.8), we have

**Corollary 3.4** *For any integer  $n \geq 1$ ,*

$$\begin{aligned}
\sum_{r=0}^{n-2} \sum_{j=0}^{n-r-2} (-1)^r \binom{j+r}{r} B_{n-r-1, j+1} &= M_n - 1, \\
\sum_{r=1}^{n-2} \sum_{j=0}^{n-r-2} (-1)^{r-1} r \binom{j+r}{r} B_{n-r-1, j+1} &= nM_{n-1} + M_n - M_{n+1}.
\end{aligned}$$

Now considering the diagonal difference in Table 3, we have the following identity.

**Theorem 3.5** For any integers  $n \geq 0, t \geq 1$ , we have

$$\sum_{i=0}^n (-1)^{n-i} \binom{n}{i} H_{n+t+i+2, t+i} = M_n.$$

*Proof.* Recall that the well-known Tepper identity [6, 15] states as follows

$$\sum_{i=0}^n (-1)^{n-i} \binom{n}{i} (x-i)^m = \begin{cases} 0 & \text{if } 0 \leq m < n, \\ n! & \text{if } m = n. \end{cases} \quad (3.9)$$

Then we have

$$\begin{aligned} & \sum_{i=0}^n (-1)^{n-i} \binom{n}{i} H_{n+t+i+2, t+i} \\ &= \sum_{i=0}^n (-1)^{n-i} \binom{n}{i} \sum_{r=t+i}^{n+t+i} \sum_{j=0}^{n+t+i-r} (-1)^{r+t+i} \binom{r}{t+i} \binom{j+r}{j} B_{n+t+i+1-r, j+1} \\ &= \sum_{i=0}^n (-1)^{n-i} \binom{n}{i} \sum_{r=0}^n \sum_{j=0}^{n-r} (-1)^r \binom{r+t+i}{t+i} \binom{j+t+i+r}{j} B_{n-r+1, j+1} \\ &= \sum_{r=0}^n (-1)^{n-r} \sum_{j=0}^r \sum_{i=0}^n (-1)^{n-i} \binom{n}{i} \binom{n-r+t+i}{t+i} \binom{j+t+i+n-r}{j} B_{r+1, j+1} \\ &= \sum_{r=0}^n \frac{(-1)^{n-r}}{(n-r)!} \sum_{j=0}^r \frac{1}{j!} B_{r+1, j+1} \sum_{i=0}^n (-1)^{n-i} \binom{n}{i} \frac{(t+i+j+n-r)!}{(t+i)!} \\ &= \sum_{r=0}^n \frac{(-1)^{n-r}}{(n-r)!} \frac{n!}{r!} B_{r+1, r+1} \quad \text{by (3.9)} \\ &= \sum_{r=0}^n (-1)^{n-r} \binom{n}{r} C_{r+1} \\ &= M_n, \end{aligned}$$

where the last equality follows by applying the Möbius inversion to the second identity of (1.3). Hence we complete the proof.  $\square$

#### 4. Enumeration of Dyck paths according to number of $udu$ 's at level $r \geq 2$

In this section we study Dyck paths with  $k$   $udu$ 's at level  $r \geq 2$ . We say that a  $udu$  in a Dyck path is at level  $r$  if its peak is at level  $r$ . Let  $W_{n, k}^{(r)}$  denote the number of Dyck paths in  $\mathcal{D}_n$  with  $k$   $udu$ 's at level  $r$ . Define  $W_{0, 0}^{(r)} = 1$ . Obviously,  $W_{n, k}^{(r)} = 0$  for  $n \leq k + r - 1$  and  $k \neq 0$ ;  $W_{n, k}^{(1)} = L_{n, k}$  and  $W_{n, 0}^{(r)} = C_n$  for  $1 \leq n \leq r$ .

For the investigation of the number of  $udu$ 's at level  $r$ , we again utilize the fruitful symbolic method. Similar to the analysis of Section 3, let  $W^{(r)}(x, z)$  be the corresponding generating function, where  $x$  marks the number of  $udu$  at level  $r$ , and  $z$  marks the semilength of the Dyck

path. Obviously  $W^{(1)}(x, z) = L(x, z) = \frac{1+z-xz}{1+z-xz-zC(z)}$ , and “the first return decomposition” yields

$$W^{(r)}(x, z) = 1 + zW^{(r-1)}(x, z)W^{(r)}(x, z), \quad (4.1)$$

leading to the recurrence relation

$$W^{(r)}(x, z) = \frac{1}{1 - zW^{(r-1)}(x, z)}.$$

Making use of the initial value  $W^{(1)}(x, z)$ , by induction on  $r$ , we obtain

**Theorem 4.1** *For any integer  $r \geq 2$ ,*

$$W^{(r)}(x, z) = \frac{(1 + z - xz)f_{r-1}(z) - zf_{r-2}(z)C(z)}{(1 + z - xz)f_r(z) - zf_{r-1}(z)C(z)},$$

where  $f_{i+1}(z) = f_i(z) - zf_{i-1}(z)$  with  $f_0(z) = f_1(z) = 1$  is a Fibonacci-like polynomial.

For example for  $r = 3$  we obtain

$$W^{(3)}(x, z) = \frac{1 - 2z^2 - 2xz + 2xz^2 + \sqrt{1 - 4z}}{1 - z - 4z^2 - 2xz + 4xz^2 + (1 - z)\sqrt{1 - 4z}}.$$

Now define the column generating function for  $W_{n, k}^{(r)}$  as,

$$W_k^{(r)}(z) = \sum_{n \geq k} W_{n, k}^{(r)} z^n.$$

By (4.1), we can derive  $W_k^{(r)}(z)$  and the recurrence for  $W_{n, k}^{(r)}$ , that is,

$$W_{n+1, k}^{(r)} = \sum_{j=0}^k \sum_{i=j}^{n-1} W_{i, j}^{(r-1)} W_{n-i, k-j}^{(r)},$$

and

$$W_k^{(r)}(z) = \begin{cases} \frac{1}{1 - zW_0^{(r-1)}(z)} & \text{if } k = 0, \\ zW_0^{(r)}(z) \left( \sum_{j=0}^k W_j^{(r)}(z) W_{k-j}^{(r-1)}(z) \right) & \text{if } k \geq 1, \end{cases} \quad (4.2)$$

where, by definition,  $W_0^{(1)}(z) = L_0(z)$ .

From (4.2) we can compute the expression of  $W_k^{(r)}(z)$  for fixed  $k$  and  $r$ . Unfortunately, the explicit expression for  $W_{n, k}^{(r)}$  seems much more complicated. But we conjecture the following interesting diagonal difference identities for any integer  $n \geq 0$ ,  $k \geq 0$  and  $r \geq 2$ :

$$\sum_{i=0}^n (-1)^{n-i} \binom{n}{i} W_{m+k+2+i, k+i}^{(2)} = \begin{cases} 2^n & \text{if } m = 2n, \\ 2^n(2n + 3) & \text{if } m = 2n + 1, \end{cases} \quad (4.3)$$

and for  $r \geq 3$

$$\sum_{i=0}^n (-1)^{n-i} \binom{n}{i} W_{m+k+2+i, k+i}^{(r)} = \begin{cases} 2^n & \text{if } m = 2n, \\ 2^n \left( \frac{5}{2}n + 2r - 1 \right) & \text{if } m = 2n + 1. \end{cases} \quad (4.4)$$

We have checked by Maple that (4.3) and (4.4) hold for  $r \leq 10$ ,  $n \leq 10$  and  $k \leq 5$ .  $\square$

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