

3 **GENERALIZED RAINBOW CONNECTION OF GRAPHS**  
4 **AND THEIR COMPLEMENTS**

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22 **Abstract**

23 Let  $G$  be an edge-colored connected graph. A path  $P$  in  $G$  is called  
24  $\ell$ -rainbow if each subpath of length at most  $\ell + 1$  is rainbow. The graph  
25  $G$  is called  $(k, \ell)$ -rainbow connected if there is an edge-coloring such that  
26 every pair of distinct vertices of  $G$  are connected by  $k$  pairwise internally  
27 vertex-disjoint  $\ell$ -rainbow paths in  $G$ . The minimum number of colors needed  
28 to make  $G$   $(k, \ell)$ -rainbow connected is called the  $(k, \ell)$ -rainbow connection  
29 number of  $G$  and denoted by  $rc_{k, \ell}(G)$ . In this paper, we first focus on the  
30  $(1, 2)$ -rainbow connection number of  $G$  depending on some constraints of  $\overline{G}$ .  
31 Then, we characterize the graphs of order  $n$  with  $(1, 2)$ -rainbow connection

32 number  $n - 1$  or  $n - 2$ . Using this result, we investigate the Nordhaus-  
 33 Gaddum-Type problem of  $(1, 2)$ -rainbow connection number and prove that  
 34  $rc_{1,2}(G) + rc_{1,2}(\overline{G}) \leq n + 2$  for connected graphs  $G$  and  $\overline{G}$ . The equality  
 35 holds if and only if  $G$  or  $\overline{G}$  is isomorphic to a double star.

36 **Keywords:**  $\ell$ -rainbow path;  $(k, \ell)$ -rainbow connected;  $(k, \ell)$ -rainbow con-  
 37 nection number.

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## 39 1. INTRODUCTION

40 All graphs in this paper are finite, undirected, simple and connected. We follow  
 41 the notation and terminology in the book [3].

42 When considering the transmission of information between agencies of the  
 43 government, an immediate question is put forward as follows: What is the min-  
 44 imum number of passwords or firewalls needed that allows one or more secure  
 45 paths between every two agencies so that the passwords along each path are dis-  
 46 tinct? This question can be represented by a graph and studied by means of  
 47 what is called rainbow colorings introduced by Chartrand et al. in [5]. An *edge-*  
 48 *coloring* of a graph is a mapping from its edge set to the set of natural numbers  
 49 (colors). A path in an edge-colored graph with no two edges sharing the same  
 50 color is called a *rainbow path*. A graph  $G$  with an edge-coloring  $c$  is said to be  
 51 *rainbow connected* if every pair of distinct vertices of  $G$  is connected by at least  
 52 one rainbow path in  $G$ . The coloring  $c$  is called a *rainbow coloring* of the graph  
 53  $G$ . For a connected graph  $G$ , the minimum number of colors needed to make  $G$   
 54 rainbow connected is defined as the *rainbow connection number* of  $G$  and denoted  
 55 by  $rc(G)$ . Many researchers have studied problems on rainbow connection. See  
 56 [9, 12, 14] for example. For more details we refer to the survey paper [13] and  
 57 the book [14].

58 The following question provides a relaxation of this concept: What is the  
 59 minimum number of passwords or firewalls that allows one or more secure paths  
 60 between every two agencies such that as we progress from one agency to another  
 61 along such a path, we are required to change passwords at each step? Inspired  
 62 by this, Borozan et al. in [2] and Andrews et al. in [1] introduced the concept of  
 63 proper-path coloring of graphs. Let  $G$  be an edge-colored graph. A path  $P$  in  $G$  is  
 64 called a *proper path* if no two adjacent edges of  $P$  are colored with the same color.  
 65 An edge-colored graph  $G$  is  *$k$ -proper connected* if every pair of distinct vertices  
 66  $u, v$  of  $G$  are connected by  $k$  pairwise internally vertex-disjoint proper  $(u, v)$ -paths  
 67 in  $G$ . For a connected graph  $G$ , the minimum number of colors needed to make  $G$   
 68  $k$ -proper connected is called the  *$k$ -proper connection number* of  $G$  and denoted by  
 69  $pc_k(G)$ . Particularly for  $k = 1$ , we write  $pc_1(G)$ , the proper connection number

70 of  $G$ , as  $pc(G)$  for simplicity. Recently, many results have been obtained on the  
 71 proper connection number. For details, we refer to the dynamic survey [10].

72 Relaxing the notion of a rainbow path, the  $(k, \ell)$ -proper-path coloring was  
 73 defined in [11] as a generalization of rainbow coloring and proper-path coloring.  
 74 The notion of  $\ell$ -rainbow colorings was also independently defined and studied in  
 75 [4, 6, 7]. A path  $P$  in  $G$  is called an  $\ell$ -rainbow path if each subpath of length  
 76 at most  $\ell + 1$  is rainbow colored. The graph  $G$  is called  $(k, \ell)$ -rainbow connected  
 77 if there is an edge-coloring  $c$  such that every pair of distinct vertices of  $G$  are  
 78 connected by  $k$  pairwise internally vertex-disjoint  $\ell$ -rainbow paths in  $G$ . This  
 79 coloring is called a  $(k, \ell)$ -rainbow-path coloring of  $G$ . In addition, if  $t$  colors are  
 80 used, then  $c$  is referred to as a  $(k, \ell)$ -rainbow-path  $t$ -coloring of  $G$ . For a con-  
 81 nected graph  $G$ , the minimum number of colors needed to make  $G$   $(k, \ell)$ -rainbow  
 82 connected is called the  $(k, \ell)$ -rainbow connection number of  $G$  and denoted by  
 83  $rc_{k,\ell}(G)$ . Particularly, for  $k = 1$  and  $\ell = 2$ , there is an edge-coloring using  $rc_{1,2}$   
 84 colors such that there exists a 2-rainbow path between each pair of vertices of the  
 85 graph  $G$ . Furthermore, if we ensure that every path in  $G$  is a 2-rainbow path,  
 86 then such an edge-coloring is called a *strong edge-coloring*. In addition, the strong  
 87 chromatic index  $\chi'_s(G)$ , which was introduced by Fouquet and Jolivet [8], is the  
 88 minimum number of colors needed in a strong edge-coloring of  $G$ . Immediately  
 89 we get that  $rc_{1,2}(G) \leq \chi'_s(G)$ . And this inspires us to pay our attention to the  
 90  $(1, 2)$ -rainbow connection number of the connected graph  $G$ , i.e.,  $rc_{1,2}(G)$ .

91 As an example of this concept, we consider the  $(2, 3)$ -rainbow connection  
 92 number of the cycle  $C_{12}$ . Since  $\ell = 3$ , then each pair of edges with the same color  
 93 must have at least 3 edges in between. Additionally, there are pairs of vertices at  
 94 distance greater than 4, we see that  $rc_{2,3}(C_{12}) \geq 4$ . On the other hand, if we color  
 95 the edges of  $C_{12}$  by alternating through the colors like 1, 2, 3, 4, 1,  $\dots$ , 4 in order  
 96 around the cycle, then it is easy to see that this is a  $(2, 3)$ -rainbow connected  
 97 coloring using 4 colors, so  $rc_{2,3}(C_{12}) = 4$ .

98 In this paper, we consider the  $(k, \ell)$ -rainbow connection number of graphs  
 99 and their complements. This paper is organized as follows. In Section 2, we list  
 100 some useful results about the  $(k, \ell)$ -rainbow connection number of a graph. In  
 101 Section 3, we focus on  $rc_{1,2}(G)$  depending on some constraints of  $\overline{G}$ . In Section 4,  
 102 we first characterize the graphs of order  $n$  with  $(1, 2)$ -rainbow connection number  
 103  $n-1$  or  $n-2$ . Using this result, we give the Nordhaus-Gaddum-Type result for the  
 104  $(1, 2)$ -rainbow connection number, i.e.,  $rc_{1,2}(G) + rc_{1,2}(\overline{G}) \leq n + 2$  for connected  
 105 graphs  $G$  and  $\overline{G}$ , and the equality holds if and only if  $G$  or  $\overline{G}$  is isomorphic to a  
 106 double star.

107

## 2. PRELIMINARIES

108 In this section, we introduce some definitions and present several results which  
 109 will be used later. Let  $G$  be a connected graph. We denote by  $n$  the number of  
 110 its vertices and  $m$  the number of its edges. The *distance between two vertices*  $u$   
 111 and  $v$  in  $G$ , denoted by  $d(u, v)$ , is the length of a shortest path between them in  
 112  $G$ . The *eccentricity* of a vertex  $v$  is  $\text{ecc}(v) := \max_{x \in V(G)} d(v, x)$ . The *radius* of  $G$   
 113 is  $\text{rad}(G) := \min_{x \in V(G)} \text{ecc}(x)$ . We also write  $\sigma'_2(G)$  as the largest sum of degrees  
 114 of vertices  $x$  and  $y$ , where  $x$  and  $y$  are taken over all couples of adjacent vertices  
 115 in  $G$ . Additionally, we set  $[n] = \{1, 2, \dots, n\}$  for any integer  $n \geq 1$ .

116 The following are some results that we will use in our proofs. The first  
 117 is a simple observation that the addition of edges cannot increase the rainbow  
 118 connection number.

119 **Proposition 2.1** [11]. If  $G$  is a nontrivial connected graph and  $H$  is a con-  
 120 nected spanning subgraph of  $G$ ,  $\ell \geq 1$  is an integer. Then  $rc_{1,\ell}(G) \leq rc_{1,\ell}(H)$ .  
 121 Particularly,  $rc_{1,\ell}(G) \leq rc_{1,\ell}(T)$  for every spanning tree  $T$  of  $G$ .

122 When we focus on trees, the following holds.

123 **Theorem 2.2** [11]. If  $T$  is a nontrivial tree, then  $rc_{1,2}(T) = \sigma'_2(T) - 1$ .

124 For complete bipartite graphs, the situation is trickier.

125 **Theorem 2.3** [11]. Let  $\ell \geq 2$  be an integer and  $m \leq n$ . Then,

$$rc_{1,\ell}(K_{m,n}) = \begin{cases} n & \text{if } m = 1, \\ 2 & \text{if } m \geq 2 \text{ and } m \leq n \leq 2^m, \\ 3 & \text{if } \ell = 2, m \geq 2 \text{ and } n > 2^m \\ & \text{or } \ell \geq 3, m \geq 2 \text{ and } 2^m < n \leq 3^m, \\ 4 & \text{if } \ell \geq 3, m \geq 2 \text{ and } n > 3^m. \end{cases}$$

126 For a general 2-connected graph, we gave in [11] an upper bound for the  
 127 (1, 2)-rainbow connection number.

128 **Theorem 2.4** [11]. If a graph  $G$  is 2-connected, then  $rc_{1,2}(G) \leq 5$ .

### 129 3. (1, 2)-RAINBOW CONNECTION NUMBER FOR THE COMPLEMENT OF A 130 GRAPH

131 In this section, we investigate the (1, 2)-rainbow connection number of  $G$  depend-  
 132 ing on some properties of its complement  $\overline{G}$ .

133 **Theorem 3.1.** If  $G$  is a graph with  $\text{diam}(\overline{G}) \geq 4$ , then  $rc_{1,2}(G) \leq 3$ .

134 *Proof.* We first claim that  $G$  must be connected. If not,  $\overline{G}$  must contain a span-  
 135 ning complete bipartite graph which implies that  $\text{diam}(\overline{G}) \leq 2$ , a contradiction.  
 136 Choose a vertex  $x$  with  $\text{ecc}_{\overline{G}}(x) = \text{diam}(\overline{G})$ . Let  $N_i(x) = \{v : \text{dist}_{\overline{G}}(x, v) = i\}$   
 137 for  $0 \leq i \leq 3$  and  $N_4(x) = \{v : \text{dist}_{\overline{G}}(x, v) \geq 4\}$ . Obviously  $N_0(x) = \{x\}$ . We  
 138 write  $N_i$  (for  $0 \leq i \leq 4$ ) instead of  $N_i(x)$  and  $n_i$  instead of  $|N_i|$  for convenience.  
 139 It can be deduced that all edges are present in  $G$  of the form  $uv$  where  $u \in N_1$   
 140 and  $v \in N_3 \cup N_4$  or  $u \in N_2$  and  $v \in N_4$  (see Figure 1).

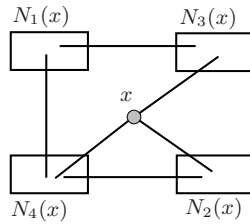


Figure 1. The graph  $G$  for the proof of Theorem 3.1.

141 We denote by  $N_{i,j}$  ( $0 \leq i \neq j \leq 4$ ) the edge set between  $N_i$  and  $N_j$  in  $G$ . We  
 142 distinguish four cases and give each of the cases a  $(1, 2)$ -rainbow-path 3-coloring,  
 143 respectively. Again we use  $f(e)$  ( $e \in E(\overline{G})$ ) to represent the color assigned to  $e$ .

144 Case 1. If  $n_4 > 1$ . We give all edges of  $N_{1,3}$  the color 3, edges of  $N_{0,3}$  the  
 145 color 3, edges of  $N_{0,4}$  the color 2, edges of  $N_{0,2}$  the color 3, edges of  $N_{2,4}$  the  
 146 color 1. Additionally, color the edges of  $N_{1,4}$  such that for  $v \in N_1$ ,  $\{f(vs) : s \in$   
 147  $N_4\} = \{1, 2\}$ . Then for any  $u, v \in N_1$  (if  $n_1 > 1$ ), there must exist  $s_1, s_2 \in N_4$   
 148 (possibly with  $s_1 = s_2$ ) such that  $f(us_1) = 1$  and  $f(vs_2) = 2$ . Then one of  $us_1v$   
 149 or  $us_1xss_2v$ , where  $s \in N_2$ , is a 2-rainbow  $(u, v)$ -path. Other situations can be  
 150 checked similarly.

151 Case 2. If  $n_4 = 1$ ,  $n_3 > 1$  and  $n_1 = 1$ . Then we give all edges of  $N_{1,3}$  the  
 152 color 1, the edge of  $N_{1,4}$  the color 3, edges of  $N_{0,3}$  the color 1, edges of  $N_{0,4}$  the  
 153 color 2, edges of  $N_{0,2}$  the color 1 and edges of  $N_{2,4}$  the color 3. It is easy to verify  
 154 this is indeed a  $(1, 2)$ -rainbow-path 3-coloring of  $G$ .

155 Case 3. If  $n_4 = 1$ ,  $n_3 > 1$  and  $n_1 > 1$ . Let  $G'$  be the complete bipartite graph  
 156  $G' = G[N_1 \cup N_3]$ . By Theorem 2.3, we can use at most three colors to make  $G'$   
 157  $(1, 2)$ -rainbow connected. Then we give all edges of  $N_{1,4}$  the color 1, edges of  
 158  $N_{0,3}$  the color 2, the edge of  $N_{0,4}$  the color 3, edges of  $N_{0,2}$  the color 1 and edges  
 159 of  $N_{2,4}$  the color 2. One can easily check this is a  $(1, 2)$ -rainbow-path 3-coloring  
 160 of  $G$  and we omit the details here.

161 Case 4. If  $n_4 = 1$  and  $n_3 = 1$ . Then we give all edges of  $N_{1,3}$  the color 1,  
 162 edges of  $N_{1,4}$  the color 1, the edge of  $N_{0,3}$  the color 2, the edge of  $N_{0,4}$  the color  
 163 3, edges of  $N_{0,2}$  the color 2 and edges of  $N_{2,4}$  the color 1. We can again verify

164 the correctness easily.

165 Thus, the proof is completed.  $\square$

166 **Theorem 3.2.** For a graph  $G$ , if  $\overline{G}$  is triangle-free and  $diam(\overline{G}) = 3$ , then  
 167  $rc_{1,2}(G) \leq 3$ .

168 *Proof.* As in the proof of Theorem 3.1, it is easy to show that  $G$  is connected.  
 169 Choose a vertex  $x$  such that  $ecc_{\overline{G}}(x) = diam(\overline{G}) = 3$ . In addition,  $N_i$ ,  $n_i$  and  
 170  $N_{i,j}$  for  $0 \leq i \neq j \leq 3$  are defined as in the previous theorem. Again it can be  
 171 deduced that there exist all edges of the form  $uv$  where  $u \in N_0$  and  $v \in N_2 \cup N_3$   
 172 or where  $u \in N_1$  and  $v \in N_3$ . Since  $\overline{G}$  is triangle-free and  $x$  has all edges to  $N_1$   
 173 in  $\overline{G}$ , we know that  $N_1$  is a clique in  $G$ . We give a  $(1, 2)$ -rainbow-path 3-coloring  
 174 for  $G$  as follows.

175 We assign to the edges of  $N_{0,2}$  the color 3, edges of  $N_{0,3}$  the color 1, edges of  
 176  $N_{1,3}$  the color 2, any edges of  $N_{1,2}$  the color 3, any edges of  $N_{2,3}$  the color 2 and  
 177 the edges of the induced subgraph  $G[N_1]$  the color 3.

178 It is obvious that for any  $u \in N_i$  and  $v \in N_j (i \neq j)$ , there exists a 2-rainbow  
 179 path between them. Then it suffices to show that for any  $u, v \in N_2$  or  $N_3$ , there  
 180 is a 2-rainbow path connecting them in  $G$ . First suppose  $u, v \in N_2$  and there  
 181 is no edge between them in  $G$ . Since  $\overline{G}$  is triangle-free, there exists a vertex  
 182  $w \in N_1$  such that  $wv \in G$ , then  $uxtww$  is a 2-rainbow path between  $u$  and  $v$ ,  
 183 where  $t \in N_3$ . The situation for any vertices  $u, v \in N_3$  can be dealt with similarly.  
 184 Thus  $rc_{1,2}(G) \leq 3$ .  $\square$

185 **Theorem 3.3.** Let  $G$  be a connected graph. If  $\overline{G}$  is triangle free and  $diam(\overline{G}) =$   
 186  $2$ , then  $rc_{1,2}(G) \leq 3$ .

187 *Proof.* First we choose a vertex  $x$  with  $ecc_{\overline{G}}(x) = diam(\overline{G}) = 2$ . In addition,  
 188  $N_i$ ,  $n_i$  and  $N_{i,j}$  are defined as above. Clearly, all edges of the form  $xv$  for  $v \in N_2$   
 189 are present in  $G$ . Again  $N_1$  is a clique in  $G$  since all edges of the form  $xu$  are in  
 190  $\overline{G}$  for  $u \in N_1$  and  $\overline{G}$  is triangle free.

191 Suppose there exists a vertex  $v_0 \in N_2$  such that no edge  $vv_0 (v \in N_1)$  exists  
 192 in  $G$ . Then  $v_0$  is adjacent to every vertex of  $N_1$  in  $\overline{G}$ . Thus, since every vertex of  
 193  $N_2$  has at least one edge to  $N_1$  in  $\overline{G}$ , the vertex  $v_0$  must be adjacent to every other  
 194 vertex of  $N_2$  in  $G$  since otherwise a triangle will appear in  $\overline{G}$ . Next we give an edge  
 195 coloring  $f$  for  $G$ . We set  $f(xv_0) = 3$ ,  $f(xw) = 2$  and  $f(v_0w) = 1 (w \in N_2, w \neq v_0)$ .  
 196 And we give any edges of  $N_{1,2}$  the color 2, the edges of the induced subgraph  
 197  $G[N_1]$  the color 3. We only need to consider the 2-rainbow path for  $w_1, w_2 \in N_2$   
 198 and  $w_1v_0xw_2$  clearly suffices.

199 Next suppose there exists no such vertex  $v_0$ . Since  $G$  and  $\overline{G}$  connected, we  
 200 know that  $n_1 \geq 2$ . We denote by  $E_G(v)$  (for  $v \in N_2$ ) the set of edges between  
 201  $v$  and vertices of  $N_1$  in  $G$  and set  $e_G(v) = |E_G(v)|$ . Also  $e_{\overline{G}}(v)$  (for  $v \in N_2$ ) is  
 202 defined similarly. Again we distinguish two cases to analyze.

203 If  $|N_1| \geq 3$ , for each  $u \in N_2$  with  $e_G(u) = 1$ , we give this edge the color 1.  
 204 And for  $u \in N_2$  with  $e_G(u) \geq 2$ , we arbitrarily color these edges but confirm that  
 205  $\{f(e) : e \in E_G(u)\} = \{1, 2\}$ . Then we set  $f(xu) = 2$  ( $u \in N_2$ ) and give the edges  
 206 of the induced subgraph  $G[N_1]$  the color 3. The rest edges are colored arbitrarily  
 207 with colors from  $[3]$ . Again we only need to consider the 2-rainbow path between  
 208 the two non-adjacent vertices  $v, w \in N_2$ . Since  $|N_1| \geq 3$  and  $v$  and  $w$  are non-  
 209 adjacent in  $G$ , so  $e_{\overline{G}}(v) + e_{\overline{G}}(w) \leq |N_1|$ . Thus  $e_G(v) + e_G(w) \geq |N_1| \geq 3$  which  
 210 implies that one of the vertices  $v, w$ , say  $v$ , must have  $e_G(v) \geq 2$ . So there exists  
 211 one vertex  $s \in N_1$  or two vertices  $s, t \in N_1$  such that  $vs w$  or  $vst w$  is a 2-rainbow  
 212  $(v, w)$ -path in  $G$ .

213 If  $|N_1| = 2$  and  $N_1 = \{s, t\}$ . Then each vertex  $u \in N_2$  is adjacent to only one  
 214 vertex of  $N_1$  in  $G$ , either  $s$  or  $t$  since otherwise  $diam(\overline{G}) \geq 3$ . We denote by  $V_1$   
 215 the set of vertices of  $N_2$  adjacent to  $s$  in  $G$ , that is, the set adjacent to  $t$  in  $\overline{G}$ .  
 216 And we write  $V_2$  for the rest of the vertices of  $N_2$ . It is easy to see that  $V_1$  and  $V_2$   
 217 both induce cliques in  $G$ . We then set  $f(xu)$  ( $u \in V_1$ ) = 1,  $f(us)$  ( $u \in V_1$ ) = 2,  
 218  $f(xu)$  ( $u \in V_2$ ) = 2,  $f(ut)$  ( $u \in V_2$ ) = 1,  $f(st) = 3$  and color any remaining edges  
 219 with color 1. It is easy to check that this is a  $(1, 2)$ -rainbow-path 3-coloring of  
 220  $G$ . Thus the proof is completed.  $\square$

221 4. NORDHAUS-GADDUM-TYPE THEOREM FOR  $(1, 2)$ -RAINBOW CONNECTION  
 222 NUMBER

223 In this section, we first characterize the graphs on  $n$  vertices with  $(1, 2)$ -rainbow  
 224 connection number  $n - 1$  or  $n - 2$ , which is crucial to investigate the Nordhaus-  
 225 Gaddum-Type result for the  $(1, 2)$ -rainbow connection number of the graph  $G$ .  
 226 We use  $C_n, S_n$  to denote the cycle and the star graph on  $n$  vertices, respectively.  
 227 Denote by  $T(n_1, n_2)$  the double star in which the degrees of its (adjacent) center  
 228 vertices are  $n_1 + 1$  and  $n_2 + 1$  respectively. Additionally, we write  $T^1(n_1, n_2)$  as  
 229 the graph obtained by replacing one pendent edge with  $P_3$  in the double star  
 230  $T(n_1, n_2)$  and denote the new pendent vertex by  $u_0$  (see Figure 2). Also define  
 231 graphs  $G_1, \dots, G_8$  as in Figure 2.

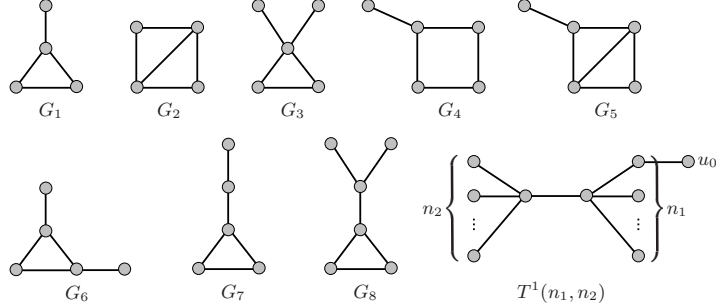


Figure 2. Graphs  $G_i$  ( $1 \leq i \leq 8$ ) and  $T^1(n_1, n_2)$  in  $\mathcal{G}_2$ .

232 **Theorem 4.1.** Let  $G$  be a nontrivial connected graph on  $n \geq 2$  vertices. Then  
 233 (i)  $rc_{1,2}(G) = n - 1$  if and only if  $G \in \mathcal{G}_1 = \{S_n (n \geq 2), T(n_1, n_2) (n_1, n_2 \geq$   
 234  $1)\}$ ;  
 235 (ii)  $rc_{1,2}(G) = n - 2$  if and only if  $G \in \mathcal{G}_2 = \{C_3, C_4, C_5, G_1, G_2, G_3, G_4,$   
 236  $G_5, G_6, G_7, G_8, T^1(n_1, n_2)\}$ .

237 **Proof.** Let  $G$  be a connected graph of order  $n \geq 2$  and  $T$  be a spanning tree of  
 238  $G$ . Proposition 2.1 shows that  $rc_{1,2}(G) \leq rc_{1,2}(T)$ . Now we give proofs for (i)  
 239 and (ii) separately.

240 **Proof of (i):** For any graph  $G \in \mathcal{G}_1$ , we can easily check that  $rc_{1,2}(G) =$   
 241  $n - 1$ . So it remains to verify the converse. Since  $rc_{1,2}(G) = n - 1$ , we see that  
 242  $n - 1 = rc_{1,2}(G) \leq rc_{1,2}(T) \leq n - 1$ , i.e.,  $rc_{1,2}(T) = n - 1$ . Thus, by Theorem 2.2,  
 243 we know that any spanning tree  $T$  of  $G$  must be a star or a double star, i.e.,  
 244  $T \in \mathcal{G}_1$ . Without loss of generality, we can assume that  $n_2 \geq n_1$ .

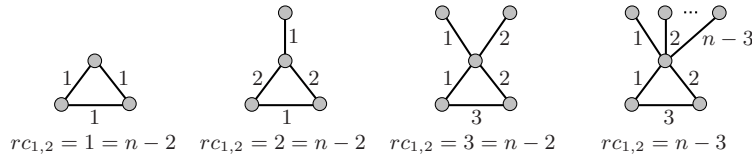


Figure 3. Graphs obtained by adding an edge to  $S_n$  ( $n \geq 2$ ).

245 If  $G$  is a tree, then  $G \in \mathcal{G}_1$ . Now we suppose that  $G$  is not a tree. Then  
 246 since  $T \in \mathcal{G}_1$ ,  $G$  can be constructed from  $S_n$  ( $n \geq 2$ ) or  $T(n_1, n_2)$  ( $n_1, n_2 \geq 1$ ) by  
 247 adding edges. Adding an edge to  $S_n$  ( $n \geq 2$ ), we will obtain one of the graphs  
 248 depicted in Figure 3. However, all the graphs in Figure 3 have (1,2)-rainbow  
 249 connection number no more than  $n - 2$ , which implies that any spanning tree  
 250  $T$  of  $G$  cannot be a star. Next, we will consider the graphs obtained by adding  
 251 edges to  $T(n_1, n_2)$  ( $n_1, n_2 \geq 1$ ).



252 If  $n_1 = n_2 = 1$ , then  $T(1, 1) = P_4$ . If an edge is added, then we will obtain  
 253 either the cycle  $C_4$  or the graph  $G_1$  depicted in Figure 2. Obviously, both  $C_4$   
 254 and  $G_1$  have  $(1, 2)$ -rainbow connection number  $2 = n - 2 < n - 1$ . For the  
 255 cases  $n_1 = 1, n_2 = 2$  and  $n_1 = n_2 = 2$ , one of the graphs in Figure 4 or 5  
 256 will be obtained by adding an edge to  $T(1, 2)$  or  $T(2, 2)$  respectively. The  $(1, 2)$ -  
 257 rainbow-path colorings given in Figures 4 and 5 show that all these graphs have  
 258  $(1, 2)$ -rainbow connection number no more than  $n - 2$ .

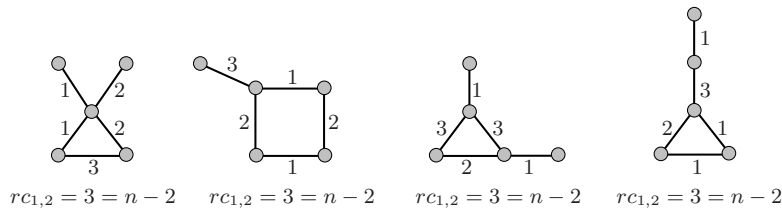


Figure 4. Graphs obtained by adding an edge to  $T(1, 2)$ .

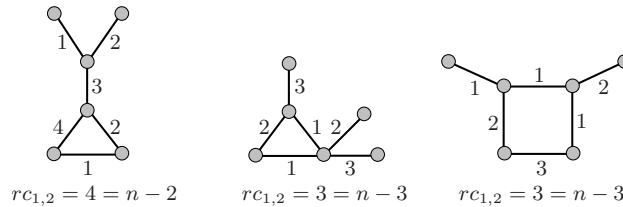


Figure 5. Graphs obtained by adding an edge to  $T(2, 2)$ .

259 For all the other situations, i.e.,  $n_1 = 1, n_2 \geq 3$  or  $n_1 = 2, n_2 \geq 3$  or  
 260  $n_1 \geq 3, n_2 \geq 3$ , Figure 6, Figure 7 and Figure 8 give all the graphs obtained by  
 261 adding an edge to  $T(1, n_2 \geq 3)$ ,  $T(2, n_2 \geq 3)$  and  $T(n_1 \geq 3, n_2 \geq 3)$ , respectively.  
 262 We give  $(1, 2)$ -rainbow-path colorings for these graphs showed in Figure 6, Figure  
 263 7 and Figure 8. One can easily check that all these graphs have  $(1, 2)$ -rainbow  
 264 connection number no more than  $n - 2$ .

265 From the discussions all above, we come to a conclusion that if  $rc_{1,2}(G) =$   
 266  $n - 1$ , then  $G \in \mathcal{G}_1 = \{S_n (n \geq 2), T(n_1, n_2)(n_1, n_2 \geq 1)\}$ .

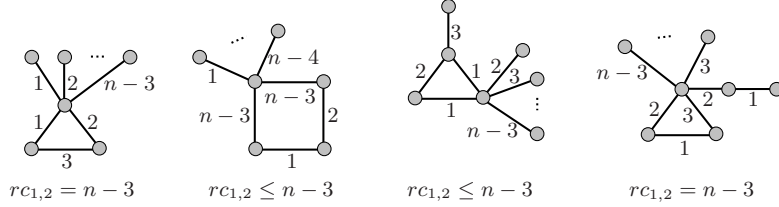


Figure 6. Graphs obtained by adding an edge to  $T(1, n_2 \geq 3)$ .

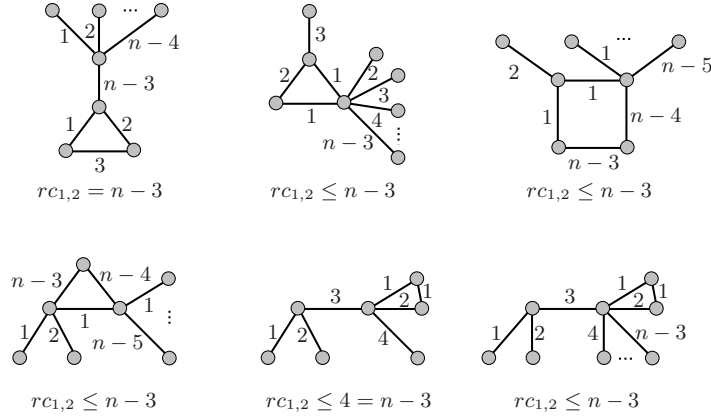


Figure 7. Graphs obtained by adding an edge to  $T(2, n_2 \geq 3)$ .

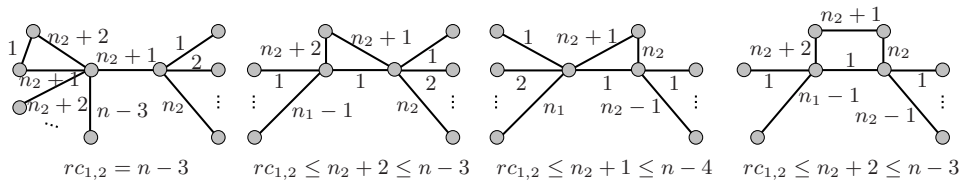


Figure 8. Graphs obtained by adding an edge to  $T(n_1 \geq 3, n_2 \geq 3)$ .

267 Proof of (ii): One can easily check that  $rc_{1,2}(G) = n - 2$  for any graph  
 268  $G \in \mathcal{G}_2$ . Hence, it remains to show the converse. Since  $rc_{1,2}(G) = n - 2$ ,  
 269 then  $n - 2 \leq rc_{1,2}(T) \leq n - 1$ . Thus, Theorem 2.2 implies that any spanning  
 270 tree  $T$  of  $G$  must be an element of the set  $\{S_n (n \geq 2), T(n_1, n_2) (n_1, n_2 \geq$   
 271  $1), T^1(n_1, n_2) (n_1, n_2 \geq 1)\}$ .

272 If  $G$  is a tree, then  $G \cong T^1(n_1, n_2) (n_1, n_2 \geq 1) \subseteq \mathcal{G}_2$ . Next we sup-  
 273 pose that  $G$  is not a tree. Then  $G$  can be constructed from  $S_n (n \geq 2)$ ,

274  $T(n_1, n_2)$  ( $n_1, n_2 \geq 1$ ) or  $T^1(n_1, n_2)$  ( $n_1, n_2 \geq 1$ ) by adding edges. In the proof  
 275 of (i), we listed eight graphs with (1,2)-rainbow connection number  $n - 2$ , which  
 276 are  $C_3, C_4, G_1, G_3, G_4, G_6, G_7$  and  $G_8$ , respectively. Furthermore, all graphs  
 277 obtained by adding an edge to  $S_n$  ( $n \geq 2$ ) or  $T(n_1, n_2)$  ( $n_1, n_2 \geq 1$ ) except these  
 278 eight ones have (1,2)-rainbow connection number no more than  $n - 3$ . There-  
 279 fore, the graph  $G$  can be constructed from  $C_3, C_4, G_1, G_3, G_4, G_6, G_7, G_8$  or  
 280  $T^1(n_1, n_2)$  ( $n_1, n_2 \geq 1$ ) by adding edges.

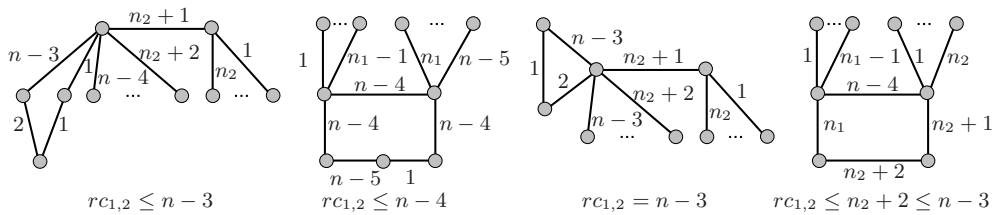


Figure 9. Graphs obtained by adding an edge to  $T^1(n_1 \geq 2, n_2 \geq 2)$ .

281 Considering graphs constructed from  $C_3, C_4, G_1, G_3, G_4, G_6, G_7$  or  $G_8$   
 282 by adding edges, we find only another two graphs  $G_2, G_5$  with  $rc_{1,2}(G_2) = 2 =$   
 283  $|V(G_2)| - 2$  and  $rc_{1,2}(G_5) = 3 = |V(G_5)| - 2$ . All others have (1,2)-rainbow  
 284 connection number no more than  $n - 3$ . Now we focus on the graphs obtained  
 285 by adding an edge to  $T^1(n_1, n_2)$  ( $n_1, n_2 \geq 1$ ). For the cases  $n_1 = n_2 = 1,$   
 286  $n_1 = 1, n_2 \geq 2$  and  $n_1 \geq 2, n_2 = 1$ , we find another graph  $C_5$  such that  
 287  $rc_{1,2}(C_5) = n - 2$  with similar analysis as in the proof of (i). Denote by  $e$  the new  
 288 edge added to  $T(n_1, n_2)$  ( $n_1, n_2 \geq 1$ ) or  $T^1(n_1, n_2)$  ( $n_1, n_2 \geq 1$ ) and  $T(n_1, n_2) + e,$   
 289  $T^1(n_1, n_2) + e$  the newly obtained graphs. For the case  $n_1 \geq 2, n_2 \geq 2,$  we  
 290 consider cases depending on whether the pendent vertex  $u_0$  in  $T^1(n_1, n_2)$  is an  
 291 end vertex of  $e$  or not. It is obvious that if  $u_0 \notin e$ , then  $T^1(n_1, n_2) + e \setminus u_0 \cong$   
 292  $T(n_1, n_2) + e$ . The proof of (i) suggests that we only need to consider the case when  
 293  $T^1(n_1, n_2) + e \setminus u_0 \cong G_8$ . It is easy to check that  $rc_{1,2}(T^1(n_1, n_2) + e) = n - 3 < n - 2$   
 294 for this case. If  $u_0 \in e$ , then one of the graphs in Figure 9 will be obtained by  
 295 adding an edge to  $T^1(n_1, n_2)$ . However, all these graphs have (1,2)-rainbow  
 296 connection number no more than  $n - 3$  (as colored in the figure). Thus, we  
 297 complete the proof of (ii). ■

298 **Theorem 4.2.** Let  $G$  and  $\overline{G}$  be connected graphs on  $n$  vertices. Then  $rc_{1,2}(G) +$   
 299  $rc_{1,2}(\overline{G}) \leq n + 2$  and the equality holds if and only if  $G$  or  $\overline{G}$  is isomorphic to a  
 300 double star, i.e.,  $G \cong T(n_1, n_2)$  ( $n_1, n_2 \geq 1$ ) or  $\overline{G} \cong T(n_1, n_2)$  ( $n_1, n_2 \geq 1$ ).

301 **Proof.** Since both  $G$  and  $\overline{G}$  are connected, we have  $n \geq 4$  and  $\Delta(G), \Delta(\overline{G}) \leq$   
 302  $n - 2$ . Let  $G$  be the double star with center vertices  $u, v$  and  $N_G(u) \setminus v =$   
 303  $A, N_G(v) \setminus u = B$ . So,  $\overline{G}[A \cup B]$  is a clique and  $N_{\overline{G}}(u) = B, N_{\overline{G}}(v) = A$ .

304 Certainly all edges of  $G$  must have distinct colors so we consider colorings of  $\overline{G}$ .  
 305 Color all edges incident to  $v$  with 1, all edges incident to  $u$  with 2 and edges in  
 306  $\overline{G}[A \cup B]$  with 3. This coloring shows that  $rc_{1,2}(\overline{G}) \leq 3$ . Since  $u$  and  $v$  are at  
 307 distance 3 in  $\overline{G}$ , we get that  $rc_{1,2}(\overline{G}) = 3$  and so  $rc_{1,2}(G) + rc_{1,2}(\overline{G}) = n + 2$ . Now,  
 308 we must show that  $rc_{1,2}(G) + rc_{1,2}(\overline{G}) < n + 2$  for all other connected graphs  $G$   
 309 and  $\overline{G}$ . One can easily check that this is true for  $n = 4, 5$ . So we consider  $n \geq 6$   
 310 in the following.

311 If  $G$  or  $\overline{G}$  has  $(1, 2)$ -rainbow connection number  $n - 1$  or  $n - 2$ , i.e.,  $G \in$   
 312  $\mathcal{G}_1 \cup \mathcal{G}_2 \setminus T(n_1, n_2)$  ( $n_1, n_2 \geq 1$ ) or  $\overline{G} \in \mathcal{G}_1 \cup \mathcal{G}_2 \setminus T(n_1, n_2)$  ( $n_1, n_2 \geq 1$ ), then  
 313  $rc_{1,2}(G) + rc_{1,2}(\overline{G}) < n + 2$  by simple examination. Hence, we can assume that  
 314  $2 \leq rc_{1,2}(G) \leq n - 3$  and  $2 \leq rc_{1,2}(\overline{G}) \leq n - 3$ .

315 Suppose first that both  $G$  and  $\overline{G}$  are 2-connected. For  $n = 6$ , it is easy to  
 316 check that  $rc_{1,2}(G) + rc_{1,2}(\overline{G}) \leq 3 + 3 < 8 = n + 2$ . And for  $n \geq 9$ , Theorem 2.4  
 317 implies that  $rc_{1,2}(G) + rc_{1,2}(\overline{G}) \leq 5 + 5 = 10 < 11 \leq n + 2$ . Then what remains  
 318 are the cases  $n = 7$  and  $n = 8$ . For convenience, we denote the circumference of  
 319  $G$  by  $c(G)$ . We first suppose  $n = 7$ . Obviously  $4 \leq c(G) \leq 7$ . If  $c(G) = 7$ , then  
 320  $C_7$  is a spanning subgraph of  $G$  and  $rc_{1,2}(G) \leq rc_{1,2}(C_7) = 3$ . If  $c(G) = 6$ , then  
 321  $G$  has a traceable spanning subgraph which is composed of  $C_6$  by adding an open  
 322 ear of length two. Thus,  $rc_{1,2}(G) \leq 3$ . If  $c(G) = 5$ , then  $G$  contains  $H_1^7$  or  $H_2^7$  (see  
 323 Figure 10) as a spanning subgraph. Since  $H_1^7$  is traceable and  $rc_{1,2}(H_2^7) \leq 3$ , then  
 324  $rc_{1,2}(G) \leq 3$ . For the case  $c(G) = 4$ ,  $G$  contains  $K_{2,5}$  as its spanning subgraph,  
 325 which contradicts the assumption that  $\overline{G}$  is connected. Therefore, all 2-connected  
 326 graphs of order  $n = 7$  with connected complementary graphs has  $(1, 2)$ -rainbow  
 327 connection number no more than 3. Hence,  $rc_{1,2}(G) + rc_{1,2}(\overline{G}) \leq 3 + 3 < 9 = n + 2$ .  
 328 With similar analysis as for the situation  $n = 7$ , we can also draw the conclusion  
 329 that  $rc_{1,2}(G) + rc_{1,2}(\overline{G}) \leq 3 + 3 < 10 = n + 2$  for  $n = 8$ .

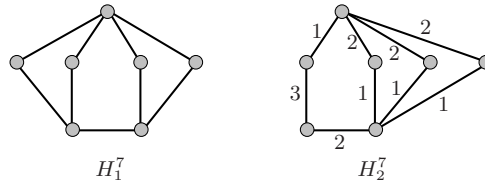


Figure 10. Graphs for the proof of Theorem 4.2.

330 Now we consider the case where at least one of  $G$  and  $\overline{G}$  has at least one cut  
 331 vertex. Without loss of generality, suppose that  $G$  has at least one cut vertex.  
 332 We distinguish the following two cases.

333 **Case 1:**  $G$  has a cut vertex  $u$  such that  $G - u$  has at least three components.

334 Let  $G_1, G_2, \dots, G_k$  ( $k \geq 3$ ) be the components of  $G - u$ , and let  $n_i$  be  
 335 the number of vertices of  $G_i$  for  $i = 1, 2, \dots, k$  with  $n_1 \leq n_2 \leq \dots \leq n_k$ . Since

336  $\Delta(G) \leq n - 2$ , then  $n_k \geq 2$ . The complementary graph  $\overline{G} \setminus u$  contains  $K_{n_k, n - n_k - 1}$   
 337 as a spanning subgraph and both  $n_k \geq 2$  and  $n - n_k - 1 \geq 2$ . By Theorem 2.3,  
 338 there exists a  $(1, 2)$ -rainbow-path 3-coloring of  $K_{n_k, n - n_k - 1}$  using elements in [3].  
 339 Then, if we color the edges incident to  $u$  in  $\overline{G}$  with color 4, then we obtain a  
 340  $(1, 2)$ -rainbow-path 4-coloring of  $\overline{G}$ . Therefore,  $rc_{1,2}(G) + rc_{1,2}(\overline{G}) \leq (n - 3) + 4 =$   
 341  $n + 1 < n + 2$ .

342 **Case 2:** Each cut vertex  $u$  of  $G$  satisfies that  $G - u$  has only two components.  
 343 Let  $G_1, G_2$  be the two components of  $G - u$ , and let  $n_i$  be the number of  
 344 vertices of  $G_i$  for  $i = 1, 2$  with  $n_1 \leq n_2$ . Since  $n \geq 6$ , then  $n_2 \geq 2$ .

345 **Subcase 2.1:**  $n_1 \geq 2$ . The complementary graph  $\overline{G} \setminus u$  contains  $K_{n_1, n_2}$  as  
 346 a spanning subgraph. By Theorem 2.3, there is a coloring of  $K_{n_1, n_2}$  with colors  
 347 in [3], and we color the edges incident to  $u$  in  $\overline{G}$  with color 4. This gives a  $(1, 2)$ -  
 348 rainbow-path 4-coloring of  $\overline{G}$ . As a result,  $rc_{1,2}(G) + rc_{1,2}(\overline{G}) \leq n - 3 + 4 =$   
 349  $n + 1 < n + 2$  as desired.

350 **Subcase 2.2:**  $n_1 = 1$ , i.e., each cut vertex of  $G$  is incident with a pendent  
 351 edge.

352 Since  $n \geq 6$ , then  $n_2 \geq 4$ . Let  $\{u_1, u_2, \dots, u_\ell\}$  be the set of all cut vertices of  
 353  $G$ , and let  $u_1v_1, u_2v_2, \dots, u_\ell v_\ell$  be the pendent edges incident to these cut vertices  
 354 in  $G$ . Set  $H = G \setminus \{v_1, v_2, \dots, v_\ell\}$ , so  $H$  is 2-connected. By Theorem 2.4, we  
 355 know that  $rc_{1,2}(H) \leq 5$ .

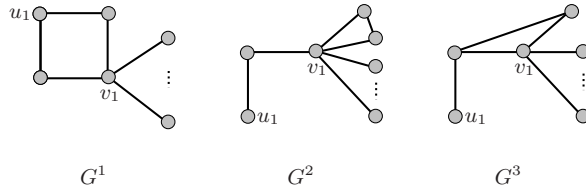


Figure 11. Graphs for the proof of Theorem 4.2.

356 If  $\ell \geq 2$ , then  $\overline{G} \setminus \{u_1, u_2\}$  contains  $K_{2, n - 4}$  as a spanning subgraph. By  
 357 Theorem 2.3, there is a coloring of  $K_{2, n - 4}$  using colors from [3], and we color  
 358 the edges incident to  $u_1$  or  $u_2$  in  $\overline{G}$  with color 4. One can easily check this is a  
 359  $(1, 2)$ -rainbow-path 4-coloring of  $\overline{G}$ . Thus,  $rc_{1,2}(G) + rc_{1,2}(\overline{G}) \leq (n - 3) + 4 =$   
 360  $n + 1 < n + 2$ .

361 Thus, we may assume  $\ell = 1$ , so  $rc_{1,2}(G) \leq rc_{1,2}(H) + 1 \leq 6$ . Since  $\overline{G}$  is  
 362 connected, then  $|N_{\overline{G}}(u_1)| \geq 1$  and  $\overline{G}$  contains  $G^1, G^2$  or  $G^3$  (see Figure 11) as  
 363 a spanning subgraph. We first suppose that  $G^1$  is a spanning subgraph of  $\overline{G}$ .  
 364 Let  $H_1, \dots, H_5$  be as in Figure 12. If  $\overline{G} \cong H_1$ , then it is easy to verify that  
 365  $rc_{1,2}(G) + rc_{1,2}(\overline{G}) = 3 + 3 = 6 < 8 = n + 2$  for  $n = 6$  and  $rc_{1,2}(G) + rc_{1,2}(\overline{G}) =$   
 366  $4 + 3 = 7 < 9 = n + 2$  for  $n = 7$ . If  $\overline{G} \cong H_1$  and  $n \geq 8$ , the coloring depicted in  
 367 Figure 12 shows that  $rc_{1,2}(\overline{G}) \leq n - 4$ . In addition, if we color  $u_1v_1$  with color 1,

368 other edges incident to  $u_1$  with color 2 and all other edges color 3 in  $G$ , then we  
 369 get a  $(1, 2)$ -rainbow-path 3-coloring of  $G$ . Consequently,  $rc_{1,2}(G) + rc_{1,2}(\overline{G}) \leq$   
 370  $(n - 4) + 3 = n - 1 < n + 2$ . Next we consider the situation  $H_1 \subsetneq \overline{G}$ . Adding an  
 371 edge to  $G^1$ , we arrive at some graph in  $\{H_2, H_3, H_4, H_5\}$  depicted in Figure 12.  
 372 If  $\overline{G} \cong H_5$ , then  $rc_{1,2}(\overline{G}) \leq n - 4$  by the coloring in Figure 12. In order to  
 373 color  $G$ , we color  $u_1v_1$  with color 1 and other edges incident to  $u_1$  with color 2.  
 374 Additionally, we color edges incident to  $x$  ( $y$  is the same) with colors 1, 3 such  
 375 that both 1 and 3 appear and all other edges with color 2 in  $G$ . Thus, we get a  
 376  $(1, 2)$ -rainbow-path 3-coloring of  $G$  and so  $rc_{1,2}(G) + rc_{1,2}(\overline{G}) \leq 3 + (n - 4) =$   
 377  $n - 1 < n + 2$ . If  $\overline{G}$  is not isomorphic to  $H_5$ , then  $\overline{G}$  has  $H_2, H_3$  or  $H_4$  as its  
 378 spanning subgraph. As is depicted in Figure 12,  $rc_{1,2}(H_i) \leq n - 5$  ( $2 \leq i \leq 4$ ) for  
 379  $n \geq 9$ . Therefore,  $rc_{1,2}(G) + rc_{1,2}(\overline{G}) \leq 6 + (n - 5) = n + 1 < n + 2$  for  $n \geq 9$ . For  
 380 the situation  $6 \leq n \leq 8$ , we can verify the result depending on the circumference  
 381 of  $H = G \setminus u_1$  similarly as above. Hence, if  $G^1$  is a spanning subgraph of  $G$ ,  
 382 then  $rc_{1,2}(G) + rc_{1,2}(\overline{G}) < n + 2$ . By the same method, we can draw the same  
 383 conclusion for  $G^2$  or  $G^3$  as a spanning subgraph of  $G$ . Therefore, we complete  
 384 the proof.

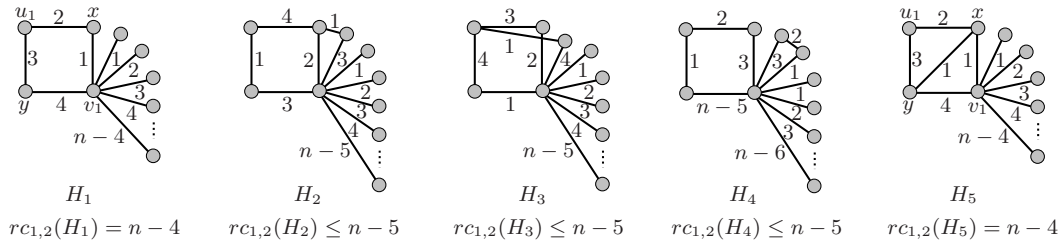


Figure 12. Graphs for the proof of Theorem 4.2.

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